

# Characterizing and monitoring fault structures

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European Research Council



F-IMAGE

*Seismic functional imaging  
of the brittle crust.*



Institut des Sciences de la Terre



1-Introduction

2-Present day limitations and assumptions

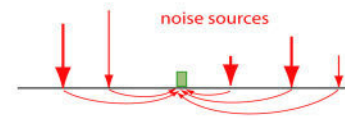
3-Examples of applications

4-Imaging and 2D kernels

5-Non-uniform scattering

6-Depth dependence and the coupling of surface waves and body waves

# Ambient noise seismology



**Noise** - seismic waves emitted by random ambient sources  
includes also coda waves although ballistic waves are dominant in most cases

Constructing virtual sismograms between 2 sensors ?

A mathematical argument: under specific conditions on the sources  $S$  of the ambient noise, the correlation between records at 2 points  $P1$  et  $P2$  produces the Green function between the 2 points.

$$\text{Im}(G(P1, P2; \omega)) \approx i\omega \langle G(S, P1; \omega) \cdot G(S, P2; \omega)^* \rangle_{\text{sources } S}$$

←—————→  
correlation

$\text{Im}(G)$  represents the causal ( $t$ ) and acausal ( $-t$ ) contributions

-If the field has been fully randomized by multiple scattering

-If the 'noise' results from a uniform distribution of sources in the volume ( e.g. Y. Colin de Verdière)

-Approximations to representation theorems ( e.g. K. Wapenaar) → uniform sources on the boundary

- Analogy with time reversal mirrors (Derode et al., 2003).



1-Introduction

**2-Present day limitations and assumptions**

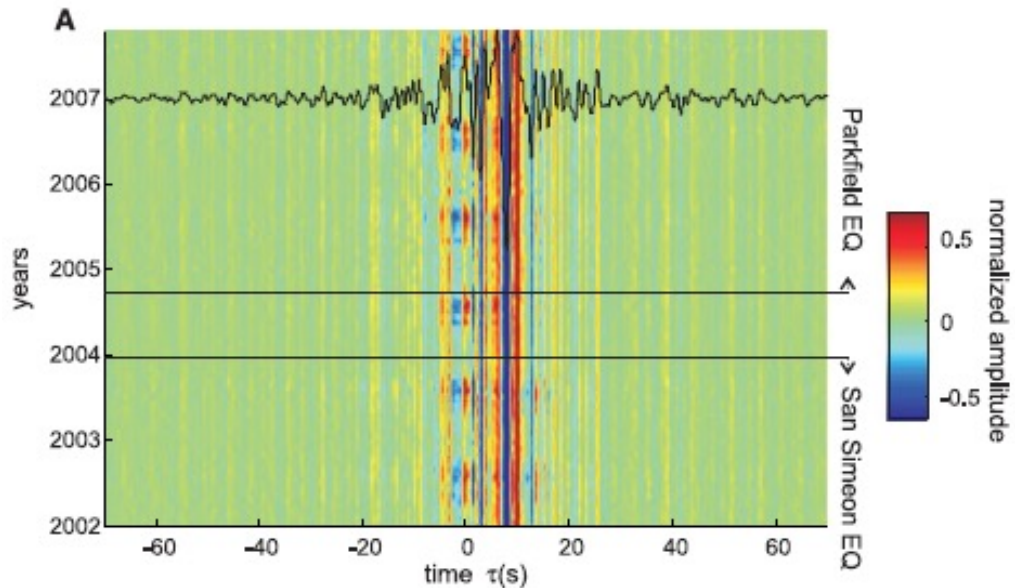
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6-Depth dependance and the coupling of surface waves and body waves

## Correlation functions as approximate Green functions



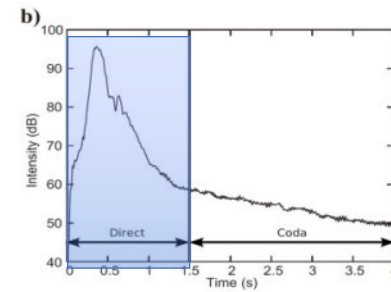
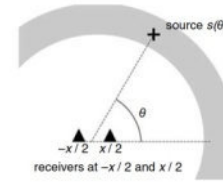
Direct waves are sensitive to noise source distribution (errors small enough for tomography)

Relative stability of the ‘coda’ of the noise correlations.

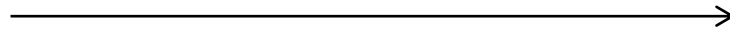
Importance of the analysis of the ambient noise structure

# 1- Reconstruction of direct waves from direct waves from distant sources

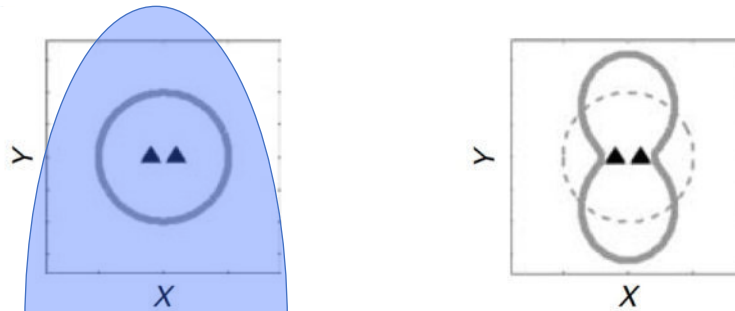
Field data: Bias in the travel time due to anisotropic intensity of noise field



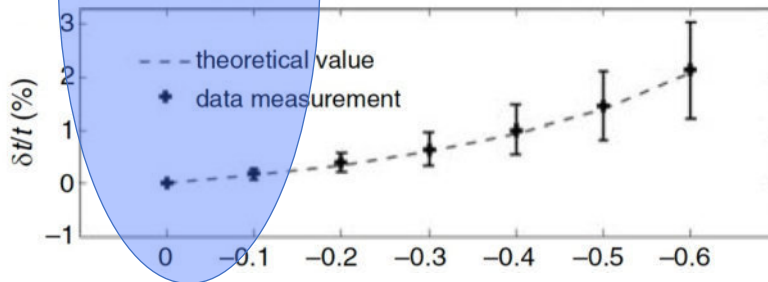
Increasing anisotropy of the source intensity  $B$



Azimuthal distribution of source intensity



Travel time error wrt the observed Green function



$$B(\theta) = 1 + B_2 \cos(2\theta)$$

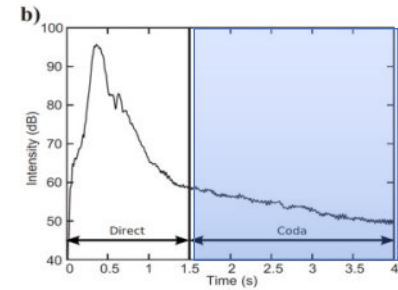
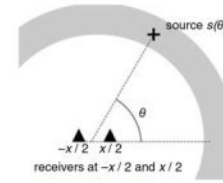
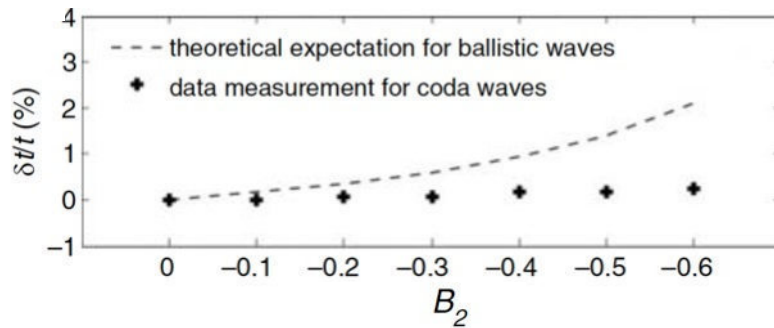
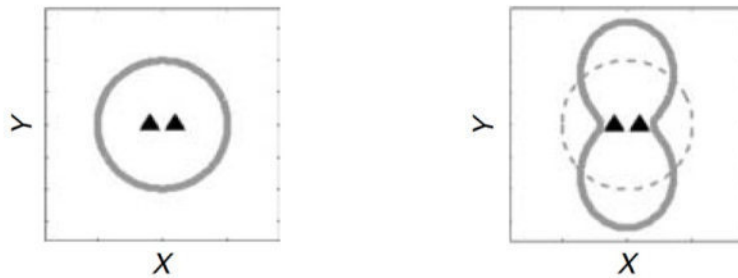
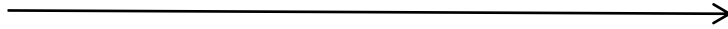
$$\delta t = \frac{1}{2t \omega_0^2 B(0)} \left. \frac{d^2 B(\theta)}{d\theta^2} \right|_{\theta=0}$$

valid with  $t$  (travel time)  $>$   $T$  (period)

## 2-Reconstruction of direct waves from scattered waves

-isotropy improved by multiple scattering

Increasing anisotropy of the source intensity  $B$



$$B(\theta) = 1 + B_2 \cos(2\theta)$$

No visible bias in the correlation of coda waves!

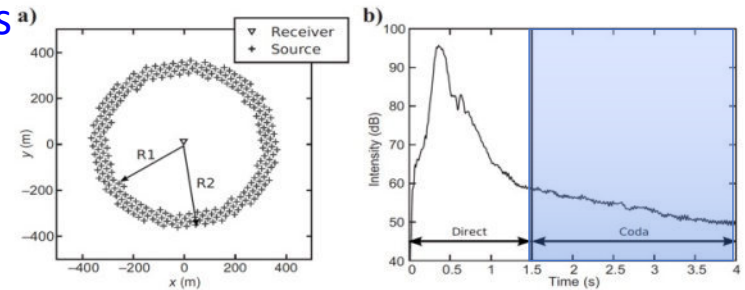
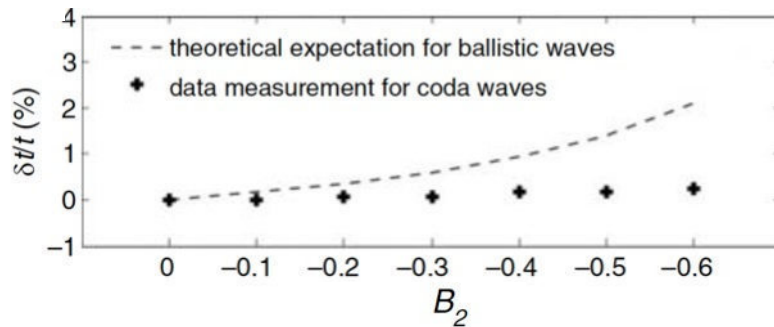
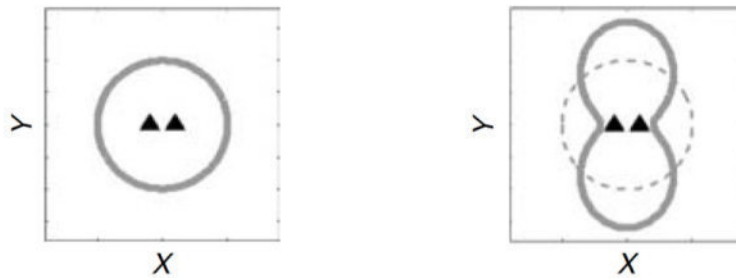
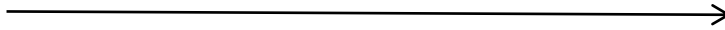


## 2-Reconstruction of direct waves from scattered waves

Correlation of coda waves

-isotropy provided by multiple scattering

Increasing anisotropy of the source intensity  $B$



$$B(\theta) = 1 + B_2 \cos(2\theta)$$

Scattering provides the diversity of incidence directions  $\rightarrow$  isotropization of intensity

No bias in the correlation of coda waves!

Noise records contain direct **and** scattered waves: the separation is usually impossible

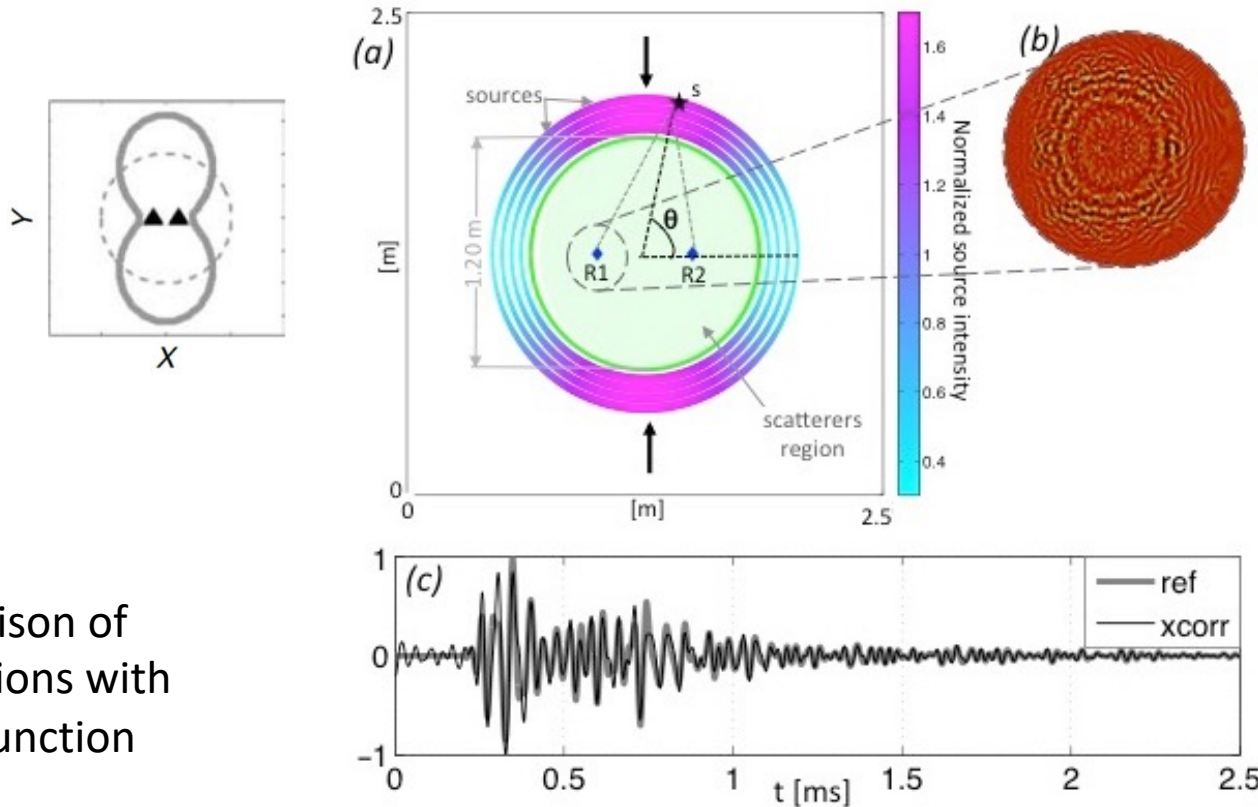
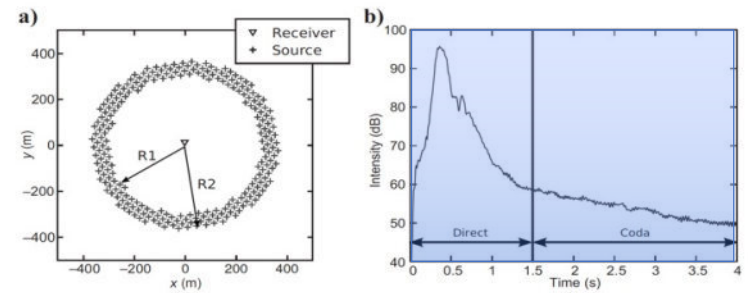
$\rightarrow$  the biases of direct wave travel times are generally small enough for imaging purpose

$\rightarrow$  Importance of processing strategies: equalization, filtering, C3, ....

### 3-Reconstruction of coda waves

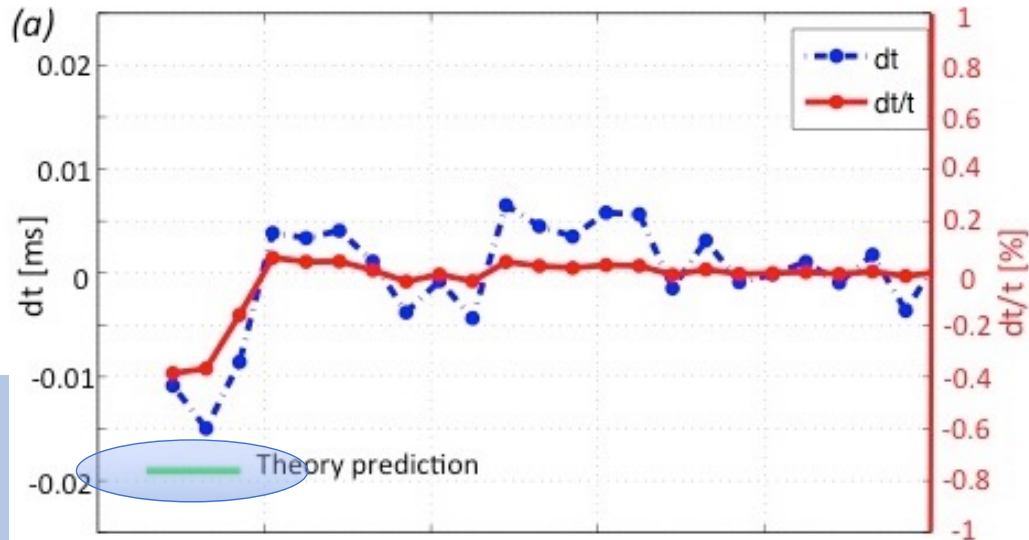
Measuring slight changes of seismic velocity using coda waves (long travel time)

Numerical simulations in a scattering medium with strong anisotropic intensity of sources (2D spectral elements)



Comparison of correlations with Green function

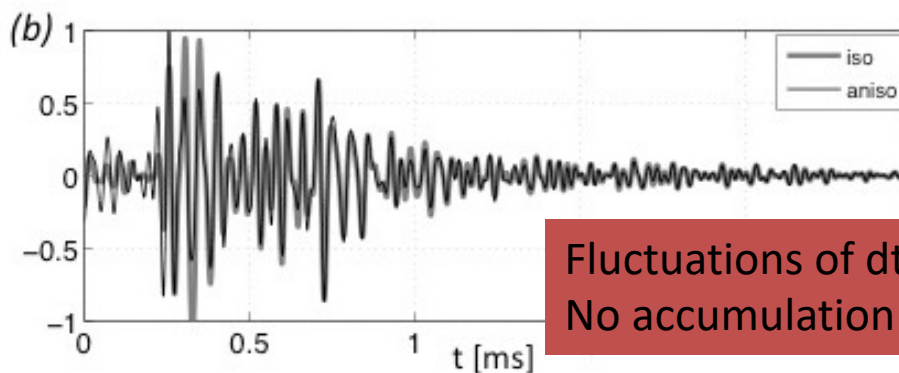
Measure of the bias induced by a strong anisotropy  
of the noise wave field  
(delay with respect to the Green function)



Blue: delay

Red: relative delay

Theoretical prediction  
of the relative delay  
for the direct wave in  
an homogeneous  
body (Froment et al.,  
2010)



Fluctuations of  $dt/t$  of the order of  $10^{-4}$   
No accumulation of delay with lapse time

1-Introduction

2-Present day limitations and assumptions

**3-Examples of applications**

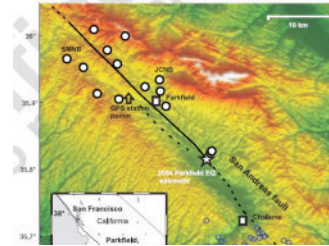
4-Imaging and 2D kernels

5-Non-uniform scattering

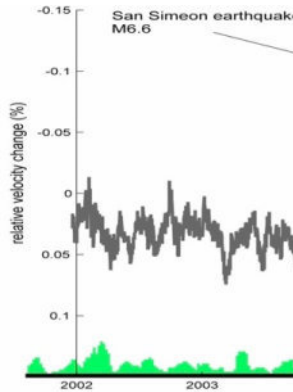
6-Depth dependence and the coupling of surface waves and body waves

Application to the Parkfield earthquake (*Brenguier et al. 2008*)

Short period sensors / Processing in the period 1-10s

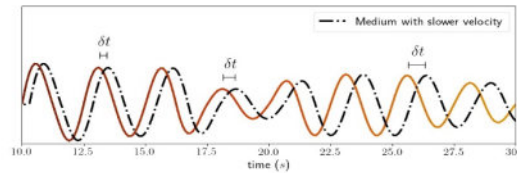


Assumption 0: Homogeneous change of seismic velocity : constant slope of  $\delta t$



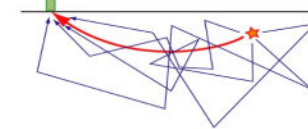
Distant ever

A lot of spectra  
environmenta

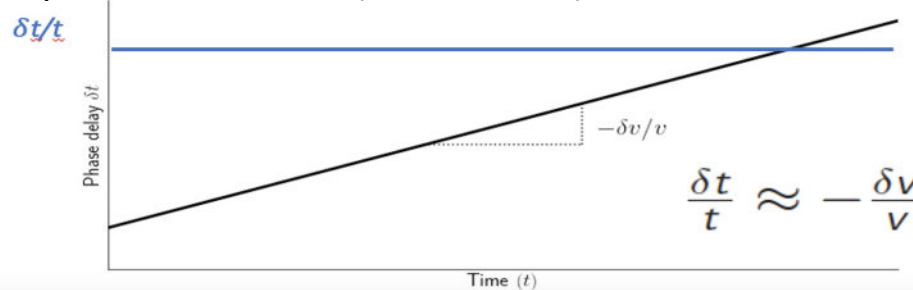


Two correlations at different dates

Coda - result of multiple scattering on random inhomogeneities



*Poupinet et al., 1984. (EQ doublets) later coda wave interferometry*



$$\frac{\delta t}{t} \approx -\frac{\delta v}{v}$$

Do changes occur at depth ?

Evidence for shallow variations: known in soft sediments from seismic records  
applications of continuous monitoring from noise

Evidences for deep changes:

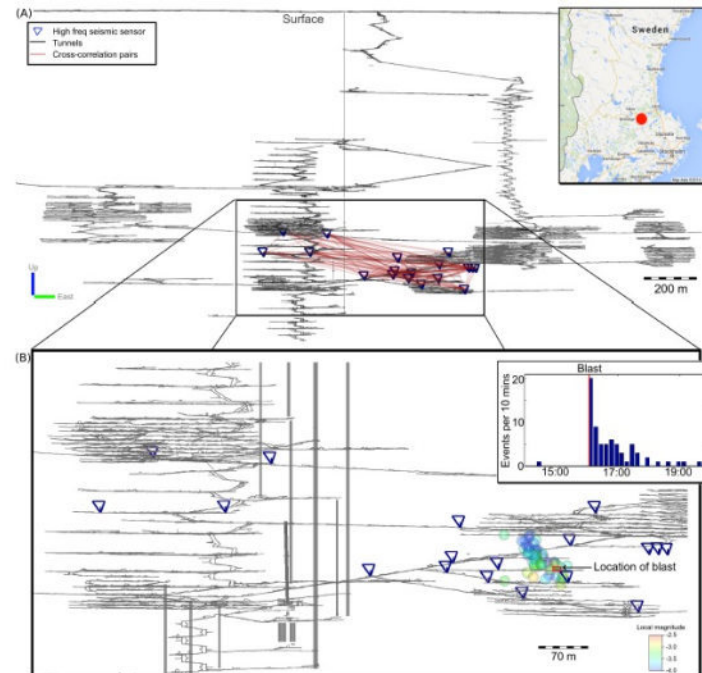
SSE, Wenchuan

Japan after Tohoku

Direct observations at depth in a mine

## Local scale: test with industrial noise

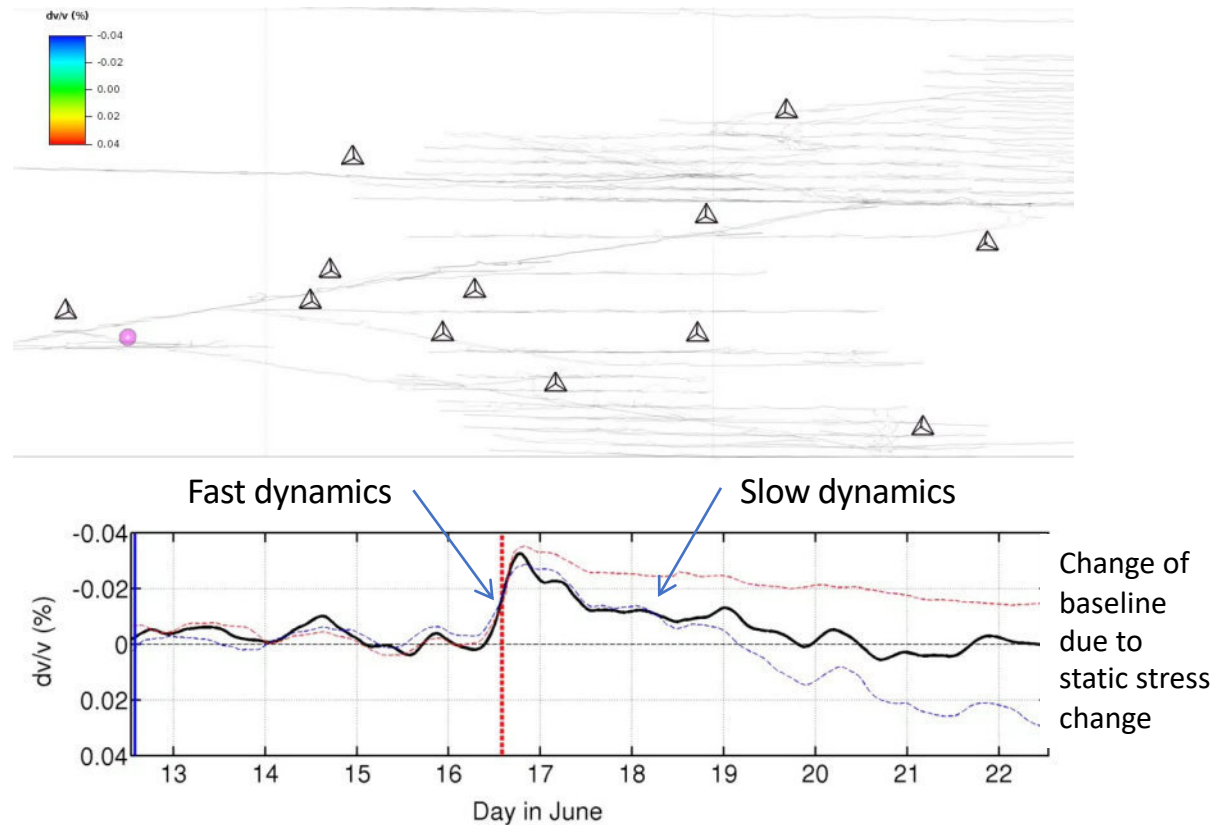
Velocity change due to blast and excavation in a mine



Use of the strong industrial noise in the mine.  
Note the intense scattering associated with the tunnels.

*Olivier et al., 2014*

Noise based monitoring: Velocity change due to blast and excavation in a mine (body waves)

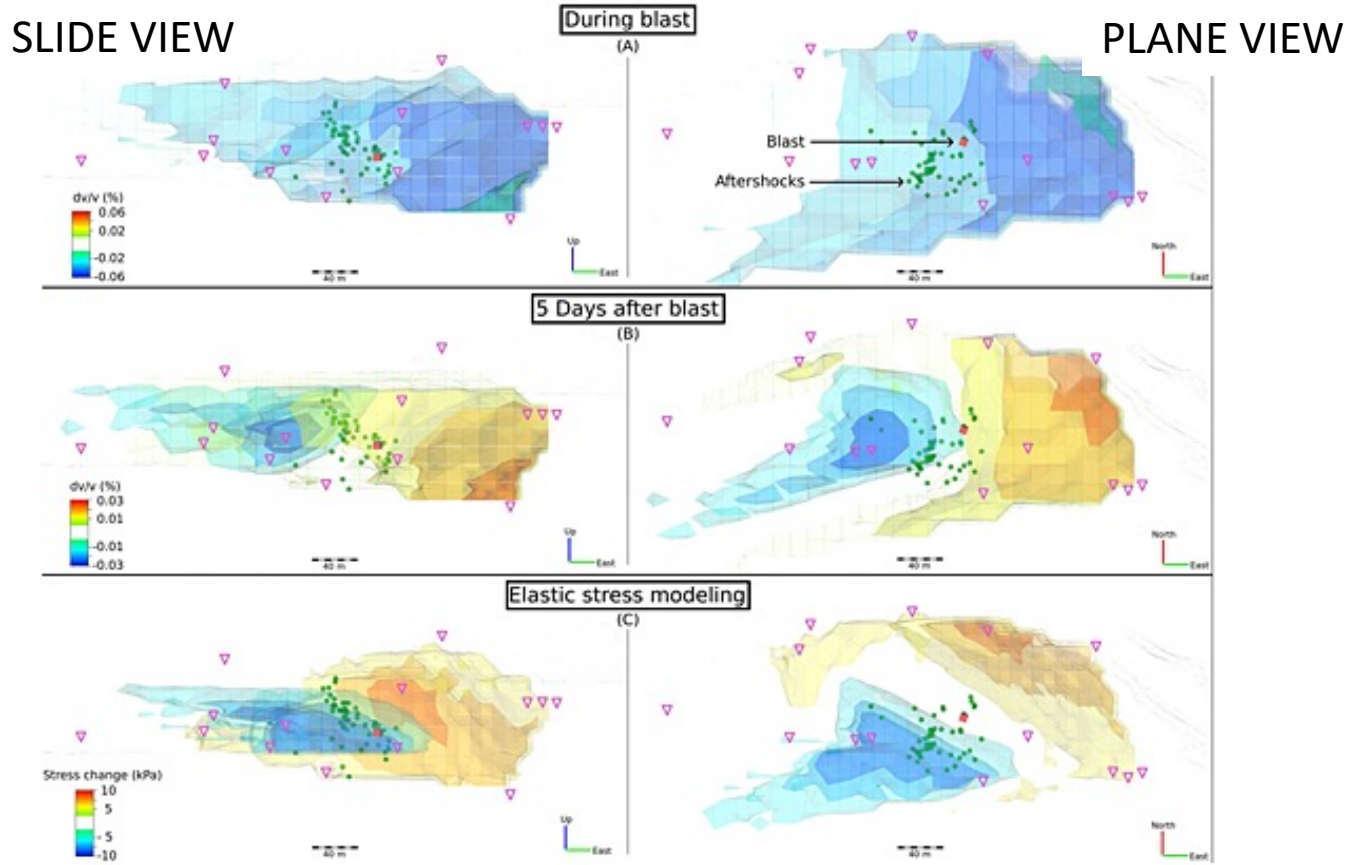


*Olivier et al., 2014*

Slow dynamics : Relaxation-aging (e.g. Amir et al., 2011 ; Snieder et al., 2017)



# Comparison of velocity changes and volumetric stress changes



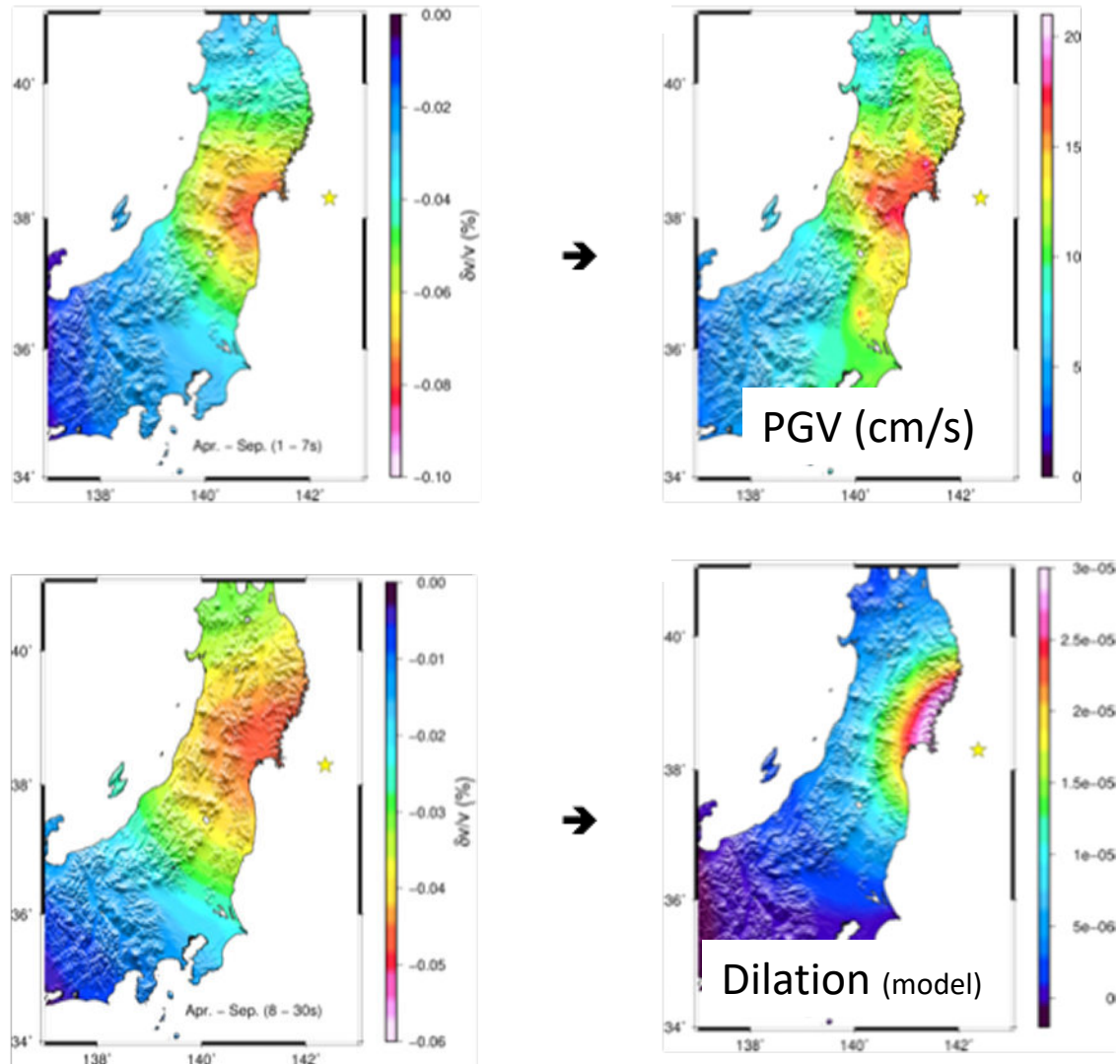
Instantaneous velocity drop

'Static' velocity change

Static stress change (model)

*Frequency (depth) dependent spatial distribution of  $\delta v/v$*

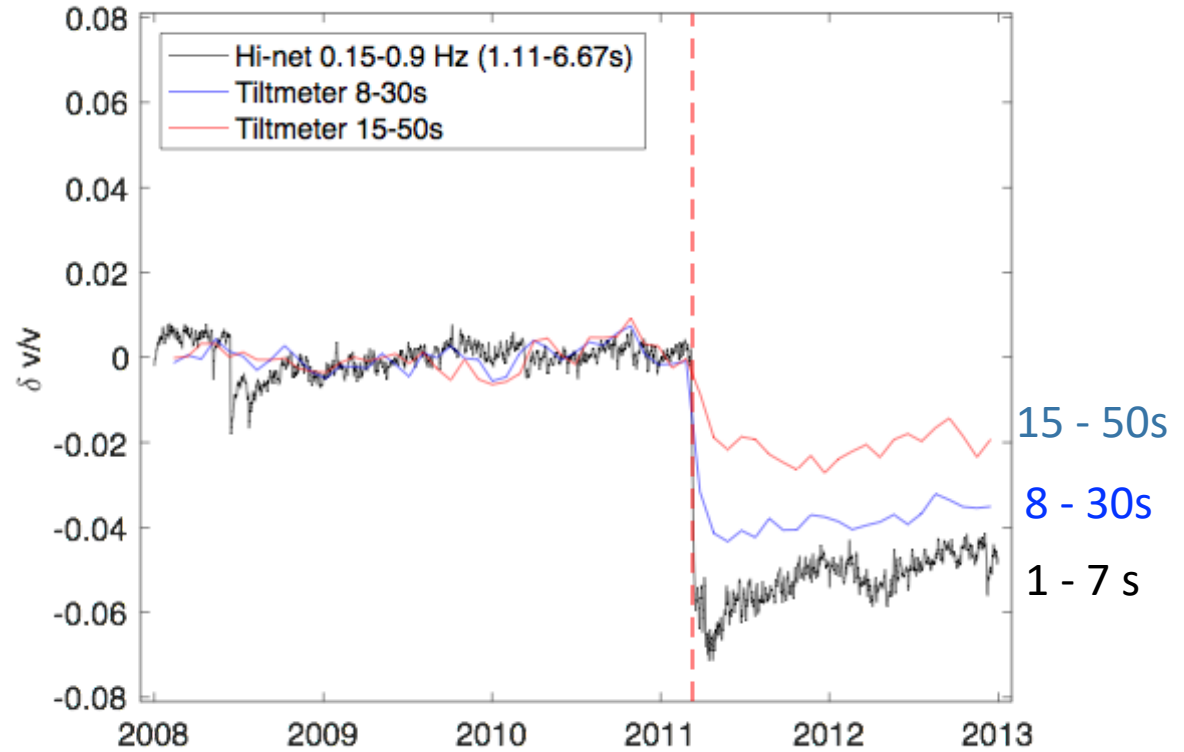
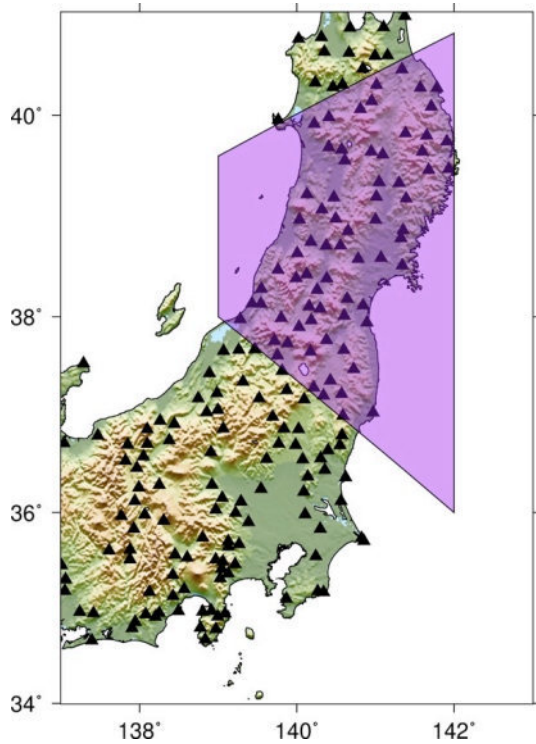
*Damage in the shallow crust*



*Strain from deep crust*

*From Wang et al., 2020*

# Frequency -depth- dependent temporal variation of $dv/v$



Period dependent delay of the response in time.

Fluids from below flowing through the volcanic range

1-Introduction

2-Present day limitations and assumptions

3-Examples of applications

**4-Imaging and 2D kernels**

5-Non-uniform scattering

6-Depth dependence and the coupling of surface waves and body waves

Coda=multiply scattered waves

Assumptions:

0: uniform change

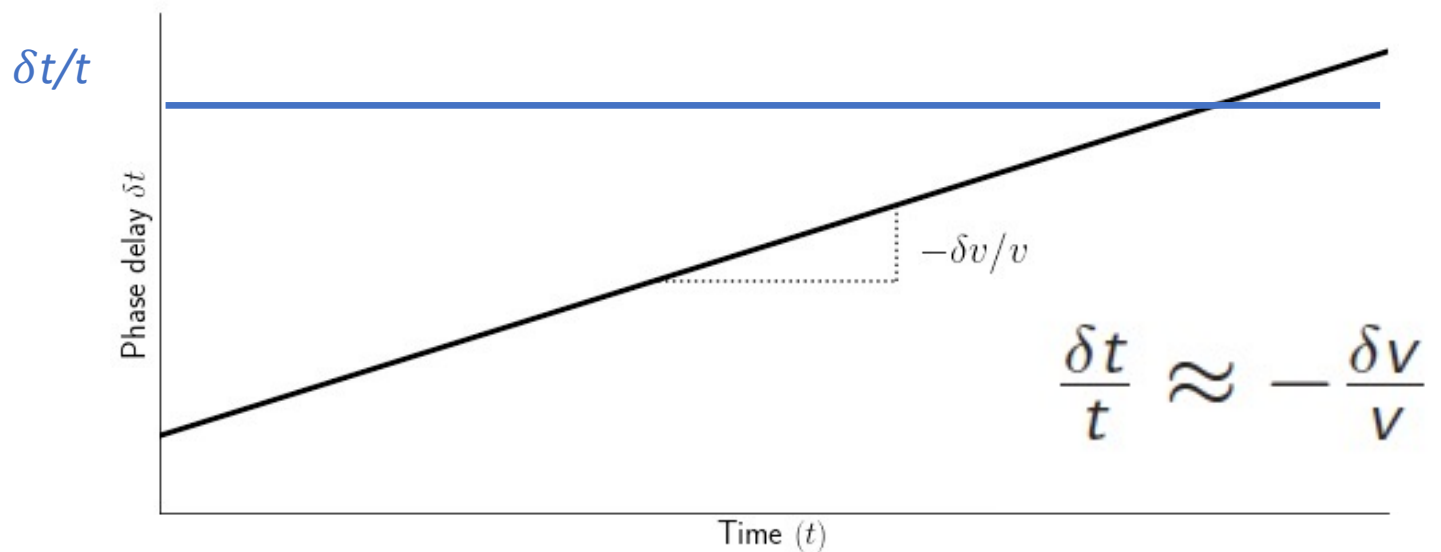
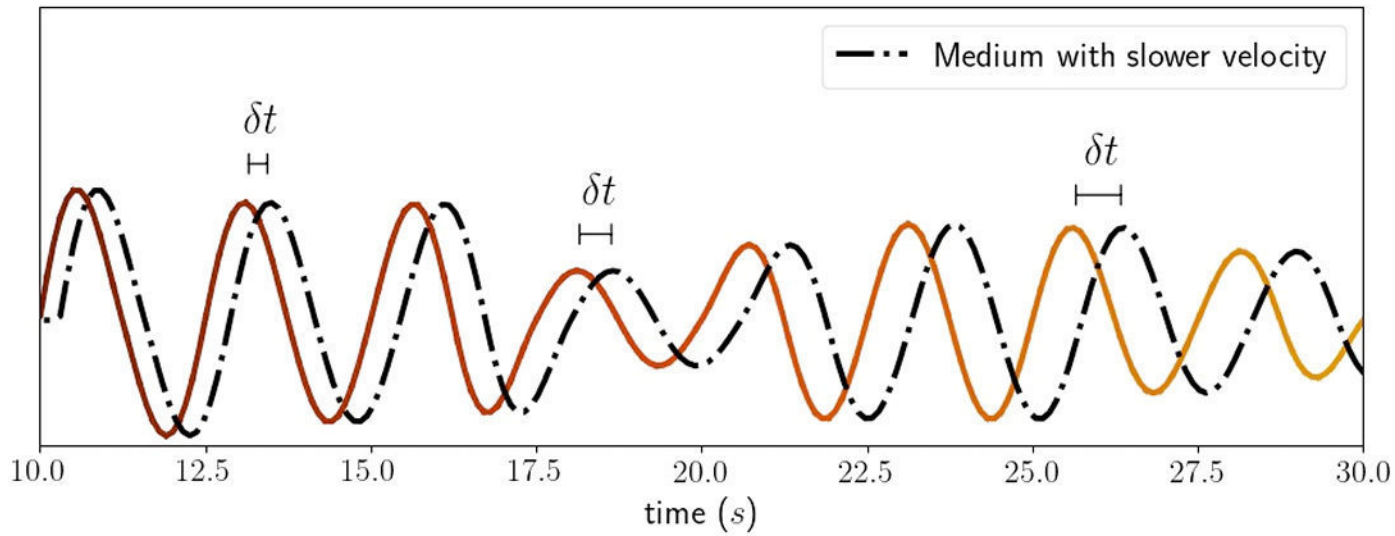
1: uniform scattering properties

2: scalar waves

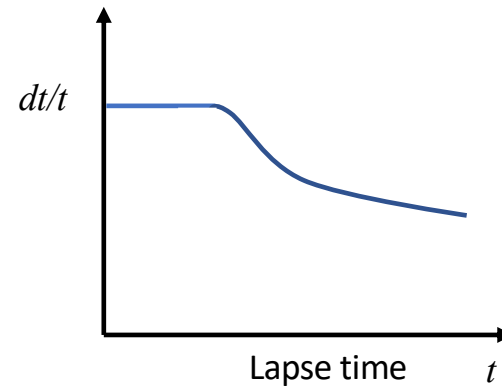
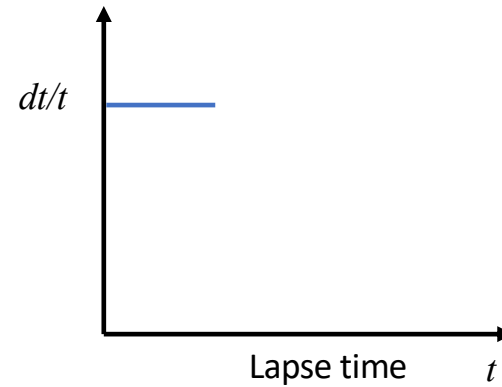
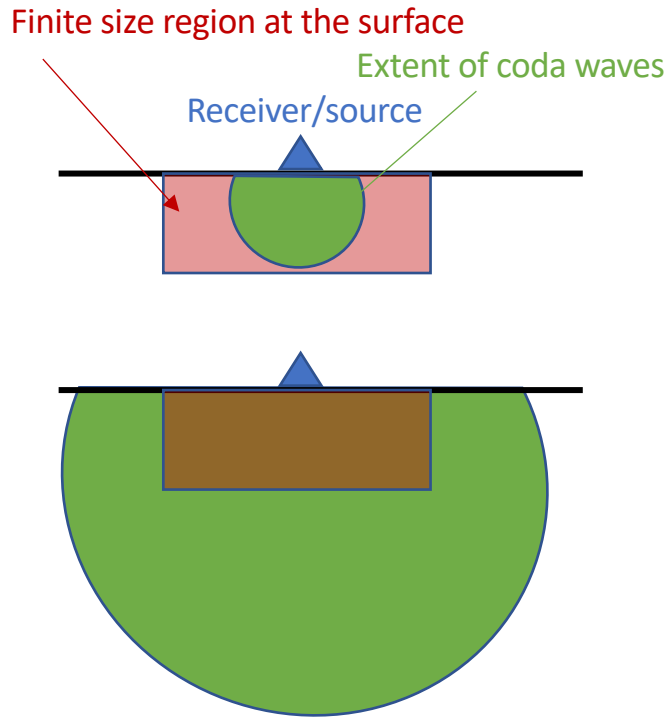
3: isotropic scattering

.....

Assumption 0: Homogeneous change of seismic velocity : constant slope of  $\delta t$



Beyond assumption 0 : Localized change of seismic velocity / body waves

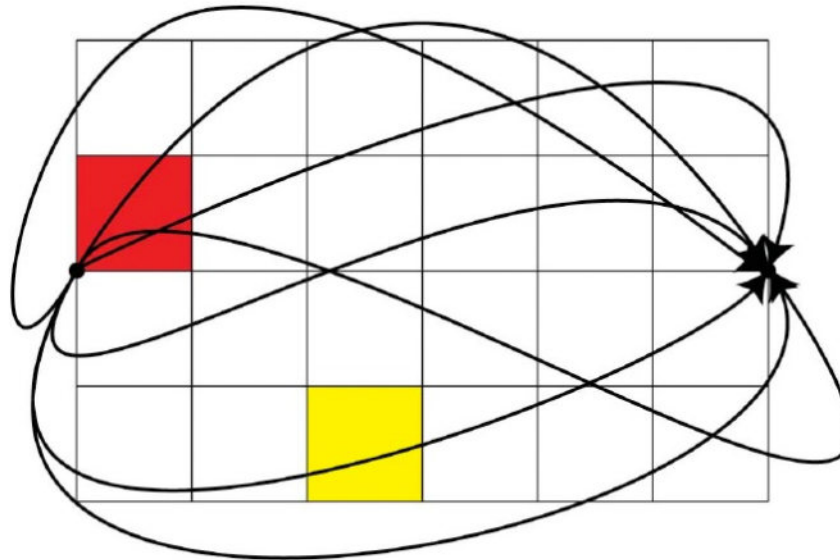


Actual meaning of the results under an homogeneous distribution hypothesis ?

Linear formulation

$$\delta t(\tau, r_1, r_2) = - \int_V K(x, r_1, r_2, \tau) \frac{\delta v}{v}(x) dV(x)$$

The sensitivity kernel relates the travel time with a spatial distribution. It can be calculated measuring the time the particles pass in each zone of the medium, when going from the source to the receiver in a given time



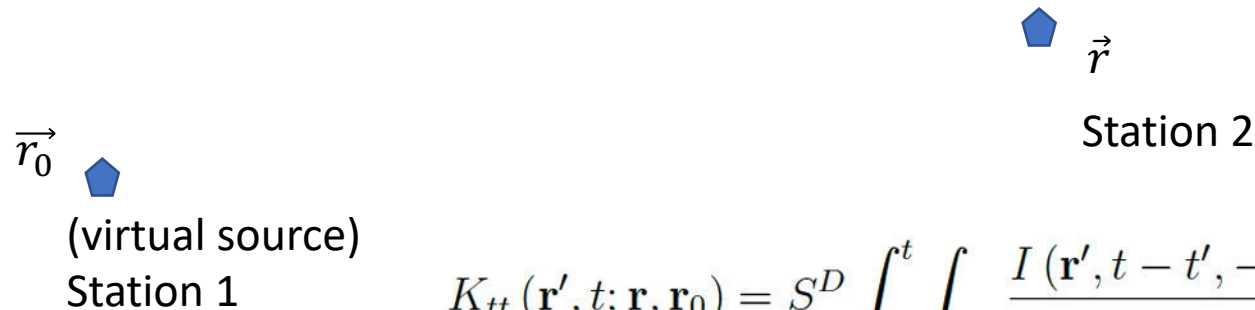
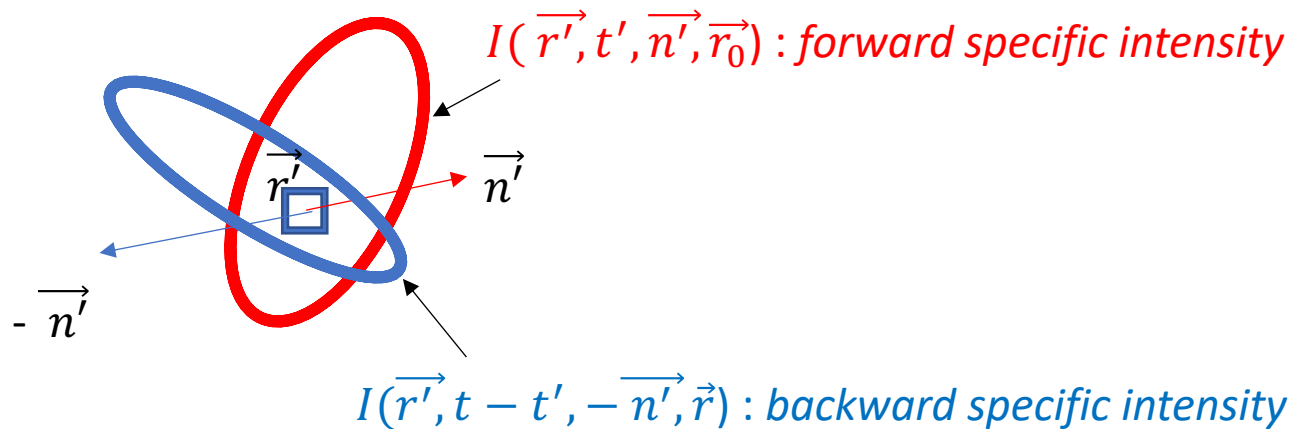


The detail of the subsurface are not known.

To perform differential imaging, we rely on statistical models of heterogeneity and solutions of the Radiative Transfer Equation.

Kernels for travel time  $K_{tt}$  (or amplitude  $K_Q$  (*absorption*) : *passive perturbations*

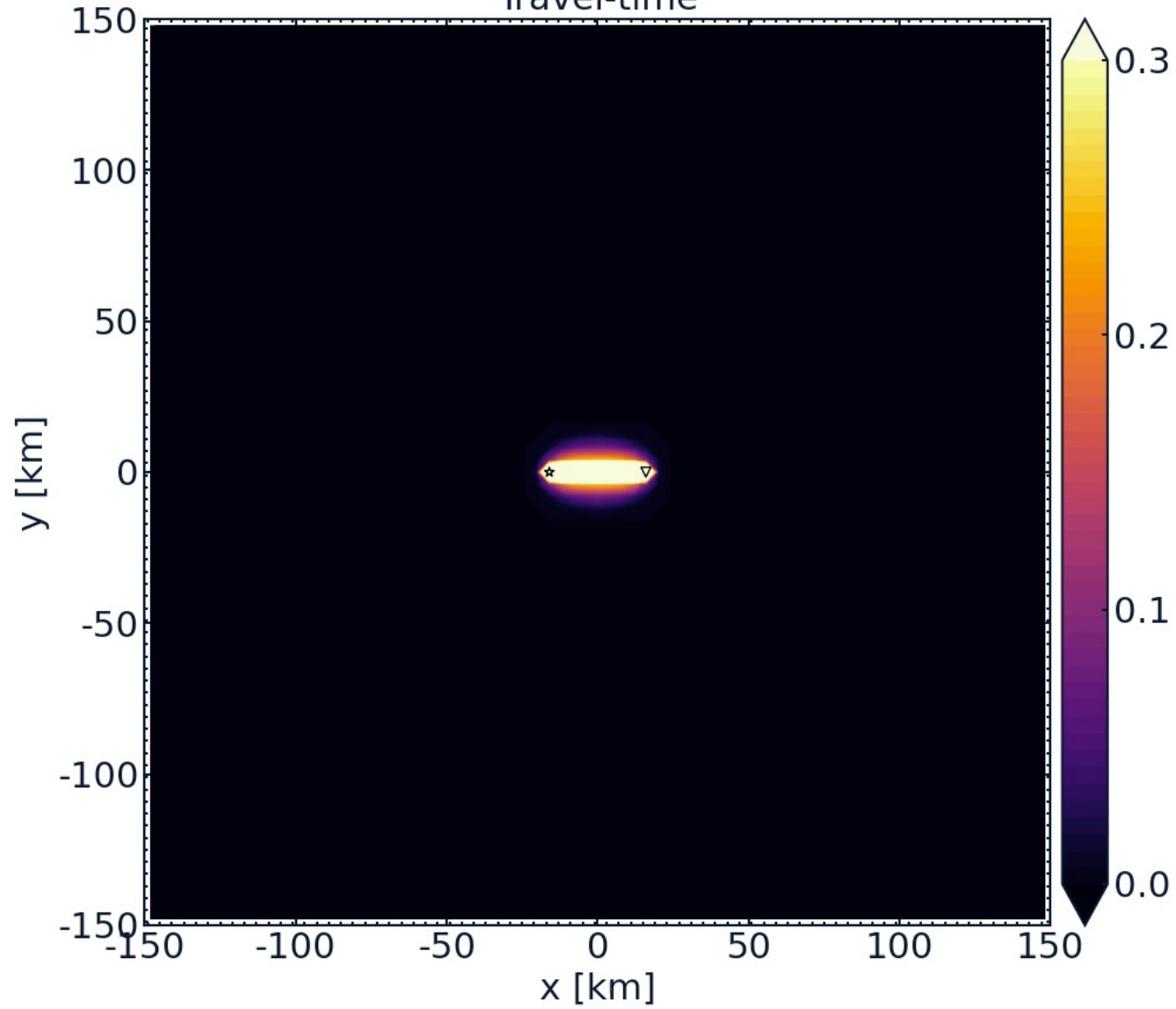
We made the assumption of isotropic scattering, but the field itself is **highly anisotropic** for finite times



$$K_{tt}(\mathbf{r}', t; \mathbf{r}, \mathbf{r}_0) = S^D \int_0^t \int_{S^D} \frac{I(\mathbf{r}', t - t', -\mathbf{n}'; \mathbf{r}) I(\mathbf{r}', t', \mathbf{n}'; \mathbf{r}_0) dt' dn'}{I(\mathbf{r}, t; \mathbf{r}_0)}$$

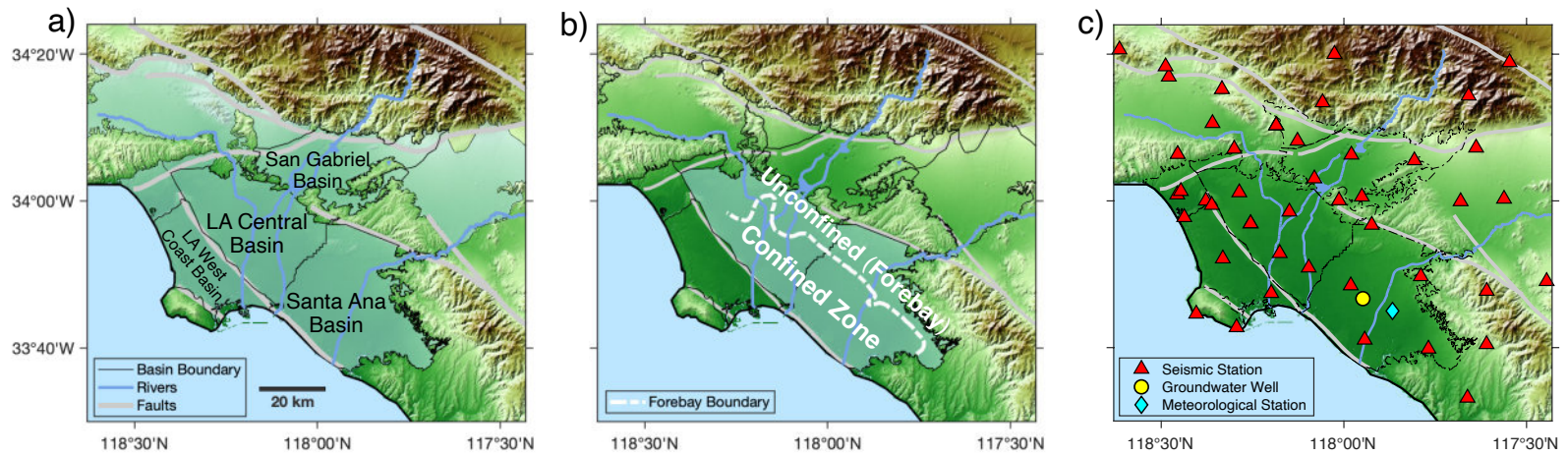
For  $t = 16\text{s}$   
Travel-time

RTE Monte  
Carlo solution

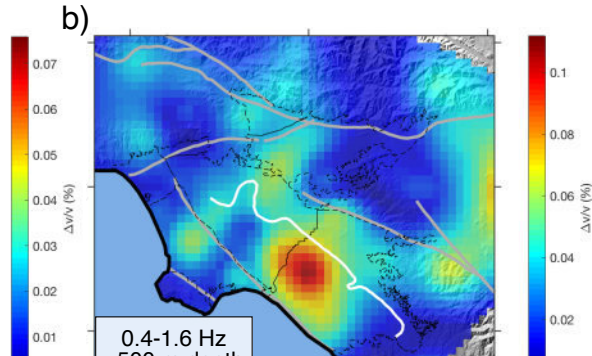
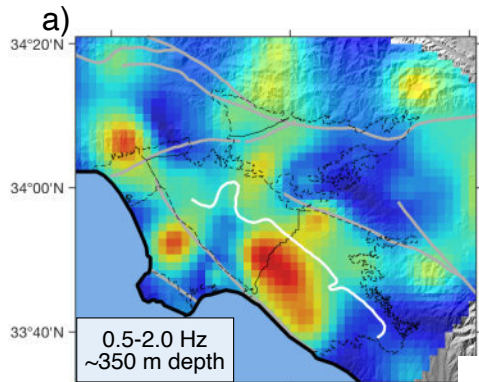


# Space-Time Monitoring of Groundwater Fluctuations via Passive Seismic Monitoring

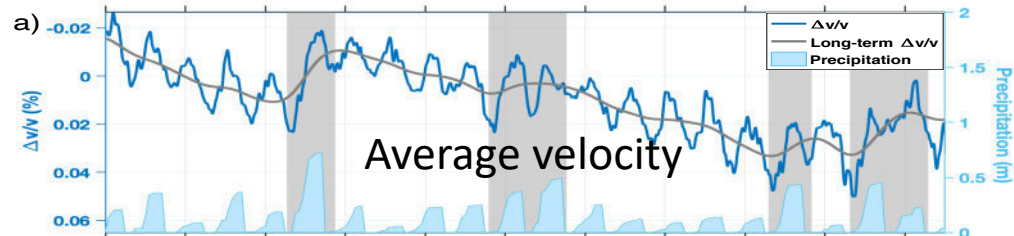
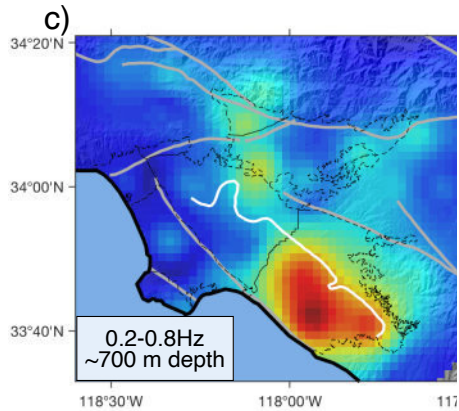
Mao et al., 2022



# Maps of velocity changes using $\Delta v/V$ kernels



It allows for better quantitative measurements



Comparison with a borehole measurement (no collocated station)

1-Introduction

2-Present day limitations and assumptions

3-Examples of applications

4-Imaging and 2D kernels

**5-Non-uniform scattering**

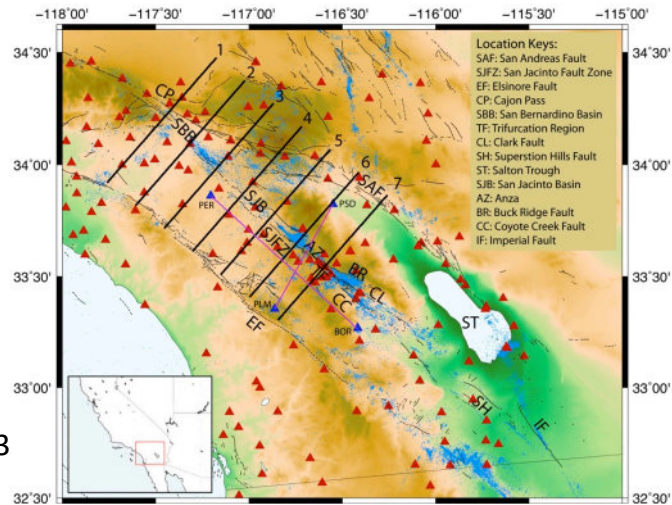
6-Depth dependance and the coupling of surface waves and body waves



# Seismic fault imaging

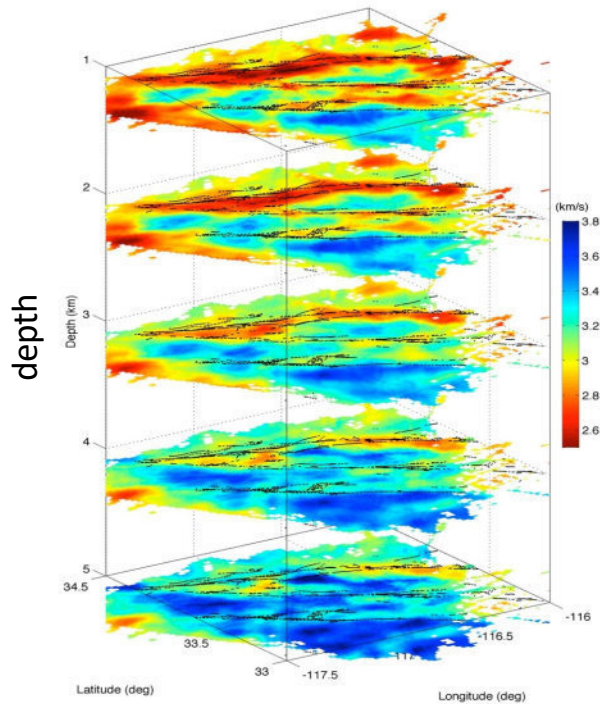
## Noise based tomography

### 9-component noise correlations

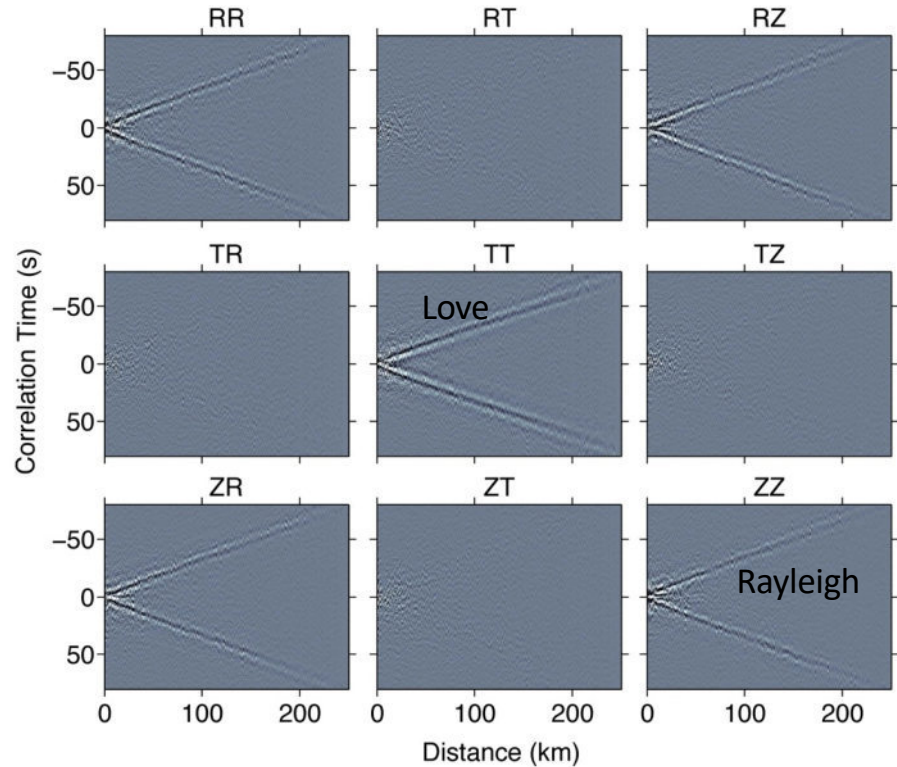


Station  
sismologique 3  
composantes:  
NS/EW/Z

150 station:  
paires

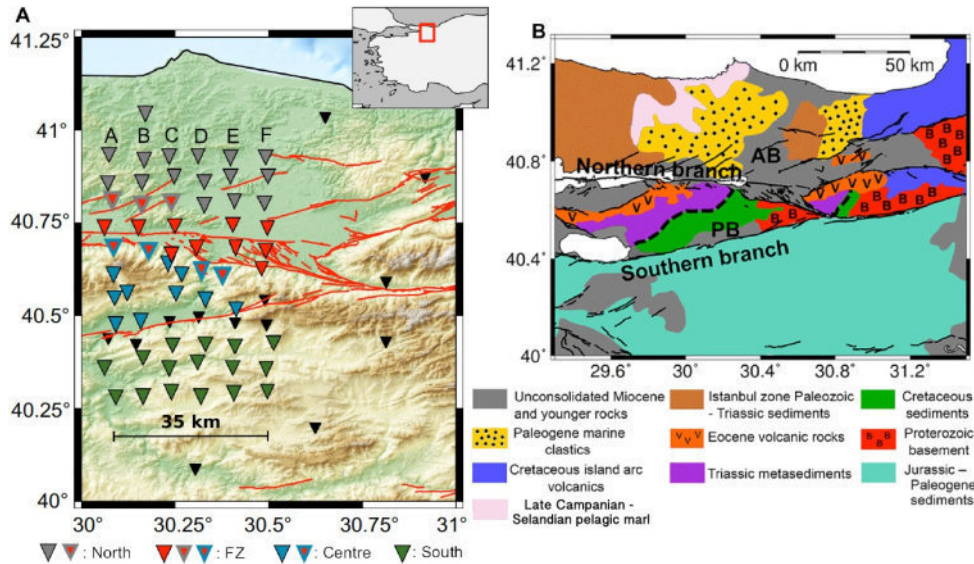


**S-wave velocity image**

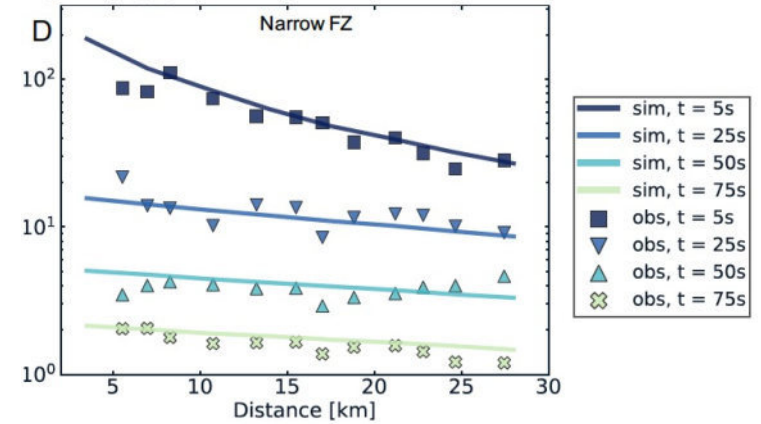


Zigone, et al., 2014

# Scattering strength in the North Anatolian fault region based on observed intensity



## Coda intensity



Data and Monte Carlo simulations in media with non-uniform scattering

➔ Existence of a narrow ( around 5 km) high scattering zone along the Northern Branch of the NAF (van Dinther et al, 2020)

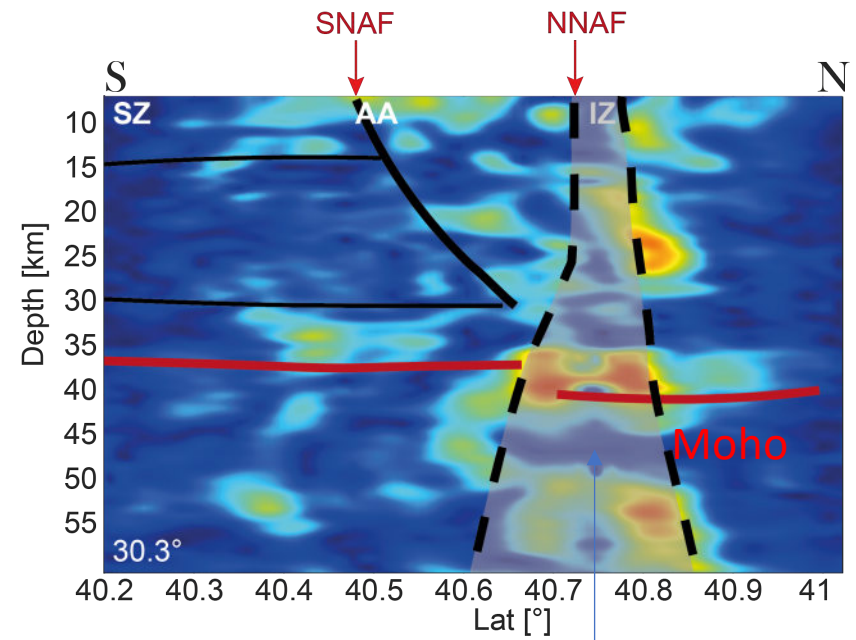
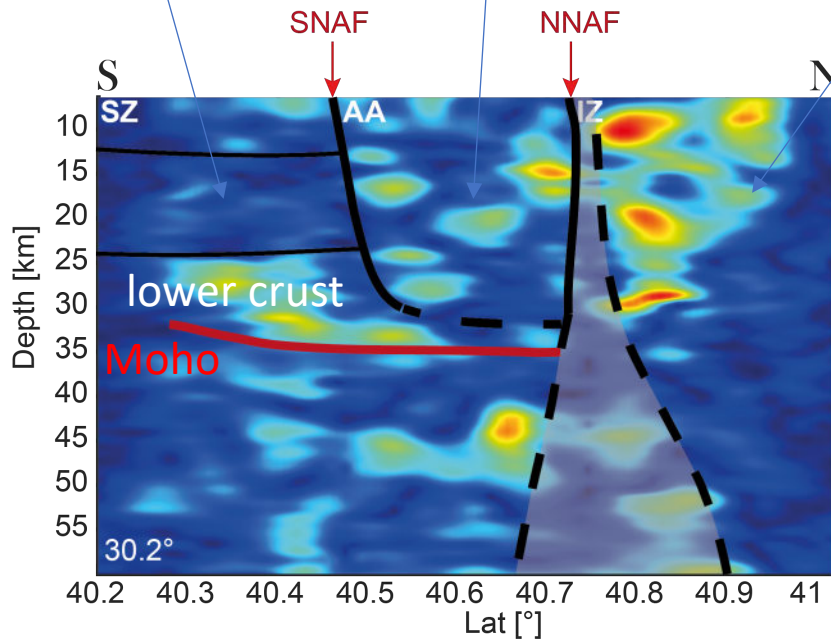
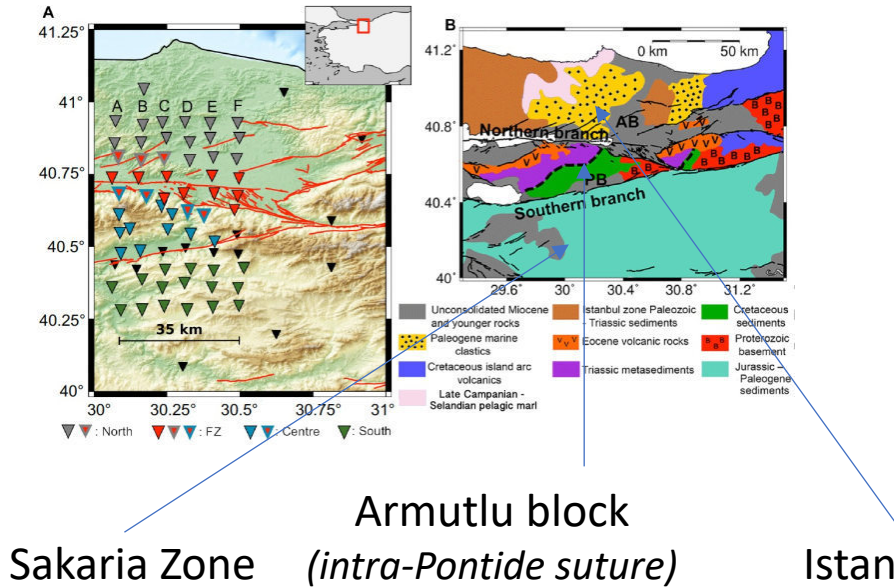
Implications for monitoring/ sensitivity kernels?



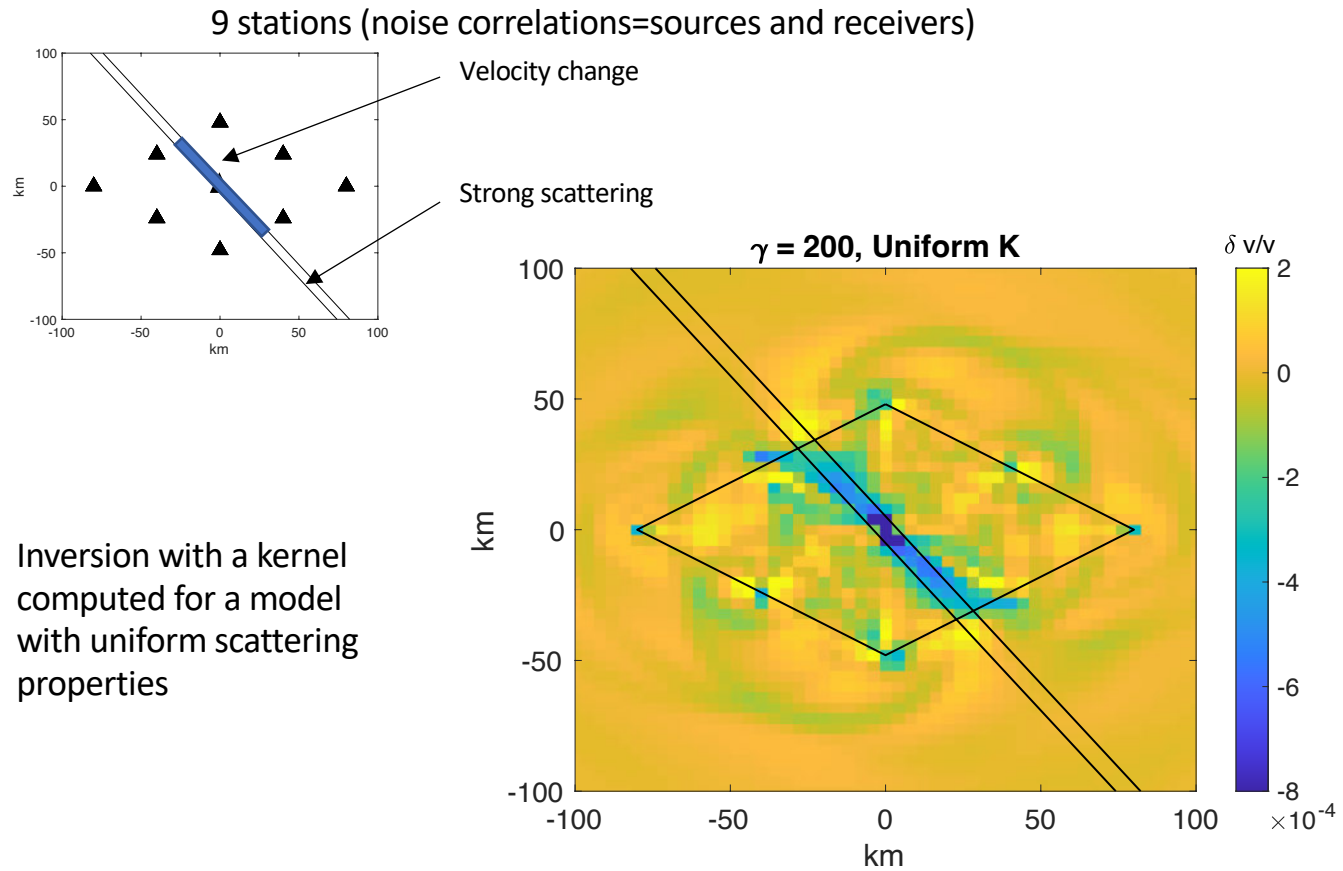
# Passive body wave imaging in the North Anatolian fault region

Matricial approach

Redatuming/backpropagation, beam forming + multiple scattering cancellation and iterative correction of aberrations (Aubry et al., Blondel et al., 2018, Touma et al. 2021a,b)



# Numerical test : a velocity change in a section of the highly scattering North Anatolian fault

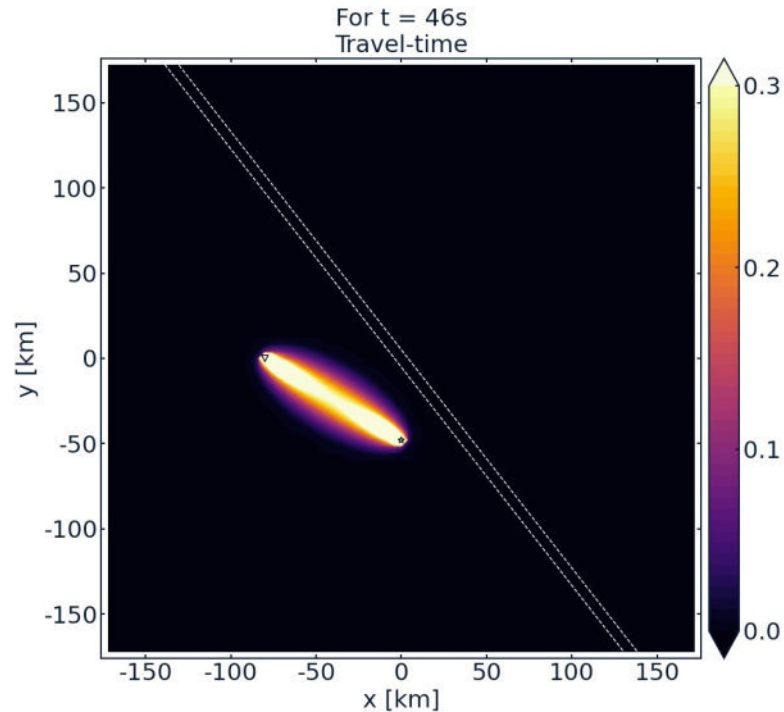


Kernels for medium where scattering properties are not uniform.  
Monte Carlo simulations

$$K_{tt}(\mathbf{r}', t; \mathbf{r}, \mathbf{r}_0) = S^D \int_0^t \int_{S^D} \frac{I(\mathbf{r}', t - t', -\mathbf{n}'; \mathbf{r}) I(\mathbf{r}', t', \mathbf{n}'; \mathbf{r}_0) dt' dn'}{I(\mathbf{r}, t; \mathbf{r}_0)}$$

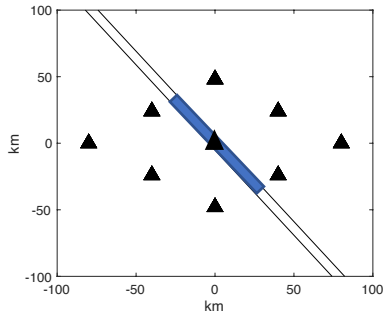
*(van Dinther et al., 2021)*

Fault zone (high scattering band)

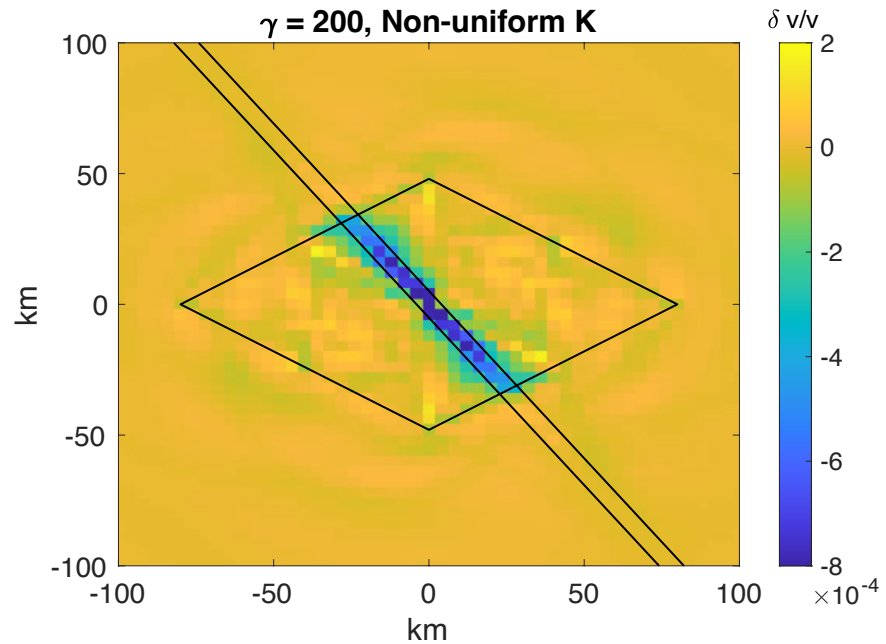


Numerical test : a velocity change in a section of the highly scattering North Anatolian fault

9 stations (noise correlations=sources and receivers)



Inversion with a kernel  
computed for a model  
with **non-uniform**  
**scattering properties**



1-Introduction

2-Present day limitations and assumptions

3-Examples of applications

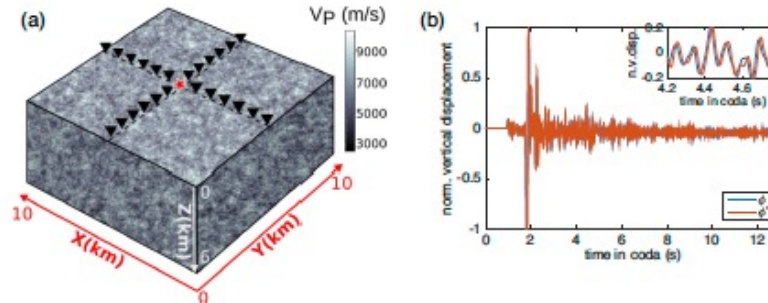
4-Imaging and 2D kernels

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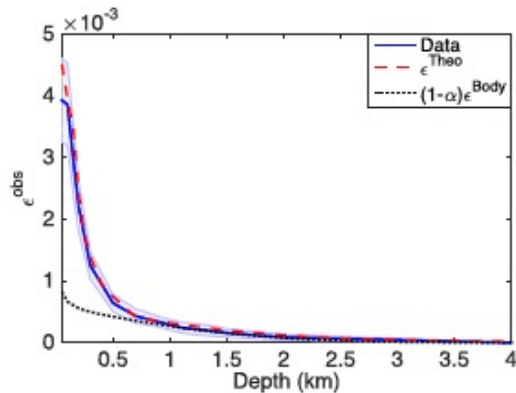
**6-Depth dependence and the coupling of surface waves and body waves**

# Previous results on the coupling and sensitivity to a change in a thin flat layer

Numerical results of Obermann et al., 2016: full 3D elastic half space

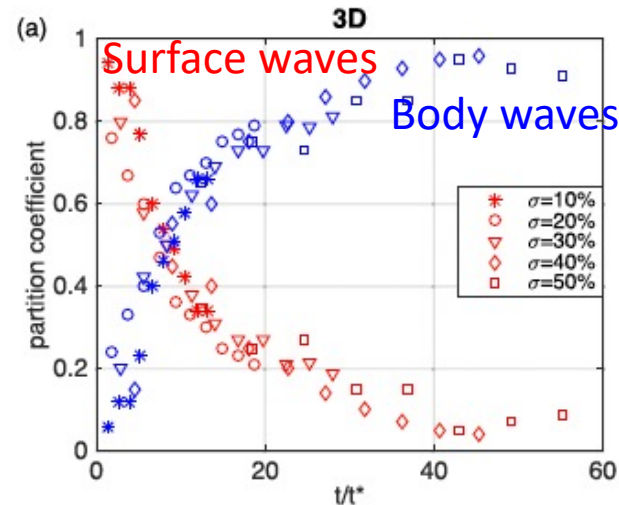


**Figure 1.** (a) Heterogeneous model  $(x, y) = 10 \text{ km}$ ,  $z = 6 \text{ km}$ . The red star marks the source position and the black inverted triangles mark some exemplary receiver positions. (b) Synthetic seismograms recorded without ( $\phi$ , blue) and with perturbed layer ( $\phi'$ , red) at the surface in a medium with 20 per cent velocity fluctuation.



**Figure 4.** Apparent relative velocity changes with depth of the perturbed layer ( $\sigma = 20$  per cent). The modelled data (dashed-red)  $\epsilon^{\text{Theo}}(d, t = 2 \text{ s})$  fit the observations very well. We note the importance of the surface waves, as the body-wave regime  $(1 - \alpha)\epsilon^{\text{Body}}$  (with  $\alpha = 0.75$ ) alone cannot account for the steep slope at short times.

$$\epsilon^{\text{Theo}}(d, t) = \alpha(t)\epsilon^{\text{Surf}}(d) + (1 - \alpha(t))\epsilon^{\text{Body}}(d, t).$$



# Scalar Wave Equation Model with Surface Waves

- Helmholtz Eq. with mixed B.C. in 3-D Half-Space geometry ( $z > 0$ )

$$\Delta u + \frac{\omega^2}{c^2} u = 0$$

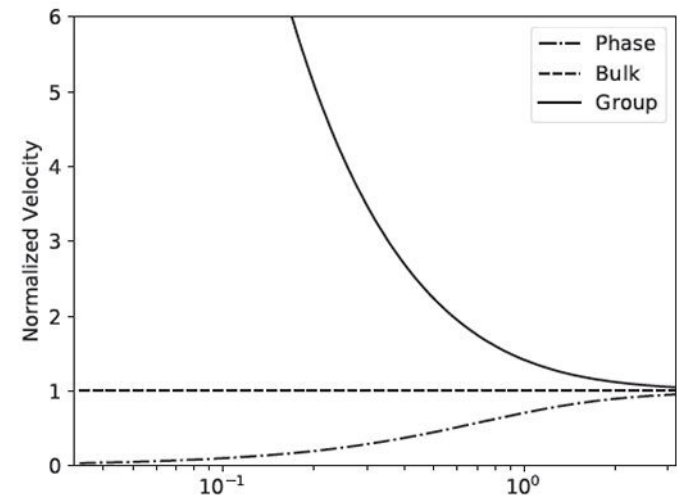
Robin condition  $\partial_z u + \alpha u = 0$  at  $z = 0$  with  $\alpha > 0$

penetration depth<sup>-1</sup>

- **Surface waves** :  $u(\mathbf{r}, z) = \sqrt{2\alpha} e^{-\alpha z} \frac{e^{i\mathbf{k}_{\parallel} \cdot \mathbf{r}}}{2\pi}$  with  $\underbrace{\mathbf{k}_{\parallel} \cdot \mathbf{k}_{\parallel} - \alpha^2 = \frac{\omega^2}{c^2}}_{\text{Dispersion relation}}$

*Margerin, Barajas and Campillo (2019)*

→ Green function and (Born) differential cross sections





## Transport Equation for coupled Surface and Body Waves

$$\begin{aligned}
 (\partial_t + v_g \hat{\mathbf{n}} \cdot \nabla) e_s(t, \mathbf{r}, z, \hat{\mathbf{n}}) = & - \frac{e_s(t, \mathbf{r}, z, \hat{\mathbf{n}})}{\tau^s} + \frac{1}{\tau^{s \rightarrow s}} \int_{2\pi} p^{s \rightarrow s}(\hat{\mathbf{n}}, \hat{\mathbf{n}}') e_s(t, \mathbf{r}, z, \hat{\mathbf{n}}') d\hat{\mathbf{n}}' \\
 & + \boxed{\frac{1}{\tau^{b \rightarrow s}(z)} \int_{4\pi} p^{b \rightarrow s}(\hat{\mathbf{n}}, \hat{\mathbf{k}}') e_b(t, \mathbf{r}, z, \hat{\mathbf{k}}') d\hat{\mathbf{k}}'} + s_s(t, \mathbf{r}, z, \hat{\mathbf{n}})
 \end{aligned}$$

$$\begin{aligned}
 (\partial_t + c \hat{\mathbf{k}} \cdot \nabla) e_b(t, \mathbf{r}, z, \hat{\mathbf{k}}) = & - \frac{e_b(t, \mathbf{r}, z, \hat{\mathbf{k}})}{\tau^b(z)} + \frac{1}{\tau^{b \rightarrow b}} \int_{4\pi} p^{b \rightarrow b}(\hat{\mathbf{k}}, \hat{\mathbf{k}}') e_b(t, \mathbf{r}, z, \hat{\mathbf{k}}') d\hat{\mathbf{k}}' \\
 & + \boxed{\frac{1}{\tau^{s \rightarrow b}} \int_{2\pi} p^{s \rightarrow b}(\hat{\mathbf{k}}, \hat{\mathbf{n}}') e_s(t, \mathbf{r}, z, \hat{\mathbf{n}}') d\hat{\mathbf{n}}'} + s_b(t, \mathbf{r}, z, \hat{\mathbf{k}})
 \end{aligned}$$

$$\text{B.C. : } e_b(t, \mathbf{r}, 0, \hat{\mathbf{k}}_i) = e_b(t, \mathbf{r}, 0, \hat{\mathbf{k}}_r)$$

### Difference with Conventional Transport Equations

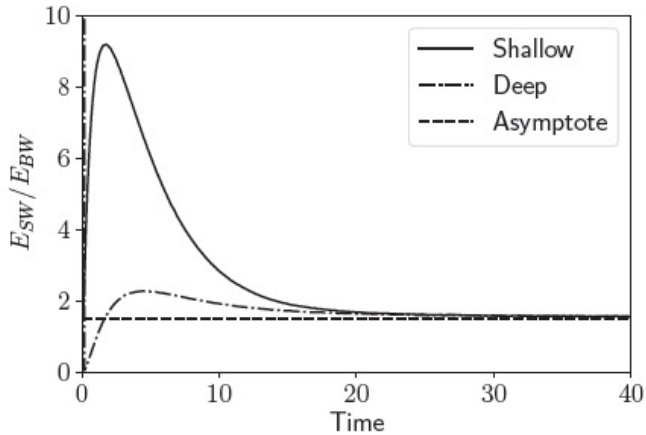
- Depth-Dependent Scattering Mean Free Time
- Surface Wave wavelength is a parameter in the Eqs



# Energy Partitioning

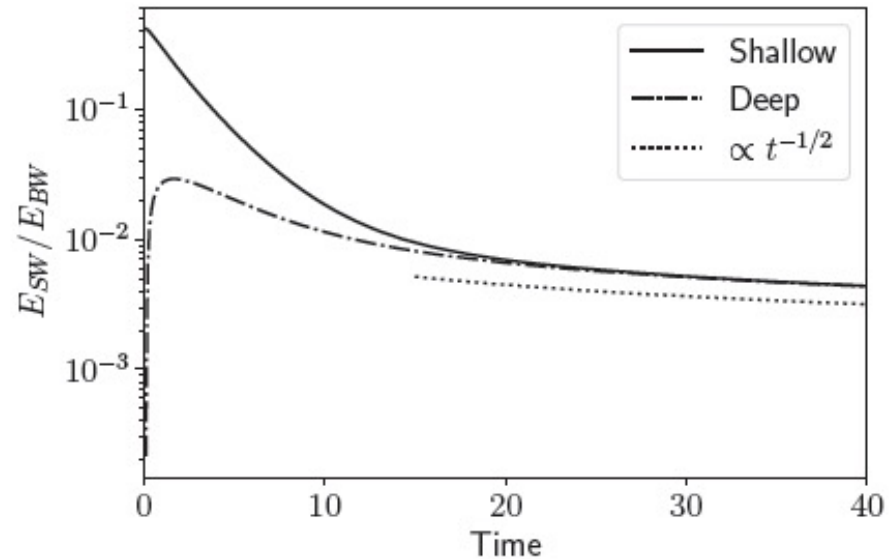
Local partition at a fixed ratio  
(predominance of surface waves) as expected  
from the density of states

Local ( $z = 0$ )



The ratio of global energies decays with time, even asymptotically as a signature of the dimensionality of the two wave processes.

Global



The part of body waves in average in the medium increases with lapse time

Probleme of a change in a flat layer. : the change depends only on depth

A phonon can propagate in two different modes, and can *also* arrive in two possible modes. We therefore can keep track of four different time densities

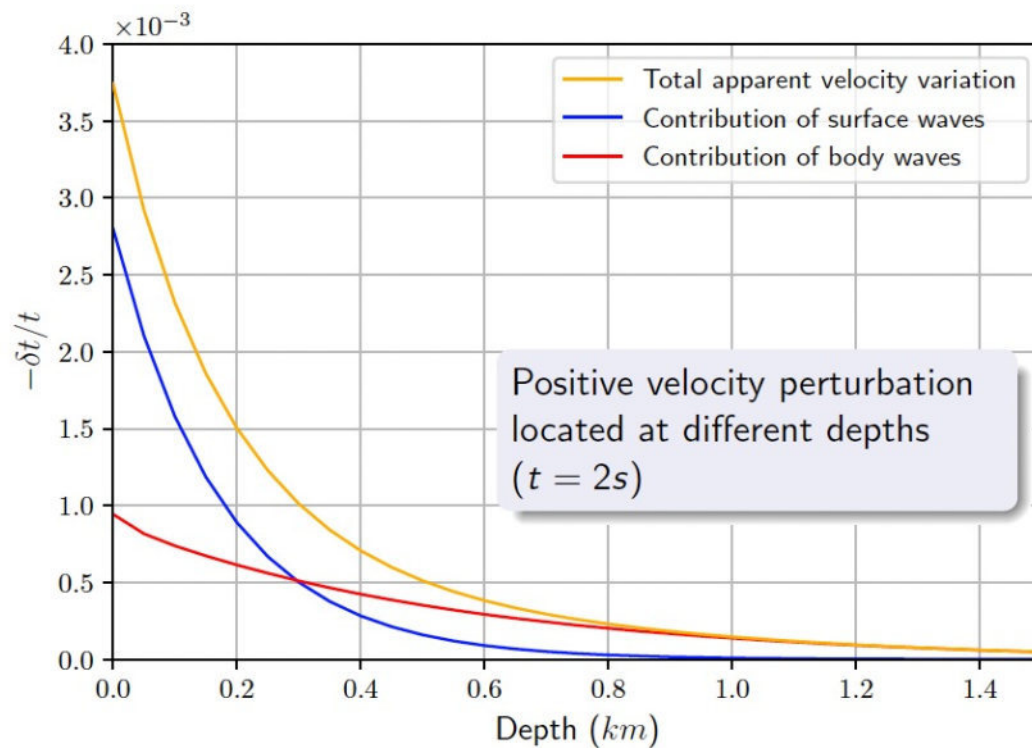
$$\bar{t}_{s \rightarrow s}; \bar{t}_{s \rightarrow b}; \bar{t}_{b \rightarrow s}; \bar{t}_{b \rightarrow b} \quad (10)$$

First index: Propagation mode. Second index: Arrival mode.

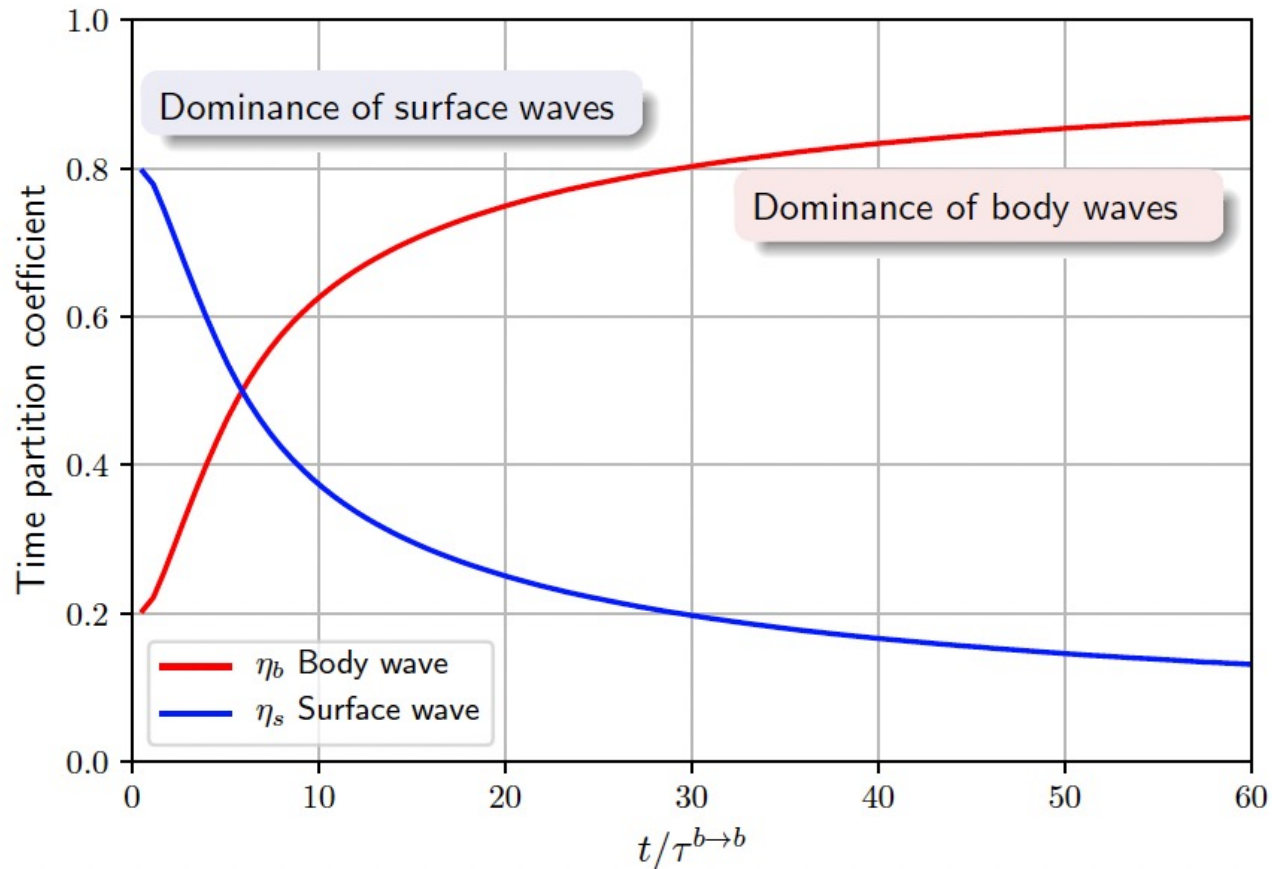
## Sensitivity Entanglement

This means that, for example, a particle that arrived propagating in the body wave mode could have been contributing to the sensitivity of the surface waves

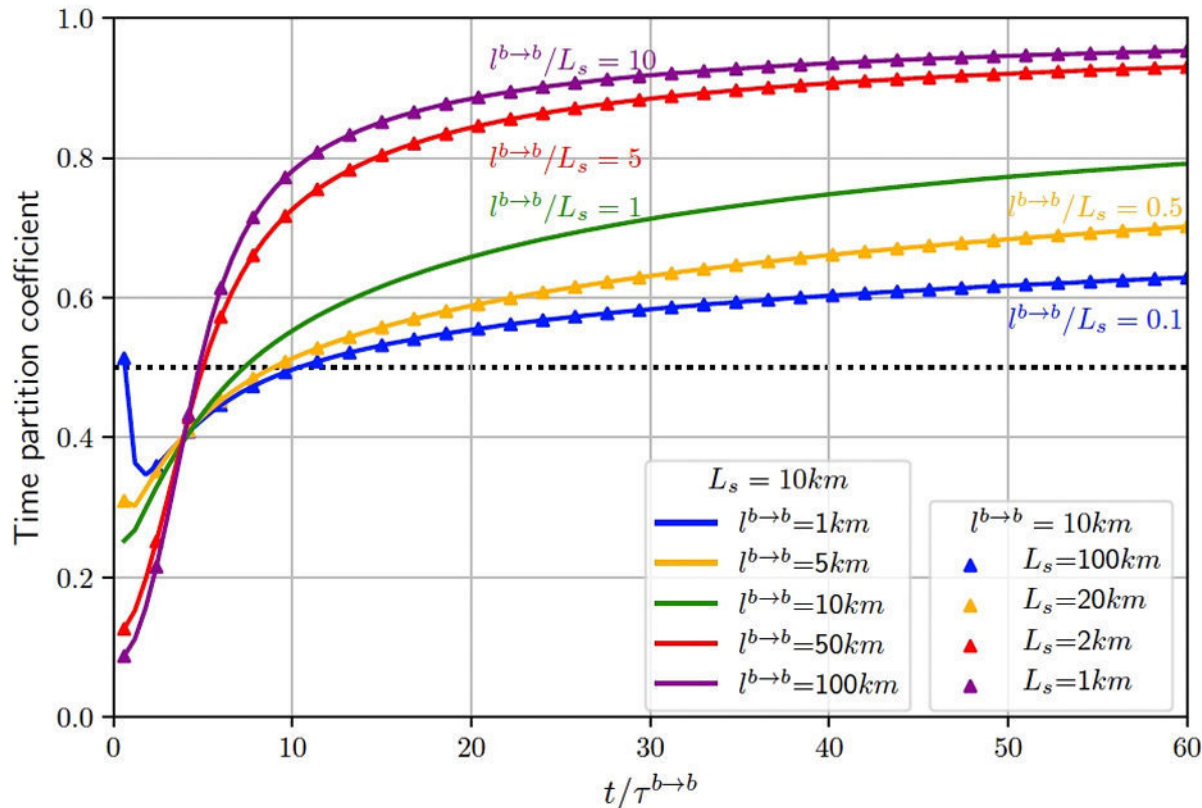
$$\frac{\delta t}{t} = \int \left( -\frac{\langle t_s \rangle}{t} K_{C_{ph}}(z') - \frac{\langle t_b(z') \rangle}{t} \right) \frac{\delta c}{c}(z') dz'$$



$$1 = \frac{\langle t_s(t, r, z) \rangle}{t} + \frac{\langle t_b(t, r, z) \rangle}{t} = \eta_s(t) + \eta_b(t) \quad : \text{Time partition coefficients}$$



## Time partition coefficient for body waves



$L_s$ : penetration depth of surface waves  $\approx$  wave length

$l$  mean free path

The sensitivity of the system is completely controlled by the ratio  $\lambda^{b \rightarrow b}/L_s$

$\rightarrow$  frequency dependent

$\rightarrow$  towards a full 3D coupled kernel

Short conclusion

Measure of  $dt(t)$  with scattered waves ...

Importance of a good knowledge of the structure (velocity  
AND scattering)...

Importance of **including body to surface wave coupling in 3D  
at least at a first order...**