

Field Observations of Material Changes in the Shallow Subsurface

Christoph Sens-Schönfelder

GFZ German Research Centre for Geosciences, Potsdam, Germany

SPIN Workshop, 05/26/2022

Contents

Part I: Coda wave sensitivity in the presence of variable heterogeneity (work by Tuo Zhang)

Part I: Field observations of velocity changes

Part II: Hydrology and Earthquake Damage (work by Luc Illien)

Contents

Part I: Coda wave sensitivity in the presence of variable heterogeneity (work by Tuo Zhang)

Part I: Field observations of velocity changes

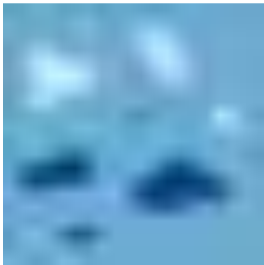
Part II: Hydrology and Earthquake Damage (work by Luc Illien)

Recap: Radiative transfer Theory

- ▶ describes flux of energy in the medium resolved for directions
- ▶ total intensity can be measured as seismogram envelope



S-energy from P-source



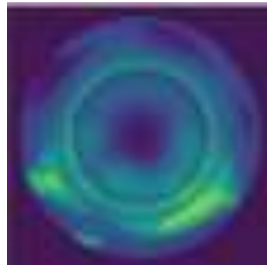
[Zhang et al., 2021]

Recap: Radiative transfer Theory

- ▶ describes flux of energy in the medium resolved for directions
- ▶ total intensity can be measured as seismogram envelope

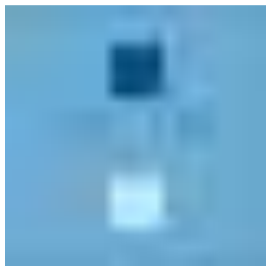


S-energy from P-source



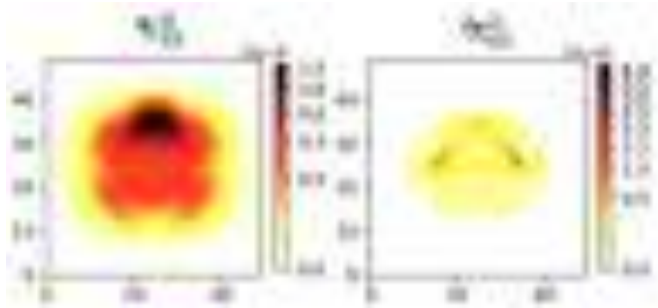
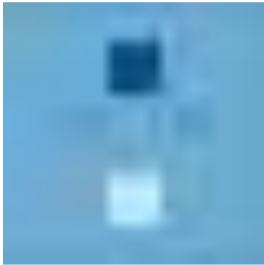
[Zhang et al., 2021]

Sensitivity of coda waves to detect changes



[Zhang et al., 2021]

Sensitivity of coda waves to detect changes



[Zhang et al., 2021]

Contents

Part I: Coda wave sensitivity in the presence of variable heterogeneity (work by Tuo Zhang)

Part I: Field observations of velocity changes

Part II: Hydrology and Earthquake Damage (work by Luc Illien)

Influence of fluids in Germany



fluids - temperature - strain - damage/healing

Influence of fluids in Germany

Reservoir with CO₂ injection



[Lüth et al., 2017]

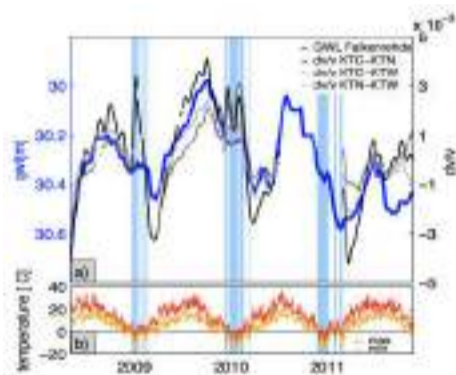
Influence of fluids in Germany

Reservoir with CO₂ injection



[Lüth et al., 2017]

Ground water level and frost



[Gassenmeier et al., 2015]



fluids - temperature - strain - damage/healing

Hydrology and poroelastic effects

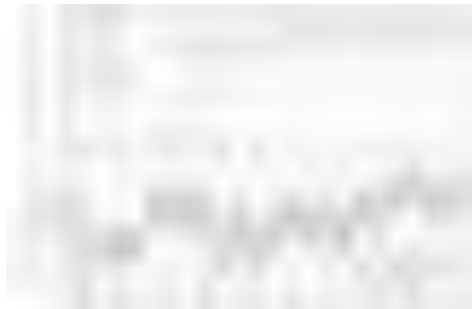


Karst aquifer in southern Italy

Hydrology and poroelastic effects



Karst aquifer in southern Italy



[Barajas et al., 2021]

Hydrology and poroelastic effects

- ▶ dv/v quite well explained by hydrology
- ▶ aquifer inflation also observed as horizontal deformation by GPS
- ▶ but: difference between dv/v and water level model is systematic



[Barajas et al., 2021]

Hydrology and poroelastic effects

mismatch between dv/v and GWL model
correlates

- ▶ with precipitation
- ▶ with vertical surface displacement

⇒ loading effect



[Barajas et al., 2021]

Drought in California



San Gabriel Valley in California

Drought in California



San Gabriel Valley in California



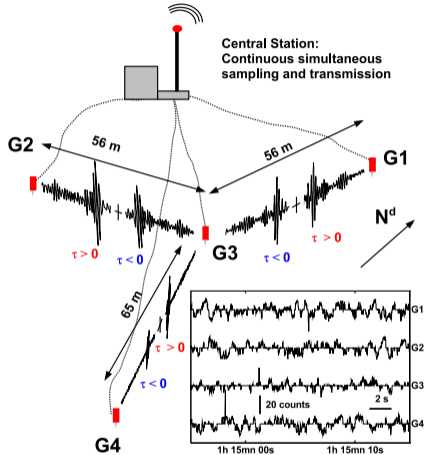
[Clements and Denolle, 2018]

- ▶ sinusoidal effect of thermoelastic stress
- ▶ changes of the GW level

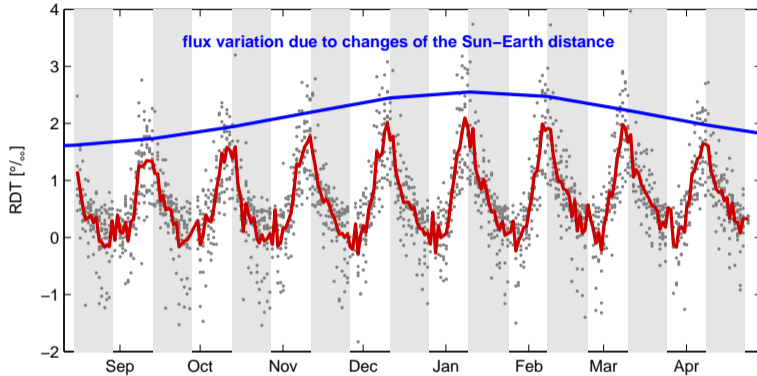
Wave velocity changes in a dry environment

Wave velocity changes in a dry environment

Apollo 17 landing site



Wave velocity changes in a dry environment



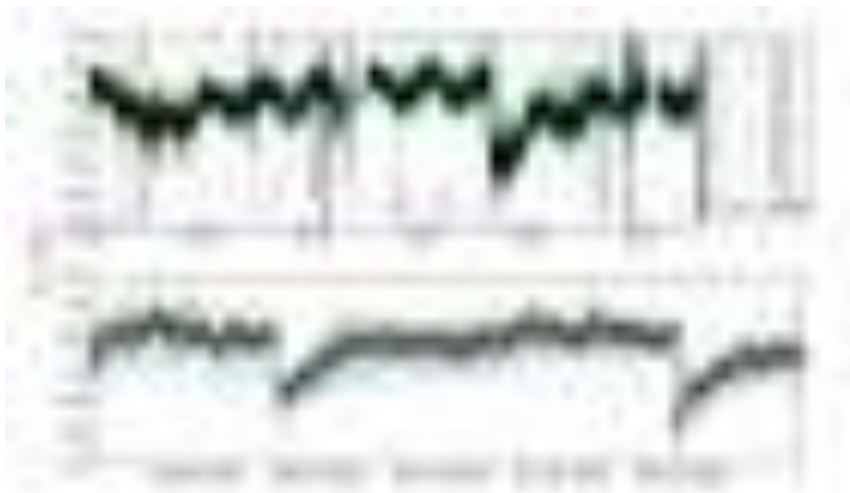
[Sens-Schönfelder and Larose, 2008]

Periodic velocity changes in the Atacama desert

Station Patache in the Atacama desert

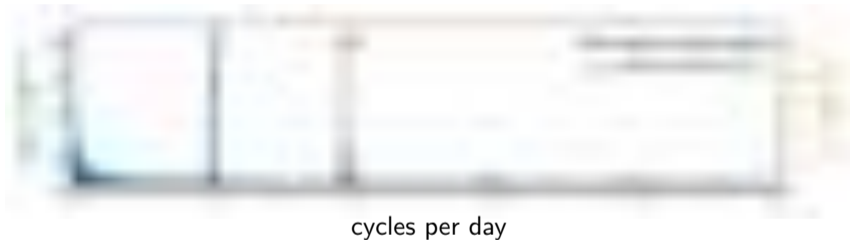


Periodic velocity changes in the Atacama desert



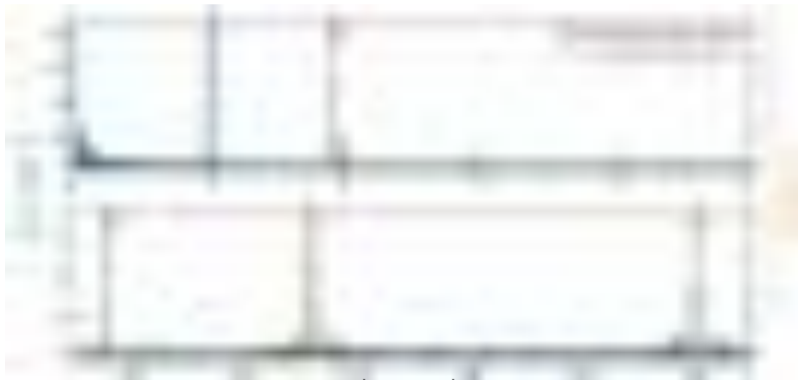
Periodic velocity changes in the Atacama desert

- ▶ S_1 diurnal temperature changes



Periodic velocity changes in the Atacama desert

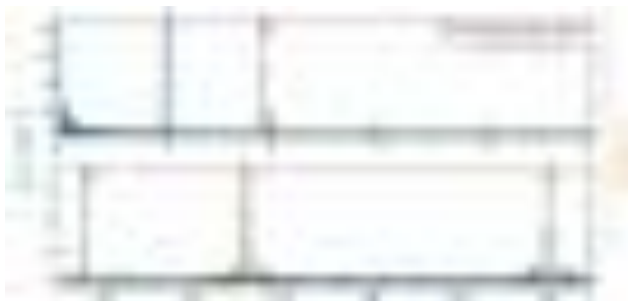
- ▶ M_2 (principal lunar semidiurnal) dominates the 2 cpd peak
- ▶ N_2 (larger lunar elliptic semidiurnal) clearly detected
- ▶ S_2 (principal solar semidiurnal) is under-predicted by tide



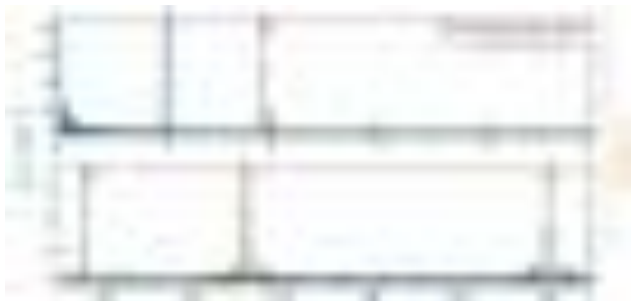
cycles per day



Periodic velocity changes in the Atacama desert



Periodic velocity changes in the Atacama desert



- ▶ use lunar tides to measure strain sensitivity of wave velocity

[Sens-Schönfelder and Eulenfeld, 2019]

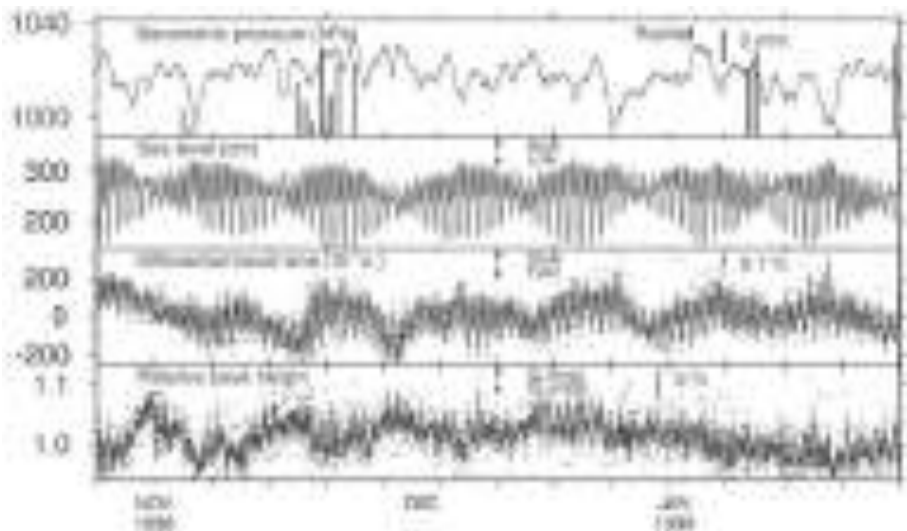
Active seismic observations of tidal deformation



- ▶ dedicated facility with a piezoelectric source and receiver
- ▶ stacks of 16.000 piles every 20 min
- ▶ 1 year of observation

[Yamamura et al., 2003]

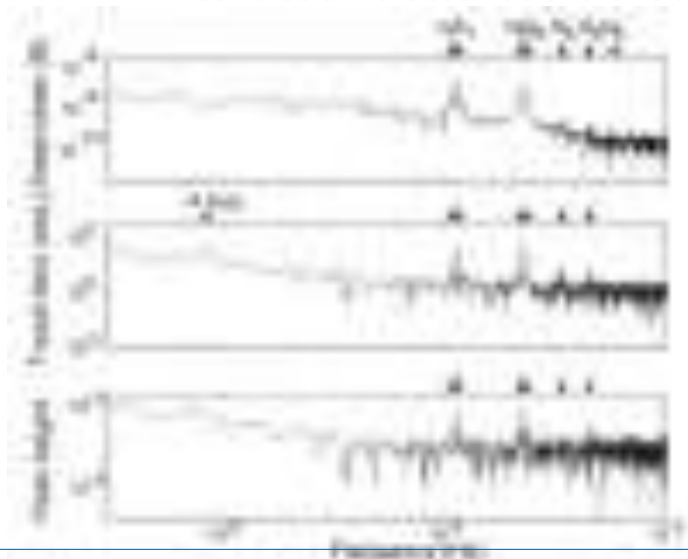
Active seismic observations of tidal deformation



fluids - temperature - strain - damage/healing

[Yamamura et al.,

Active seismic observations of tidal deformation

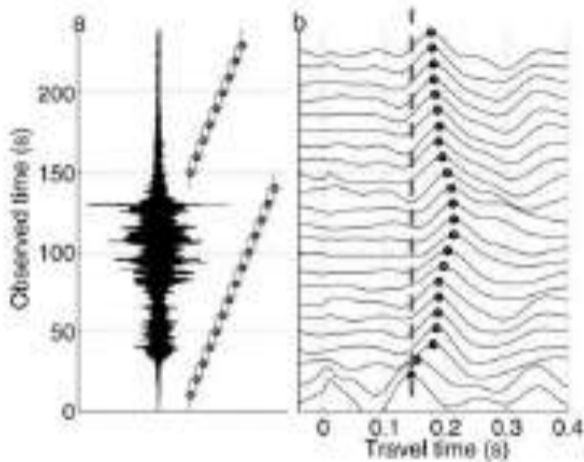


- ▶ observed tidal constituents:
- ▶ O_1 , K_1 , M_2 , S_2 , M_3 , M_4

[Yamamura et al., 2003]

Material Damage in the near surface material

Change of velocity during excitation



[Nakata and Snieder, 2011]



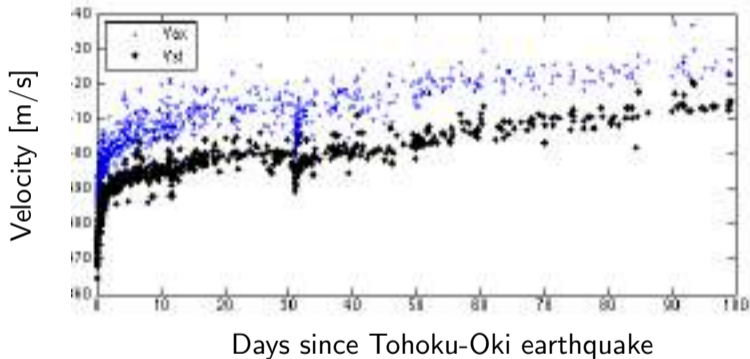
fluids - temperature - strain - damage/healing

Damage and healing of a dam



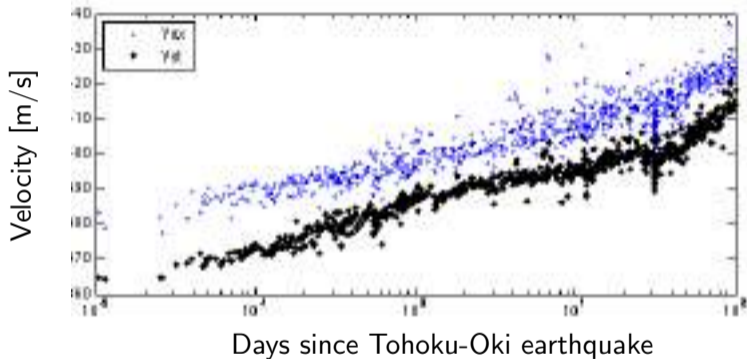
fluids - temperature - strain - damage/healing

Damage and healing of a dam



Ichiro Kuroda, pers. comm.

Damage and healing of a dam



Ichiro Kuroda, pers. comm.

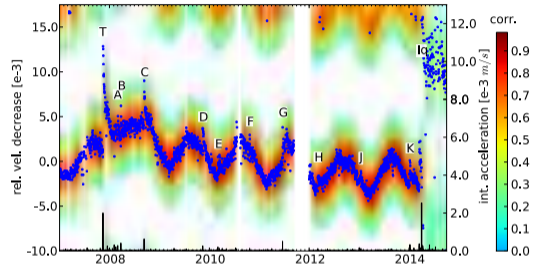
Material damage and healing in the field

Station Patache in the Atacama desert



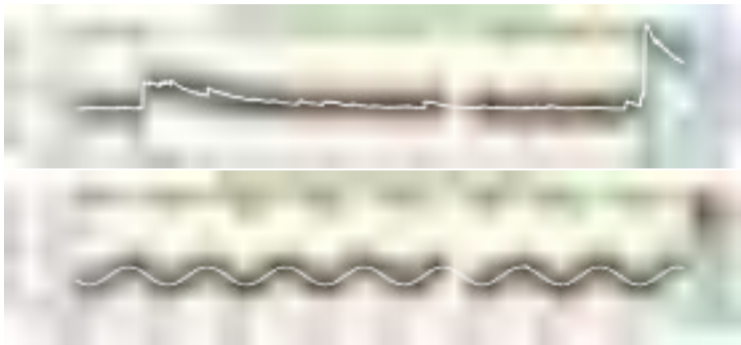
Material damage and healing in the field

Station Patache in the Atacama desert



[Gassenmeier et al., 2016]

Material damage and healing in the field



model of transient variations with:

- ▶ steps that scale with acceleration (shaking)
- ▶ exponential decay

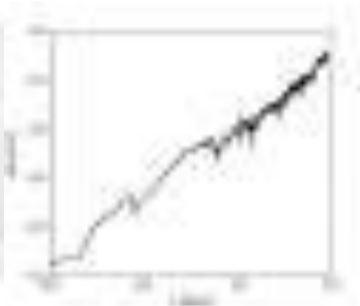
[Gassenmeier et al., 2016]

Material damage and healing in the field

healing in the lab



field measurement



model of transient variations with:

- ▶ steps that scale with acceleration (shaking)
- ▶ exponential decay

[Gassenmeier et al., 2016]

[TenCate et al., 2000]

Damage and healing – a wide-spread observation

Parkfield earthquake 2004



[Wu et al., 2016]

Damage and healing – a wide-spread observation

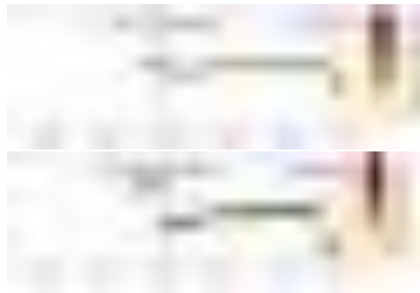
Different events and locations in Japan



◀ ◻ ▶ [Hobiger et al., 2016] 🔍 ↻

Damage and healing – a wide-spread observation

Different events and locations in Japan



[Hobiger et al., 2016]

Summary Part I

Interferometry

- ▶ ambient noise or repeated sources allow to monitor variations of wave velocities in the field
- ▶ can reach resolution of one hour and precision of 10^{-5}

Observations

- ▶ observe rich behavior of velocity due to
 - ▶ environmental changes (water temperature)
 - ▶ transient deformation (damage)
 - ▶ time (healing)

Contents

Part I: Coda wave sensitivity in the presence of variable heterogeneity (work by Tuo Zhang)

Part I: Field observations of velocity changes

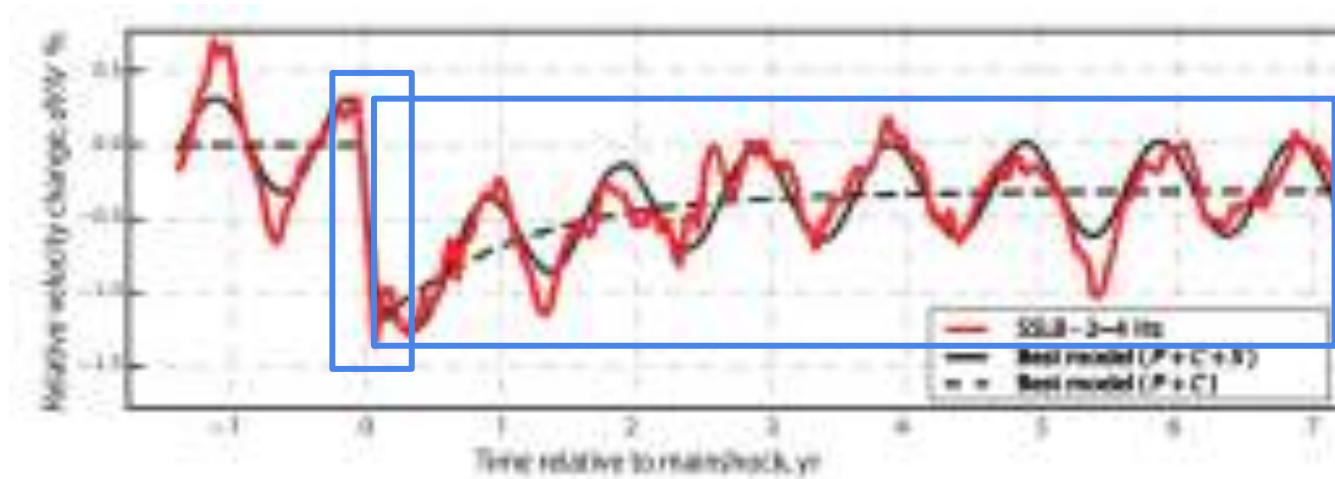
Part II: Hydrology and Earthquake Damage (work by Luc Illien)

Post-seismic variation of hydrological properties

Luc Illien, Christoph Sens-Schönfelder, Odin Marc Christoff Andermann, Kristen L. Cook and Niels Hovius



Non-linear elasticity and seismic interferometry



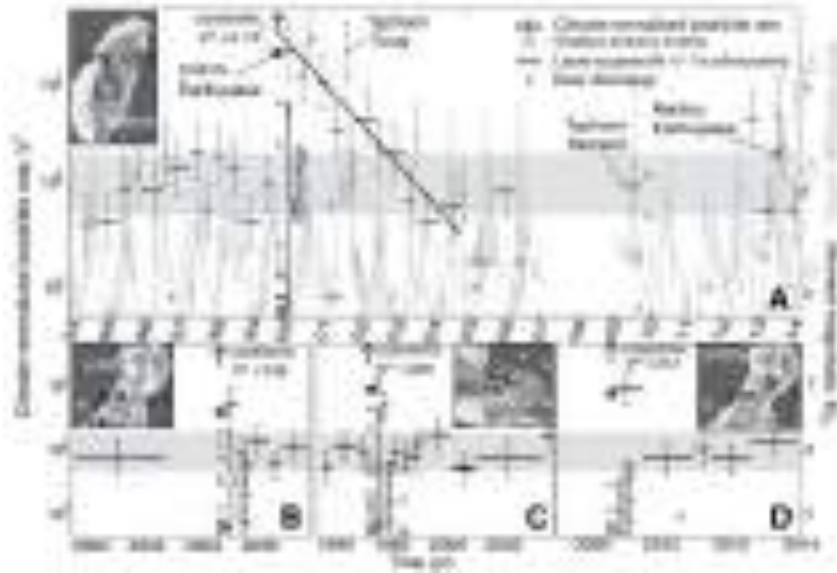
Marc et al, 2021

'Damage' phase

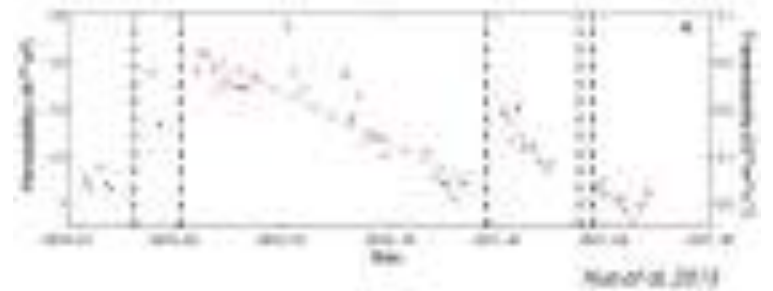
Recovery phase: 'relaxation' or 'slow dynamics'

NLE arises from the defects in the rocks (grain boundaries, cracks, fractures, soft spots etc...) that recover towards a new equilibrium state.

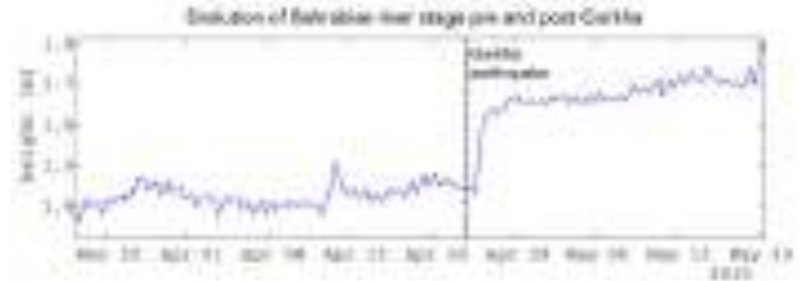
Transient observations after earthquakes



Increased rates of landslides



Increased permeability in boreholes



Increased river discharge


+ many others ...

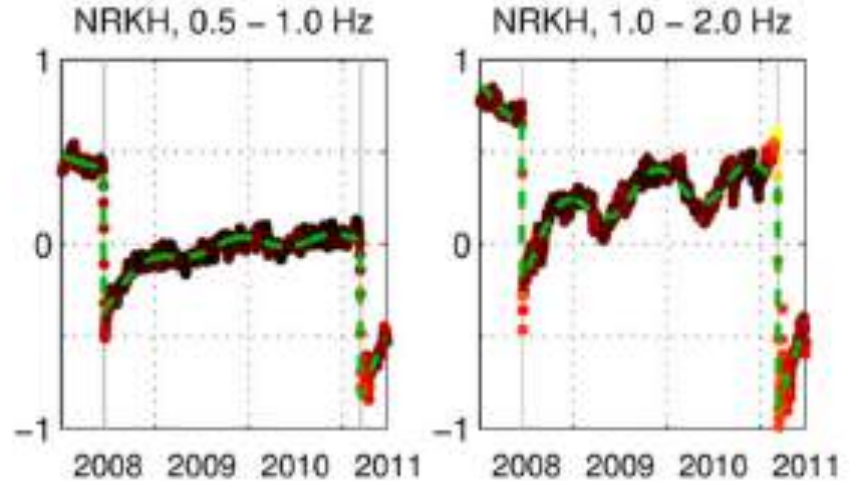
Modelling velocity changes, the classic way

Empirical approach to fit the recovery:

$$\delta v(t) = \delta v_0 \exp\left[\frac{-t}{\tau}\right] + C$$

Linear summation of both effects:

$$\delta v = \delta v_{hydro} + \delta v_{damage}$$





Hobiger et al, 2014

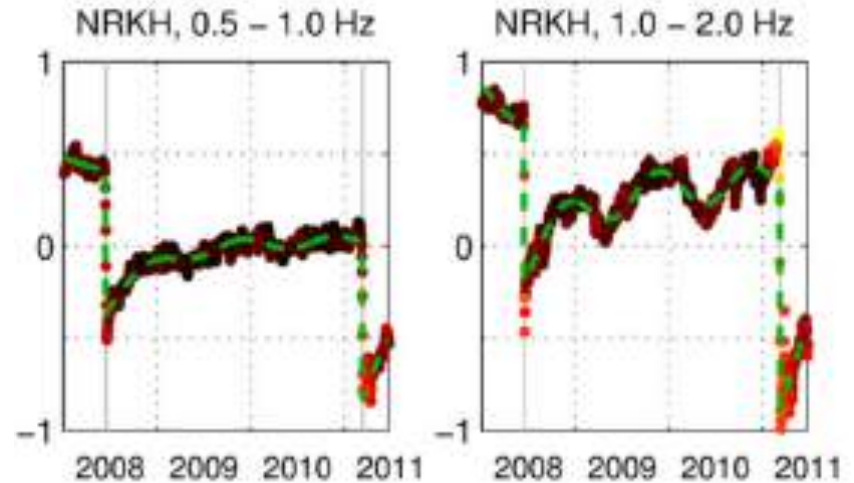
Modelling velocity changes, the classic way

Empirical approach to fit the recovery:

$$\delta v(t) = \delta v_0 \exp\left[\frac{-t}{\tau}\right] + C$$

Linear summation of both effects:

$$\delta v = \delta v_{hydro} + \delta v_{damage}$$




Hobiger et al, 2014

These effects should influence each other !

Question:

Can we track co-seismic perturbations of the hydrological properties after earthquakes from seismic interferometry ?

- **What we need:**

- seismic velocity dataset with

- a large earthquake

- hydrological changes

- a consistent approach to describe the relaxation phenomena in the field

- a good hydrological model

- **Complexity in the field:**

- aftershock perturbations during the recovery time

Roadmap

- Why Nepal ?
- Data and Geophysical Setting
- Methods for retrieving Seismic Velocity Changes

- Results dv/v evolution

- Modelling Section
 - Correcting for Damage
 - Correcting for Hydrological variations

- Conclusions

Why Nepal ?

**Subsurface damage after
Gorkha Earthquake M_w 7.8
(Bothe Koshi valley)**



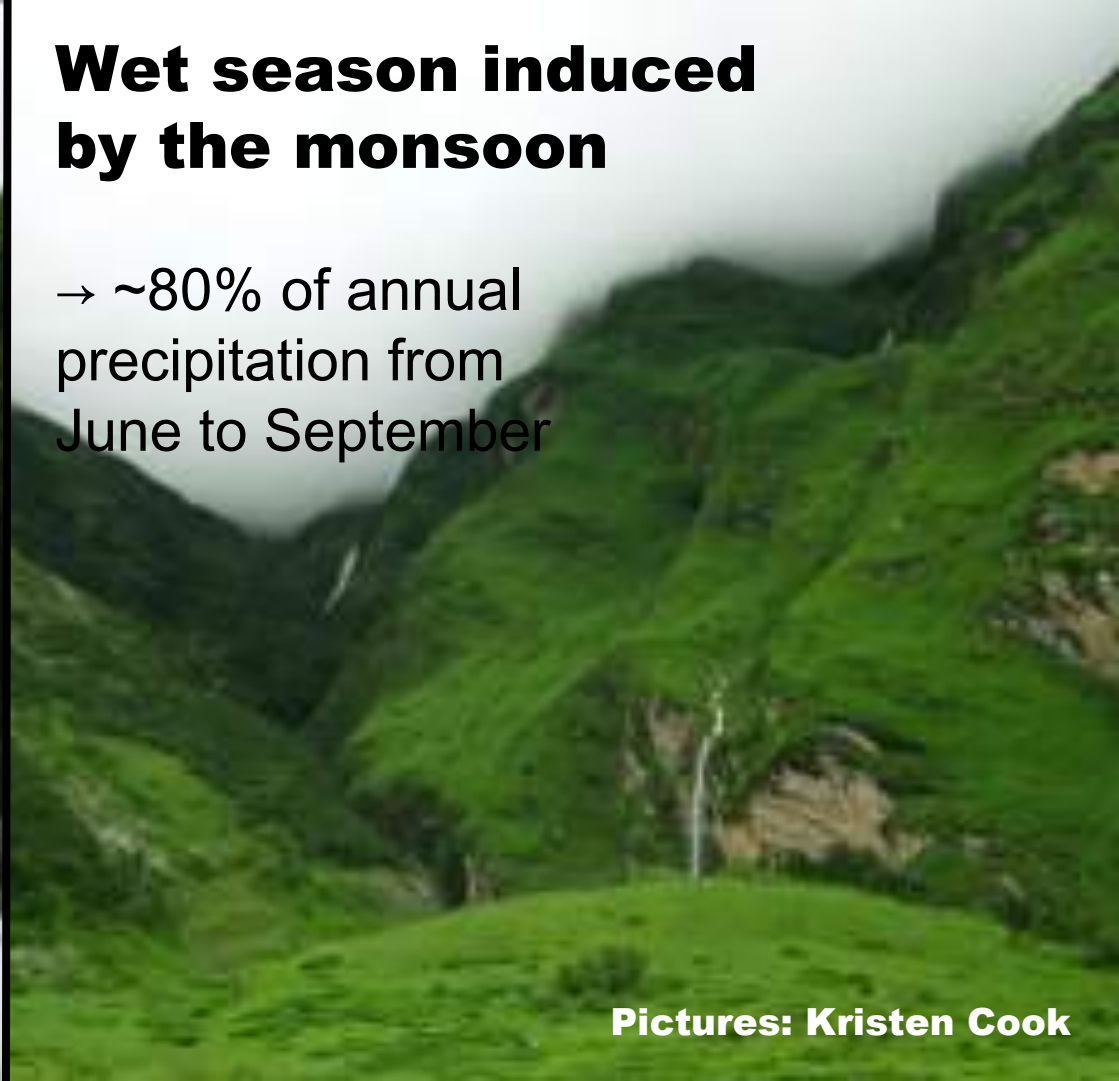
Picture: Kristen Cook

Why Nepal ?



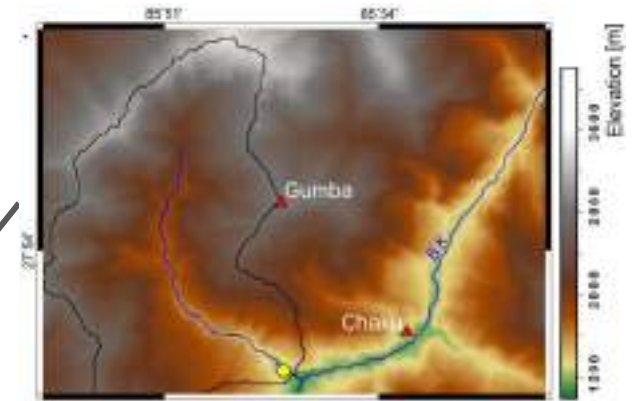
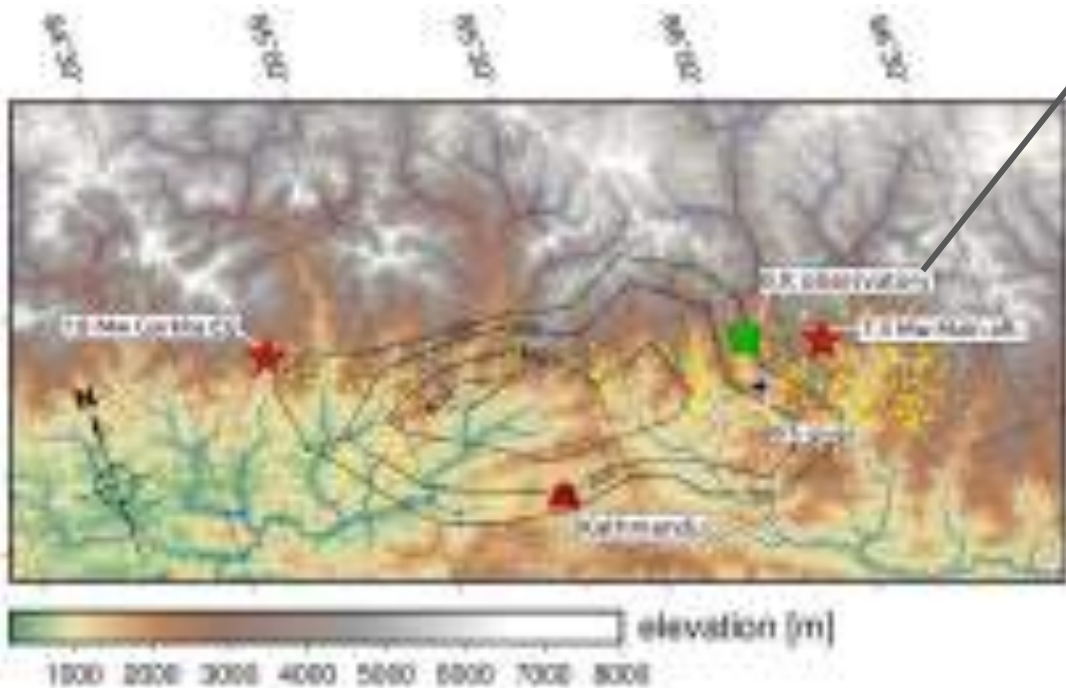
Wet season induced by the monsoon

→ ~80% of annual precipitation from June to September



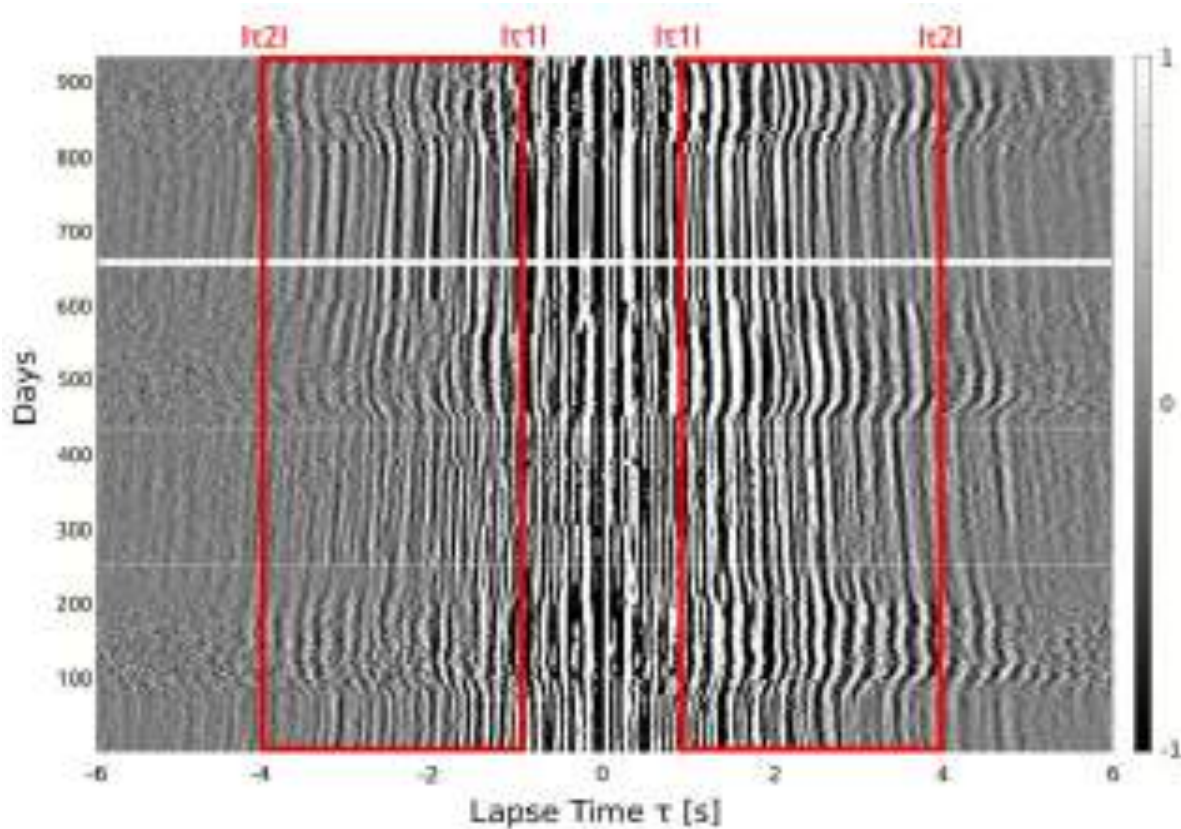
Pictures: Kristen Cook

Data and Geophysical setting



- 3 broadband stations at the Chaku site (~ 50m interstation distance) for temporal averaging

Ambient noise correlations



- **Correlation functions calculated at a hourly time step (4-8Hz).**
- **Stack every 24h \rightarrow Daily Correlation Function**
- **Consider time window from 1s – 4s lapse time**



Results

dv/v_{hydro} : hydrological model

Concept

$$\delta v = \delta v_{hydro} + \delta v_{damage}$$

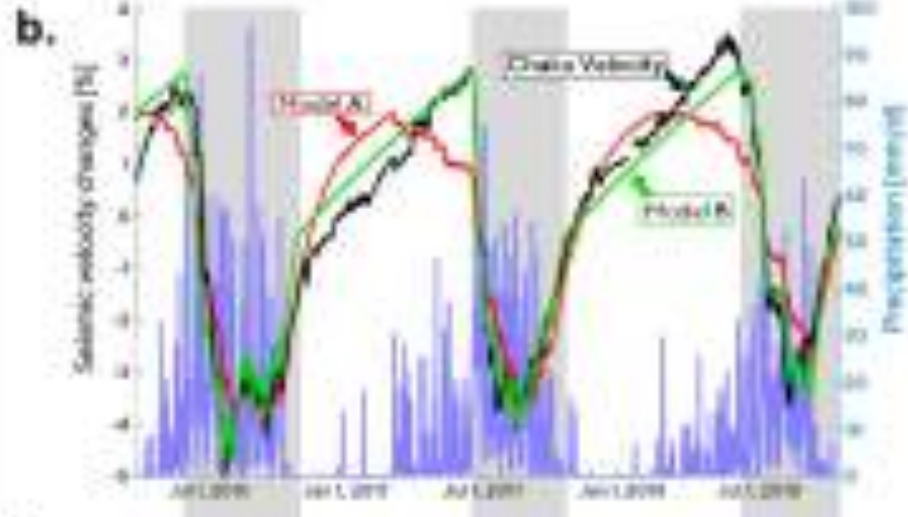
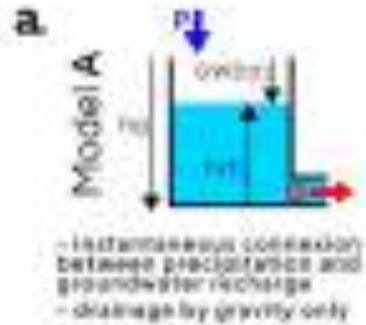

First iteration:

- Estimate the velocity changes caused by Gorkha and its aftershocks.
- Create a hydrological model for the unperturbed behaviour

Second iteration:

- Compute the residuals after damage correction and compare them with the calibrated hydrological model
- Modify the hydrological model with a transient parameter ?

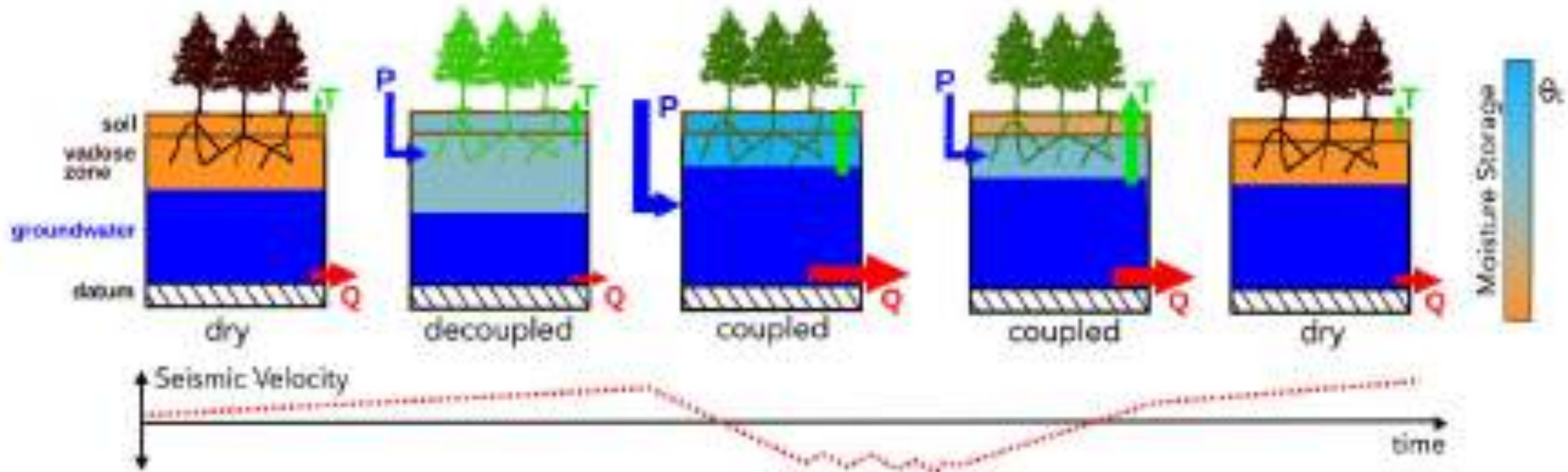
Correcting for hydrology: model of Illien et al, 2021



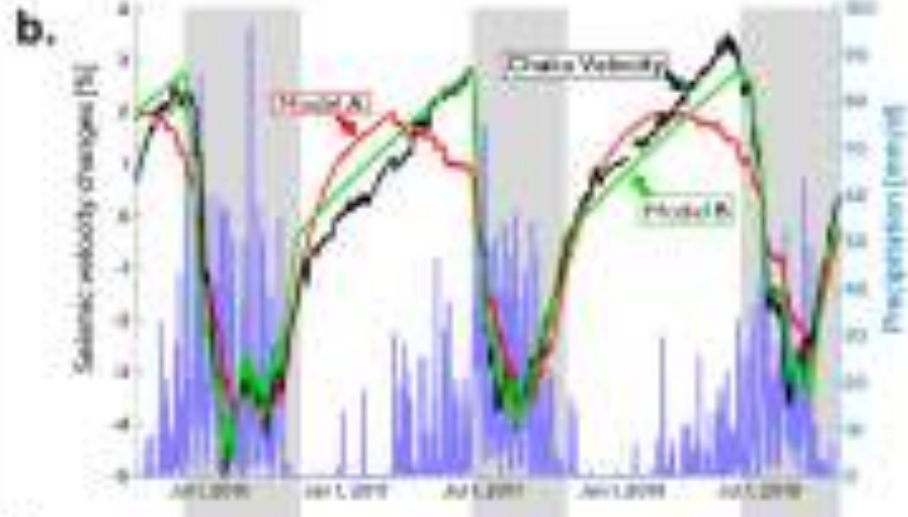
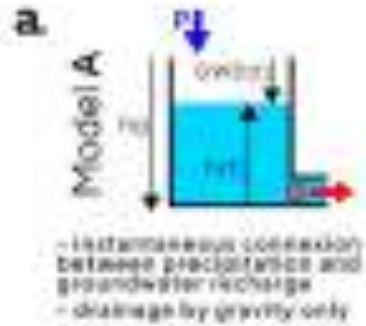
soil moisture acts as gatekeeper for ground water

- recharge
- transpiration

Correcting for hydrology: model of Illien et al, 2021



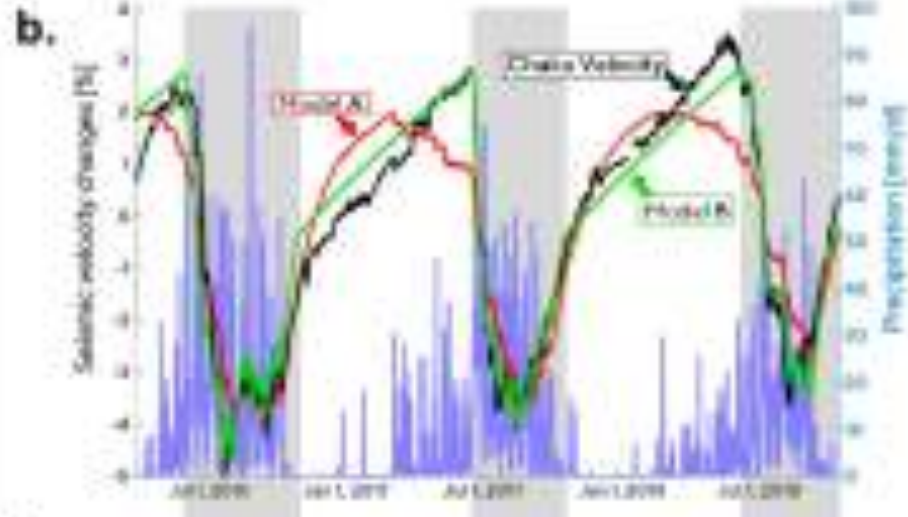
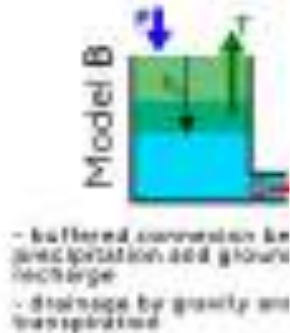
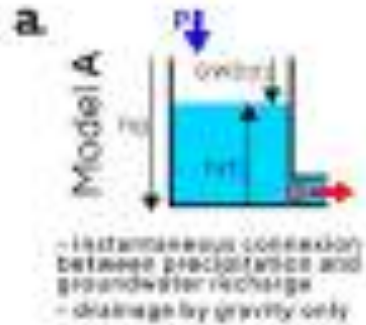
Correcting for hydrology: model of Illien et al, 2021



soil moisture acts as gatekeeper for ground water

- recharge
- transpiration

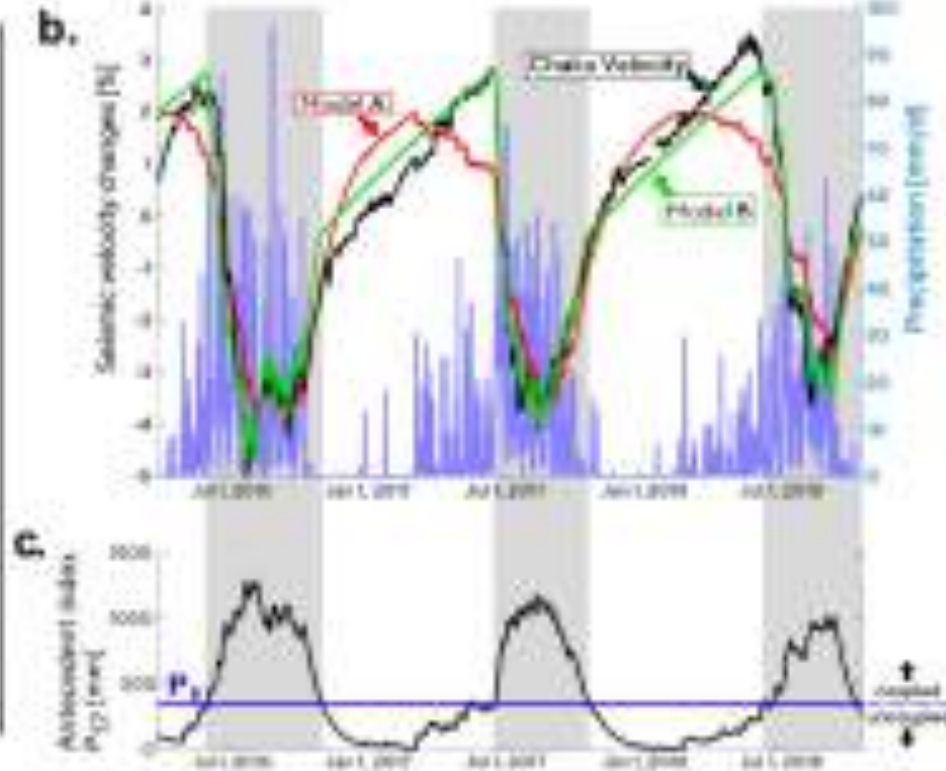
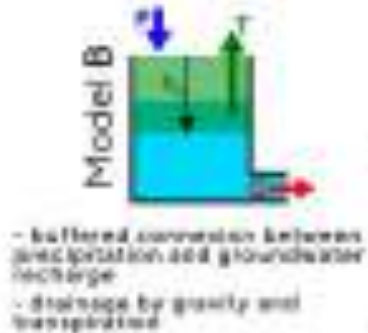
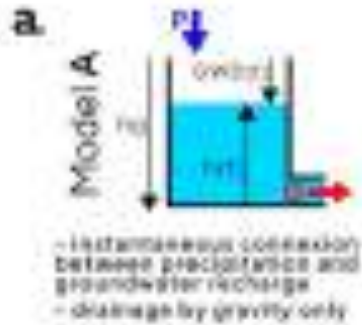
Correcting for hydrology: model of Illien et al, 2021



soil moisture acts as gatekeeper for ground water

- recharge
- transpiration

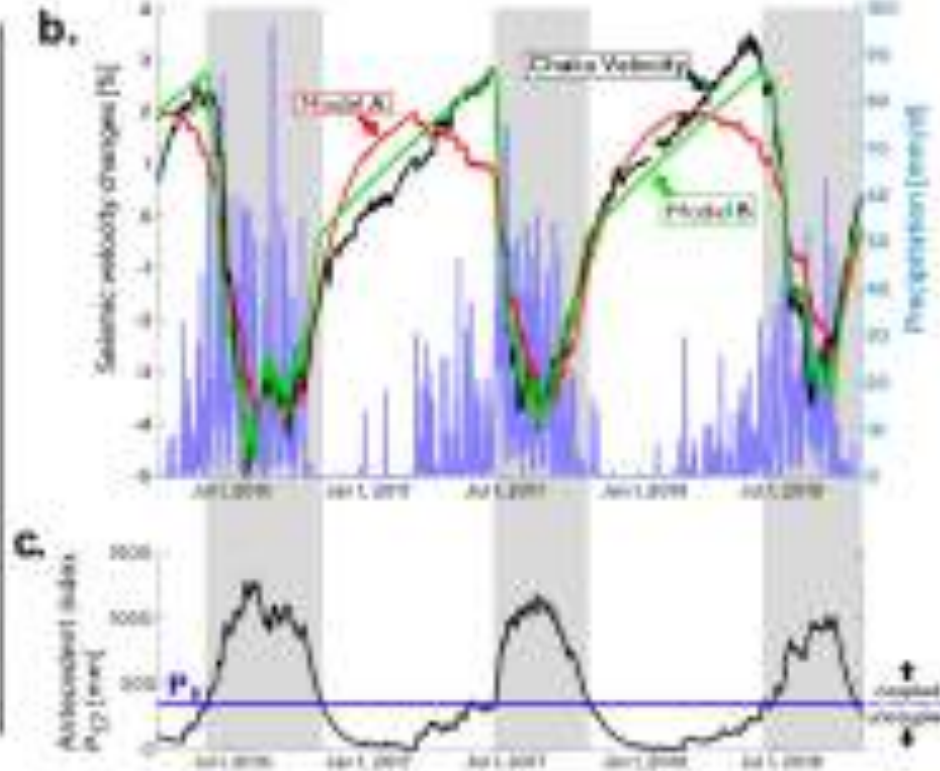
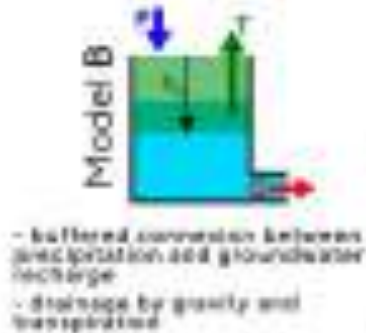
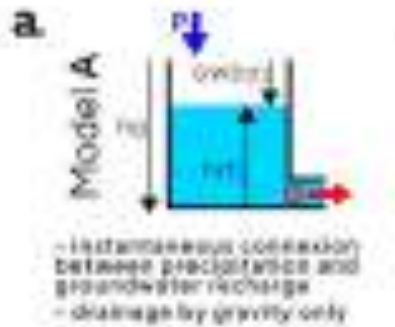
Correcting for hydrology: model of Illien et al, 2021



soil moisture acts as gatekeeper for ground water

- recharge
- transpiration

Correcting for hydrology: model of Illien et al, 2021



soil moisture acts as gatekeeper for ground water

- recharge
- transpiration

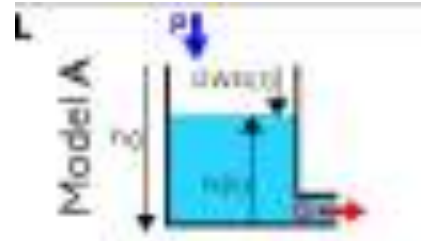
profound consequences for water supply: 800 million people in the Indus, Ganges, and Brahmaputra basins.

Correcting for hydrology: model of Illien et al, 2021

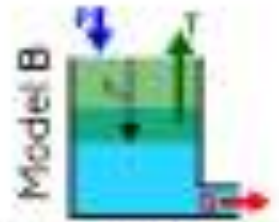
Seismic velocity scales with the groundwater depth represented by the hydraulic head h :

$$\frac{dh}{dt}(t) = -a_{ss}h(t) + \text{additional terms}$$

Therefore, the parameter a_{ss} is a proxy for the hydraulic conductivity of the reservoir

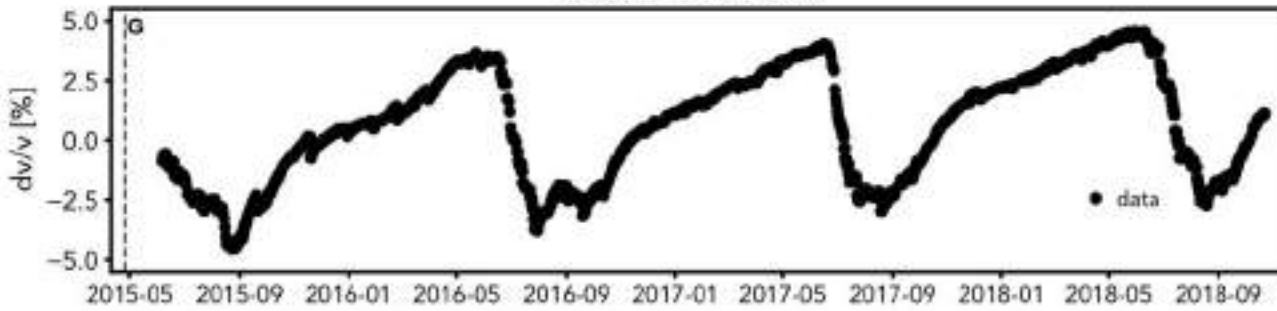


- instantaneous connection between precipitation and groundwater recharge
- drainage by gravity only



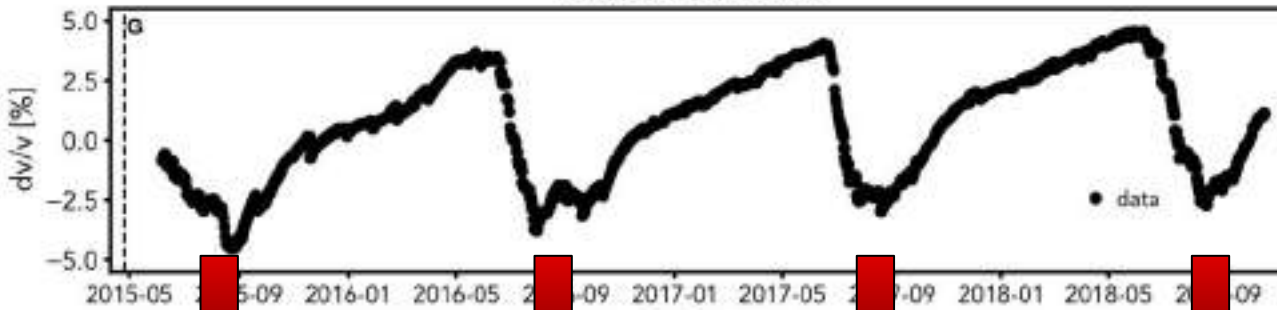
- buffered connection between precipitation and groundwater recharge
- drainage by gravity and transpiration

Chaku time serie



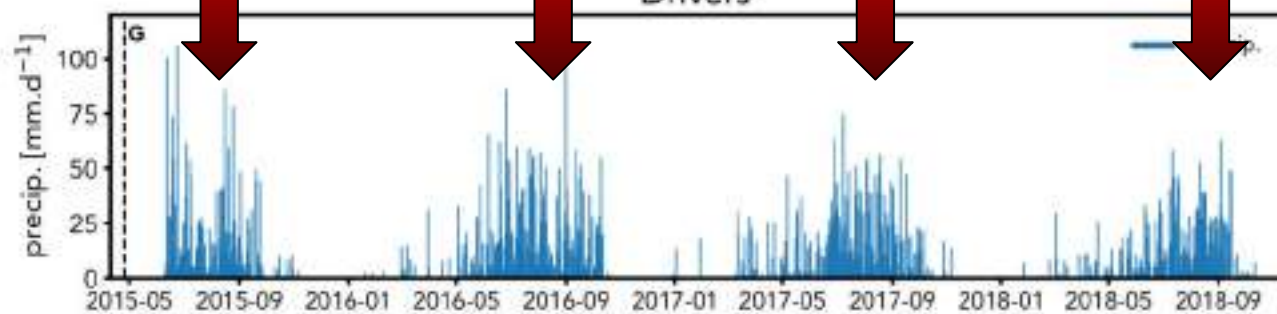
Strong Annual Cycle

Chaku time serie

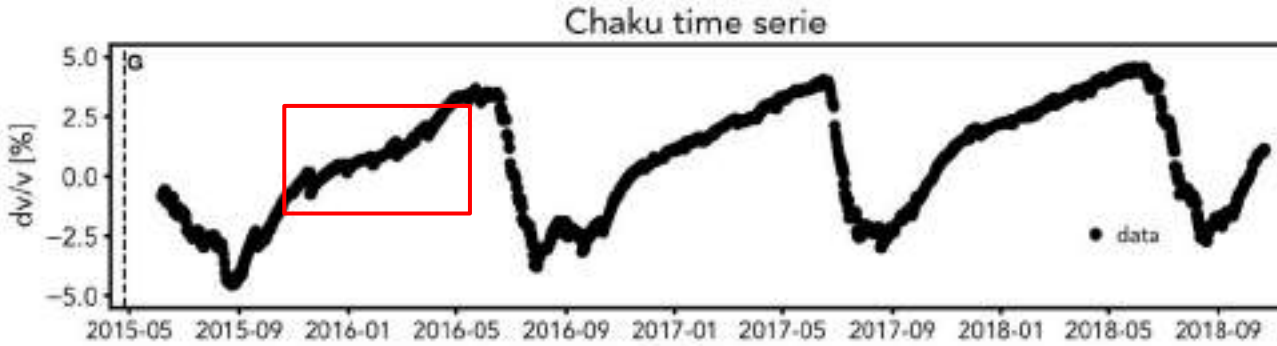


Strong Annual Cycle

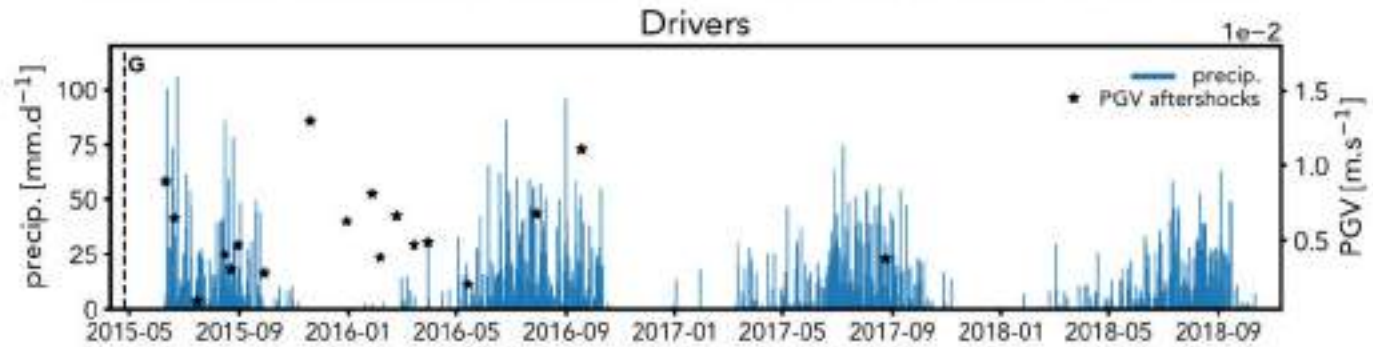
Drivers



Modulated by
monsoon seasons



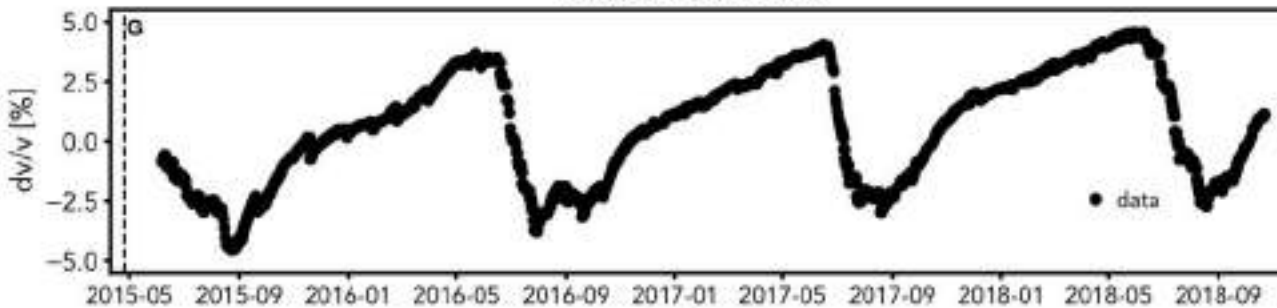
Velocity drops



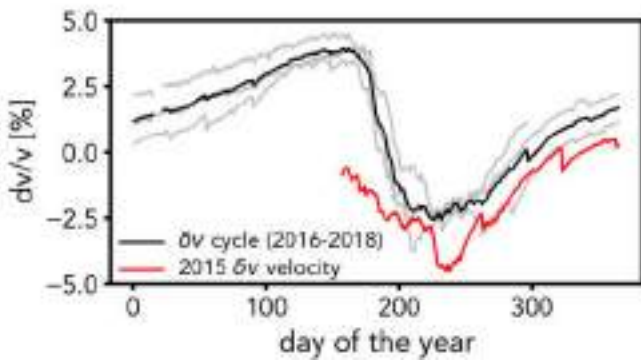
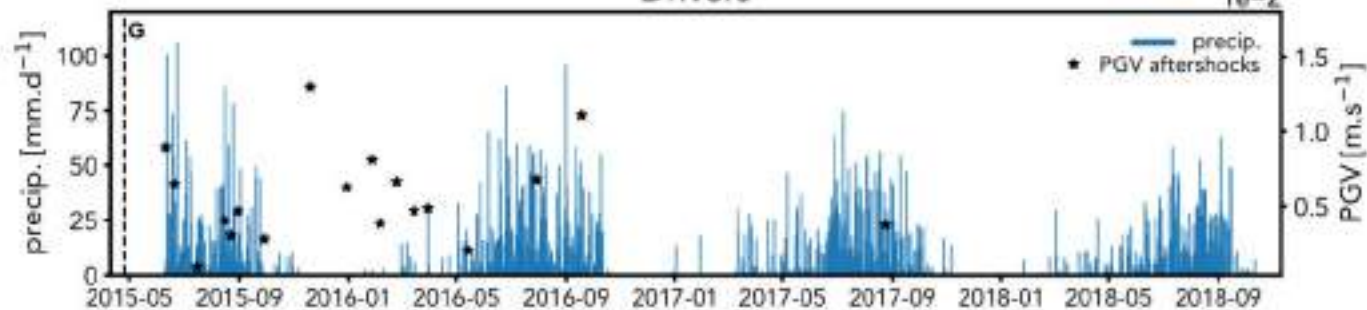
Modulated by aftershocks

What about the long-term recovery triggered by Gorkha earthquake ?

Chaku time serie

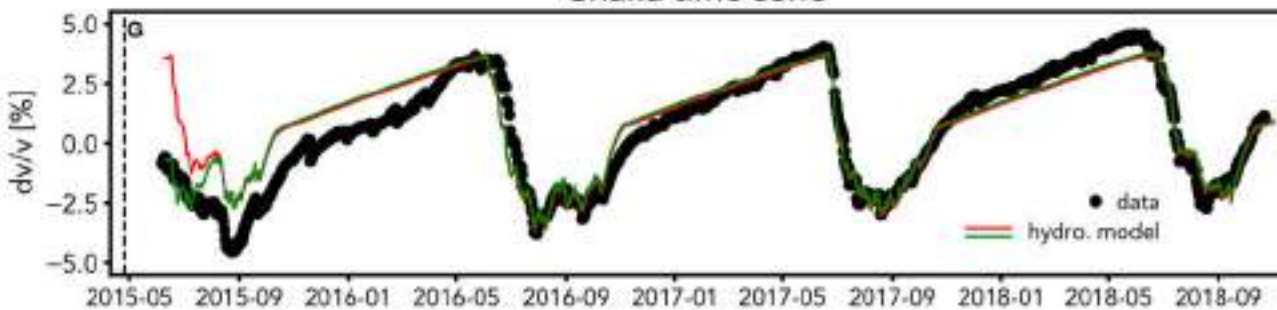


Drivers



Superposition of annual cycles
→ 2015 dv/v is off

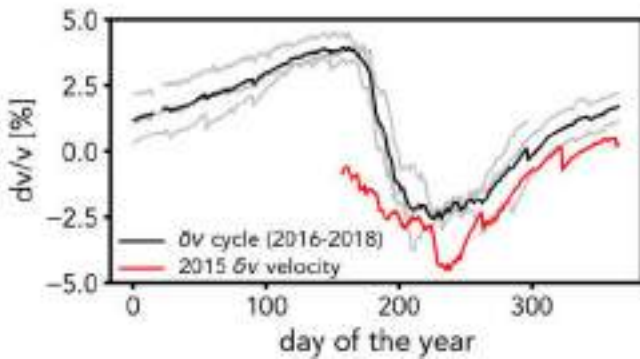
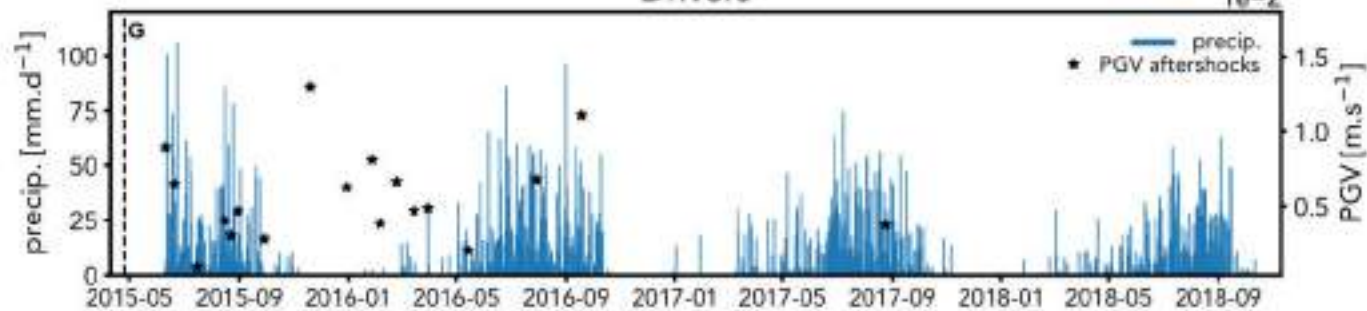
Chaku time serie



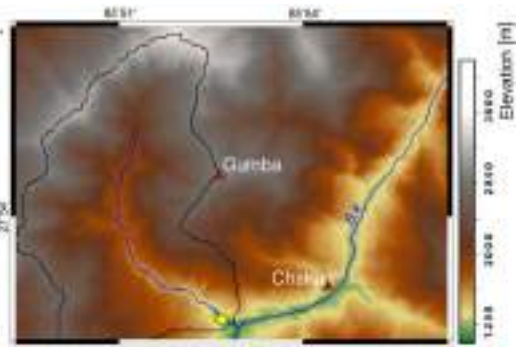
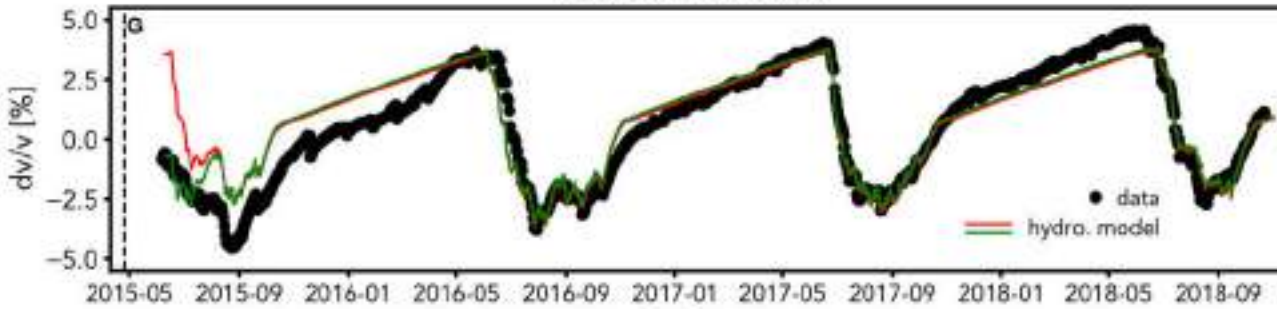
Our seismo-hydrological model is off !!



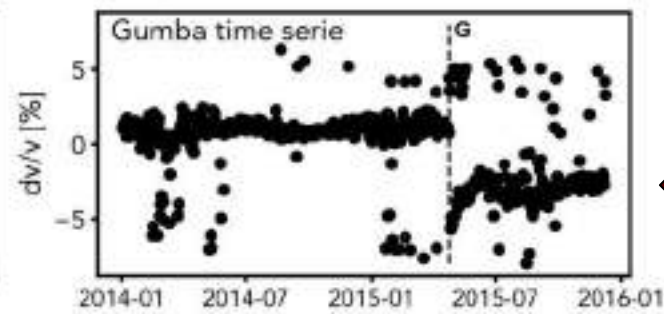
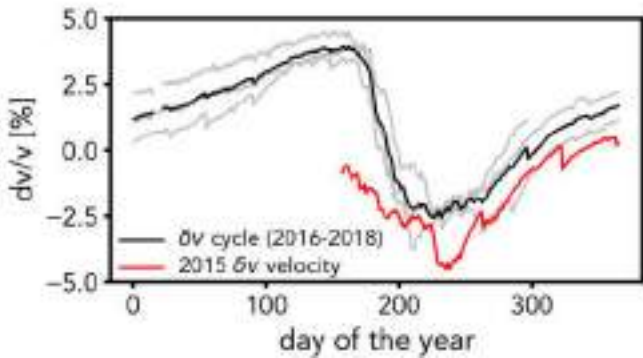
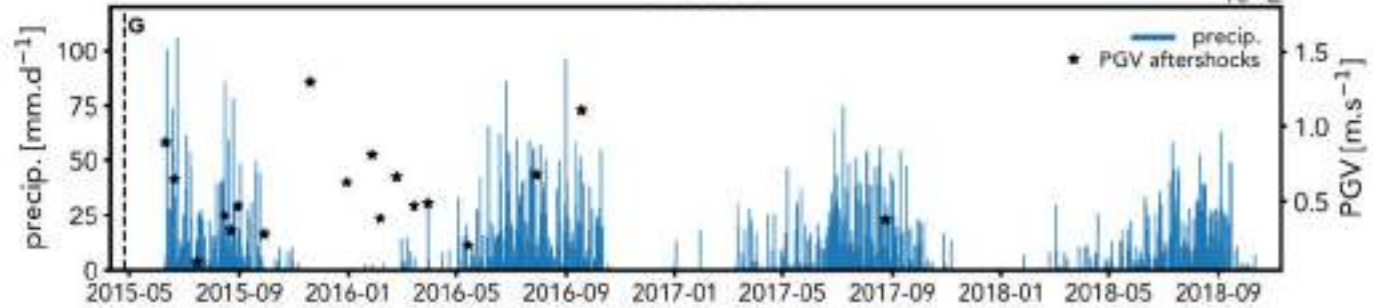
Drivers



Chaku time serie



Drivers



Co-seismic velocity drop at ~4k of Chaku station



Results

**dv/v_{damage} : damage model for
4 monsoon seasons**

Concept

$$\delta v = \delta v_{hydro} + \delta v_{damage}$$

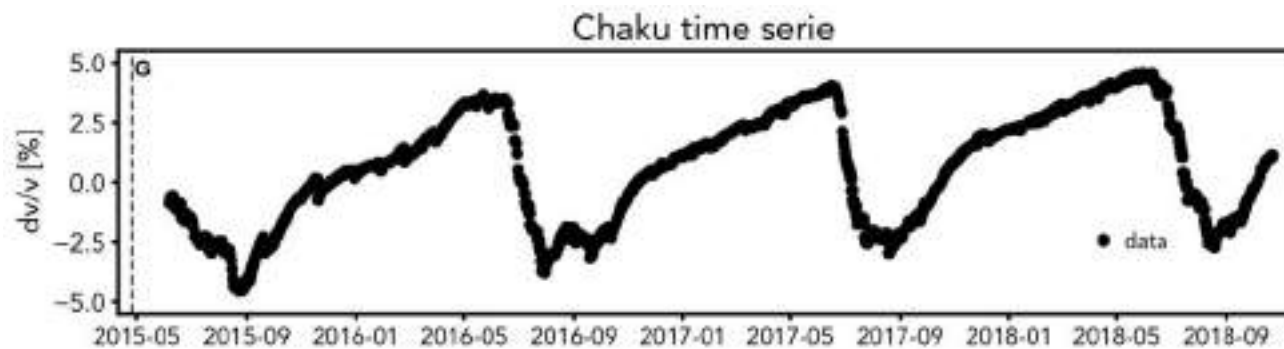
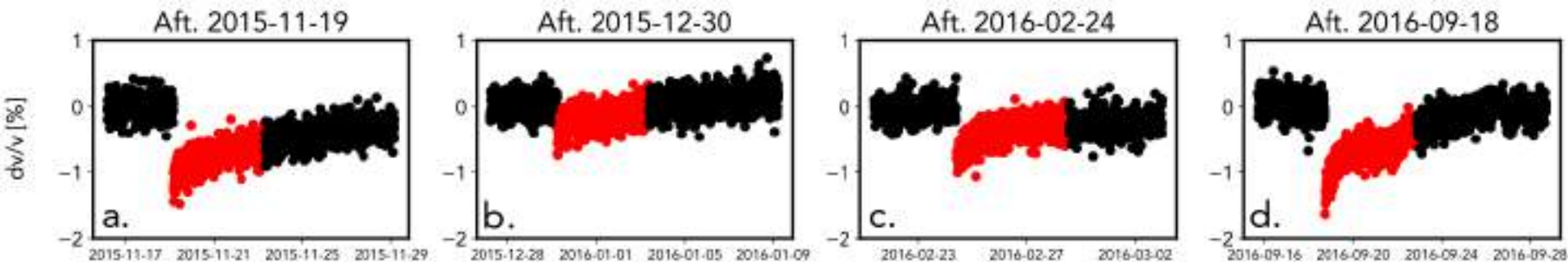
First iteration:

- Estimate the velocity changes caused by Gorkha and its aftershocks.
- Create a hydrological model for the unperturbed behaviour

Second iteration:

- Compute the residuals after damage correction and compare them with the calibrated hydrological model
- Modify the hydrological model with a transient parameter ?

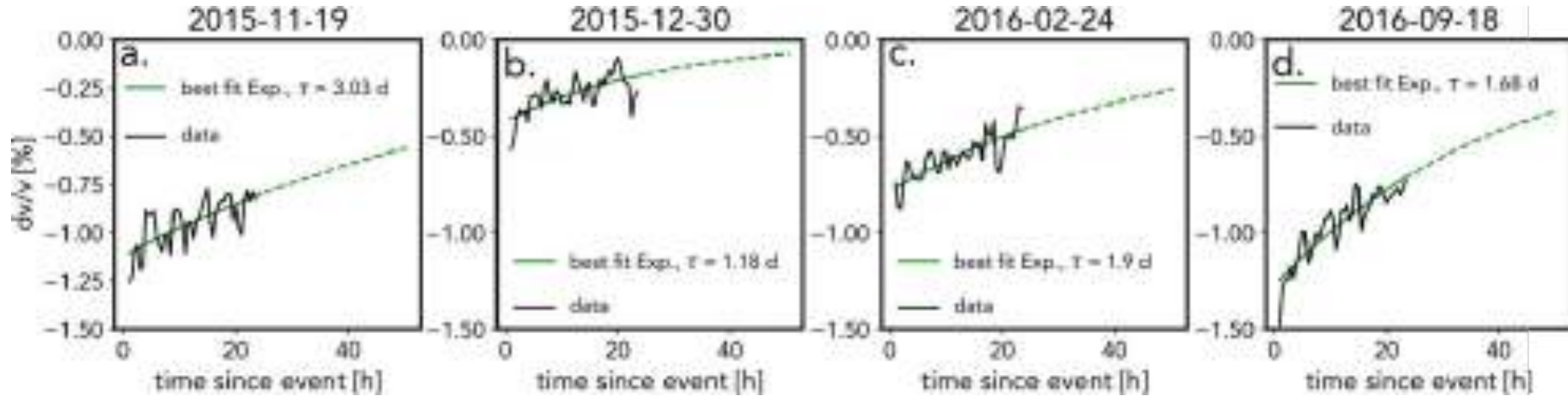
dv/v following some aftershocks



Correcting for damage: classic approach

First let's get rid of aftershocks !

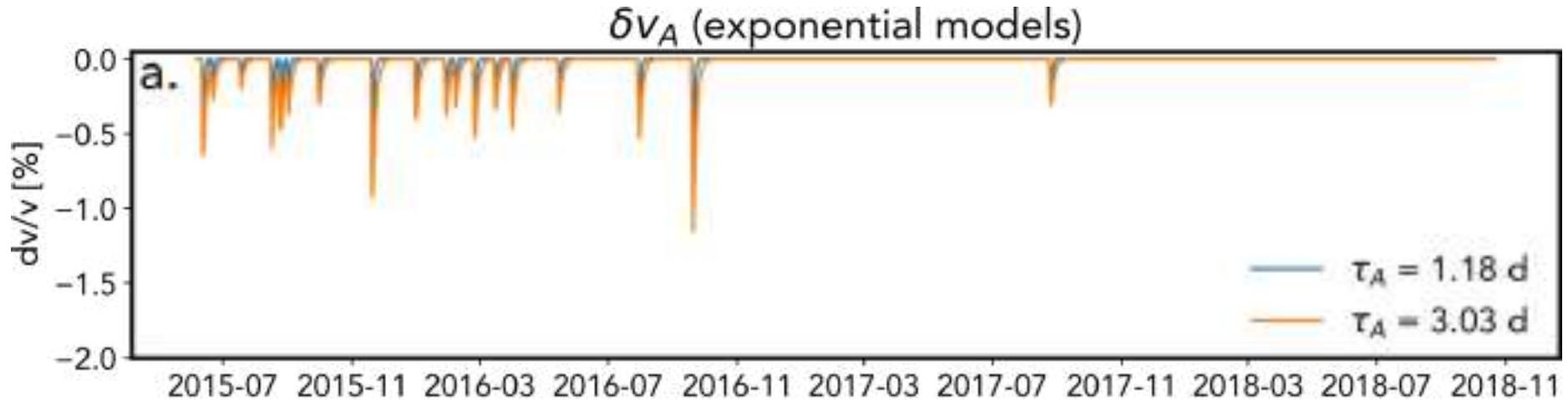
$$\delta v(t) = \delta v_0 \exp\left[\frac{-t}{\tau}\right] + C$$



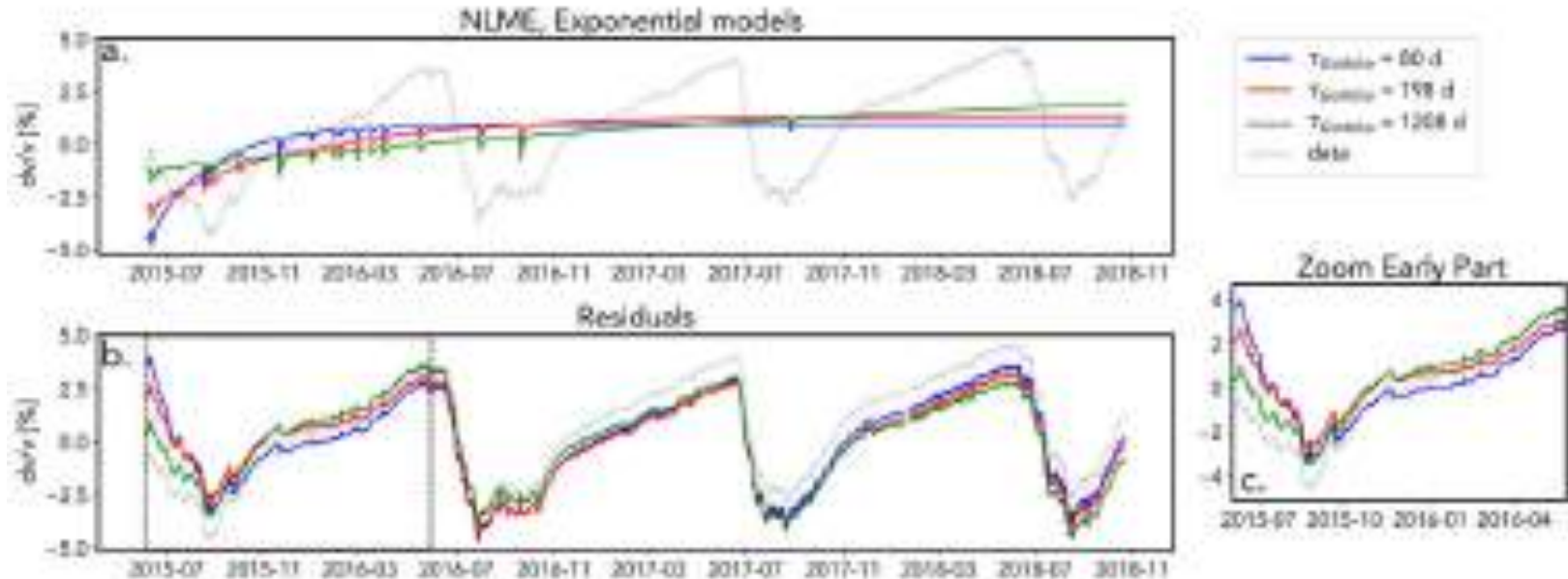
Using this approach: relaxation timescale after aftershocks in between ~ 1.2 to 3 days

Correcting for damage: classic approach

We compute synthetics from the two measured end-members recovery timescales



Correcting for damage: classic approach



Aftershocks may induce longer relaxations that are not captured by this approach.
Fitting of the Gorkha relaxation but we don't have the early part ! Alternative approach ?

Correcting for damage: relaxation function

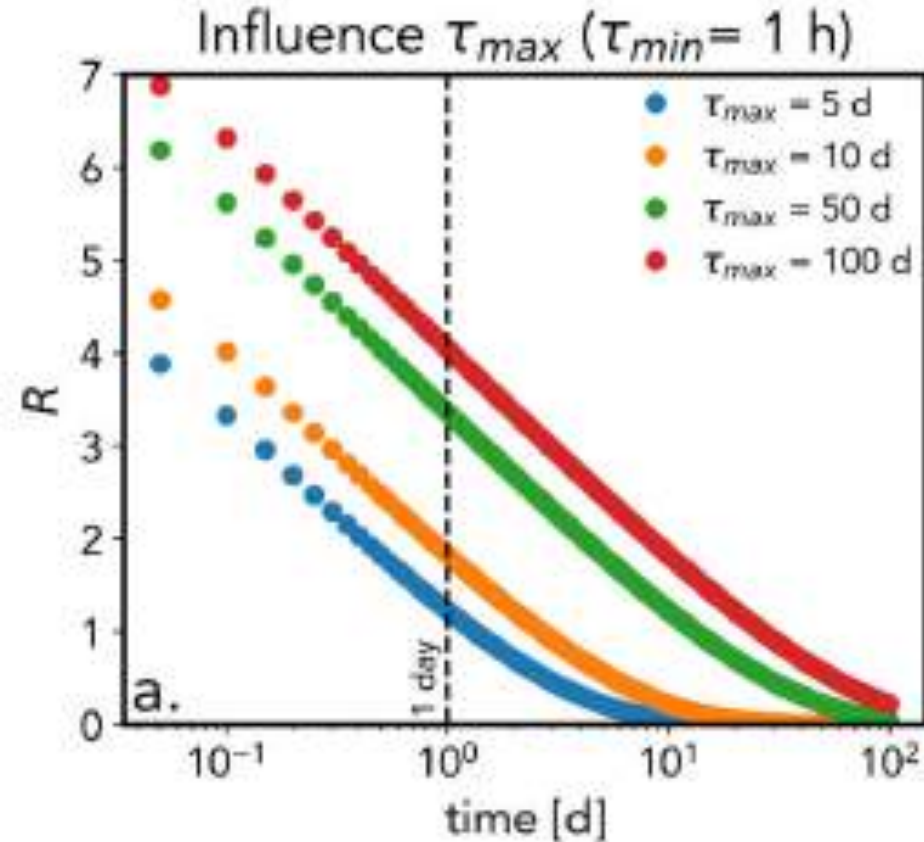
$$\delta v(t) = \delta v_{ss} + sR(t - t_0)$$

$$R(t) = \int_{\tau_{\min}}^{\tau_{\max}} \frac{1}{\tau} e^{-(t-t_0)/\tau} d\tau.$$

Snieder et al. (2017)

- Scaling with a universal relaxation function
- Superposition of exponential processes processes that are distributed between a minimum and a maximum timescales \rightarrow follows a $\log(t)$ evolution
- **Assumption in this study**: all events will trigger the same timescales, only the amplitude s will change.

Correcting for damage: relaxation function



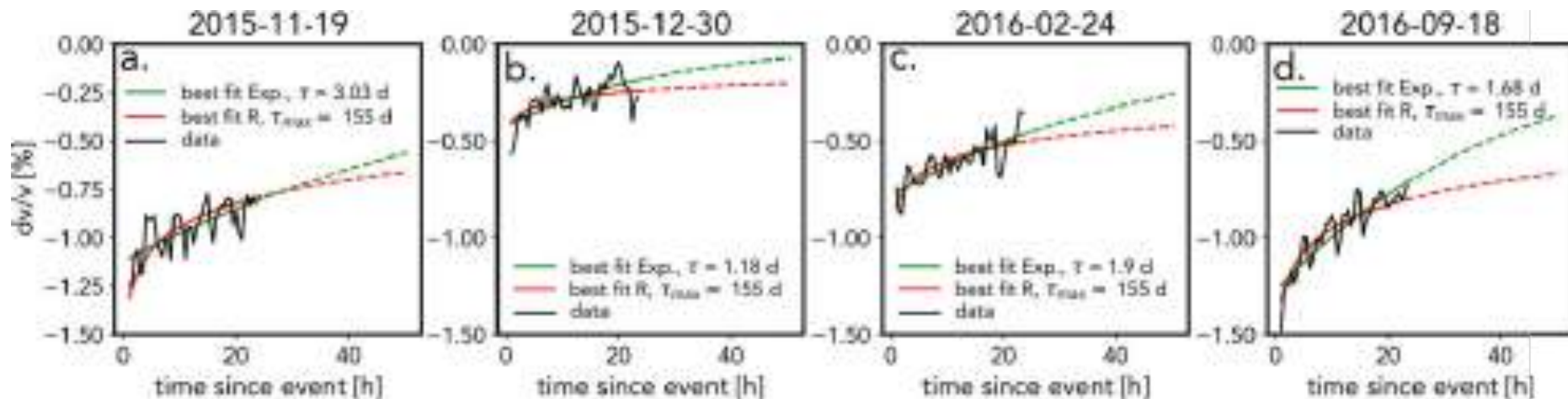
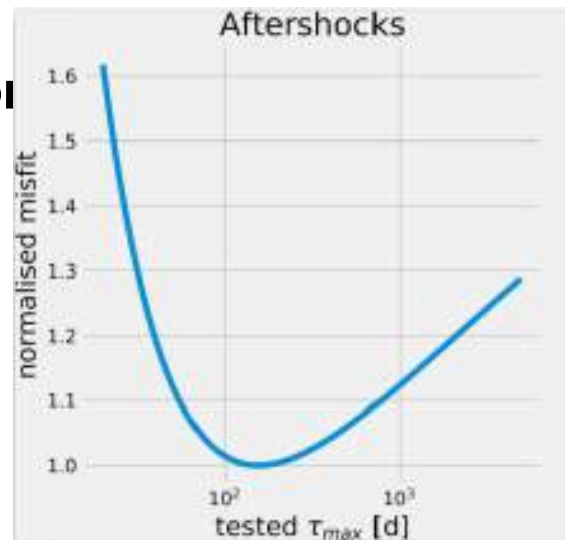
Relaxation function sensitivity

$$R(t) = \int_{\tau_{min}}^{\tau_{max}} \frac{1}{\tau} e^{-(t-t_0)/\tau} d\tau.$$

→ Let's use the early dynamics after the aftershocks to calibrate the maximum relaxation timescale

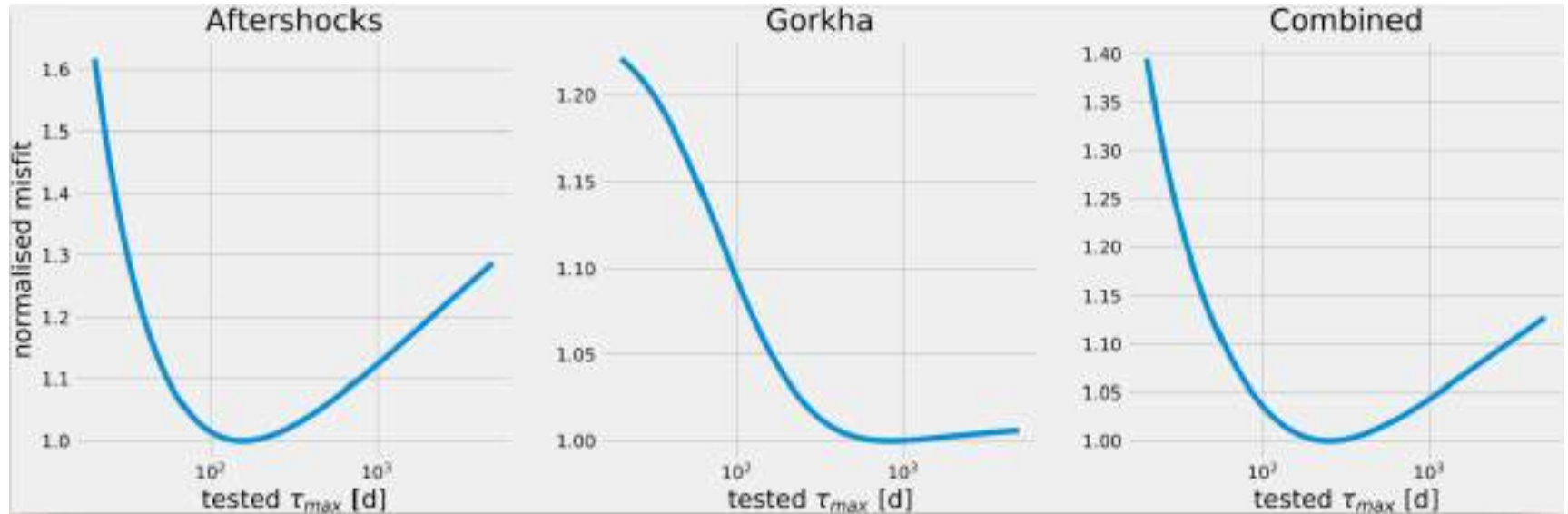
Correcting for damage: relaxation function

Joint fitting of the first 24h
Converge to $\sim T_{max} = 155d$



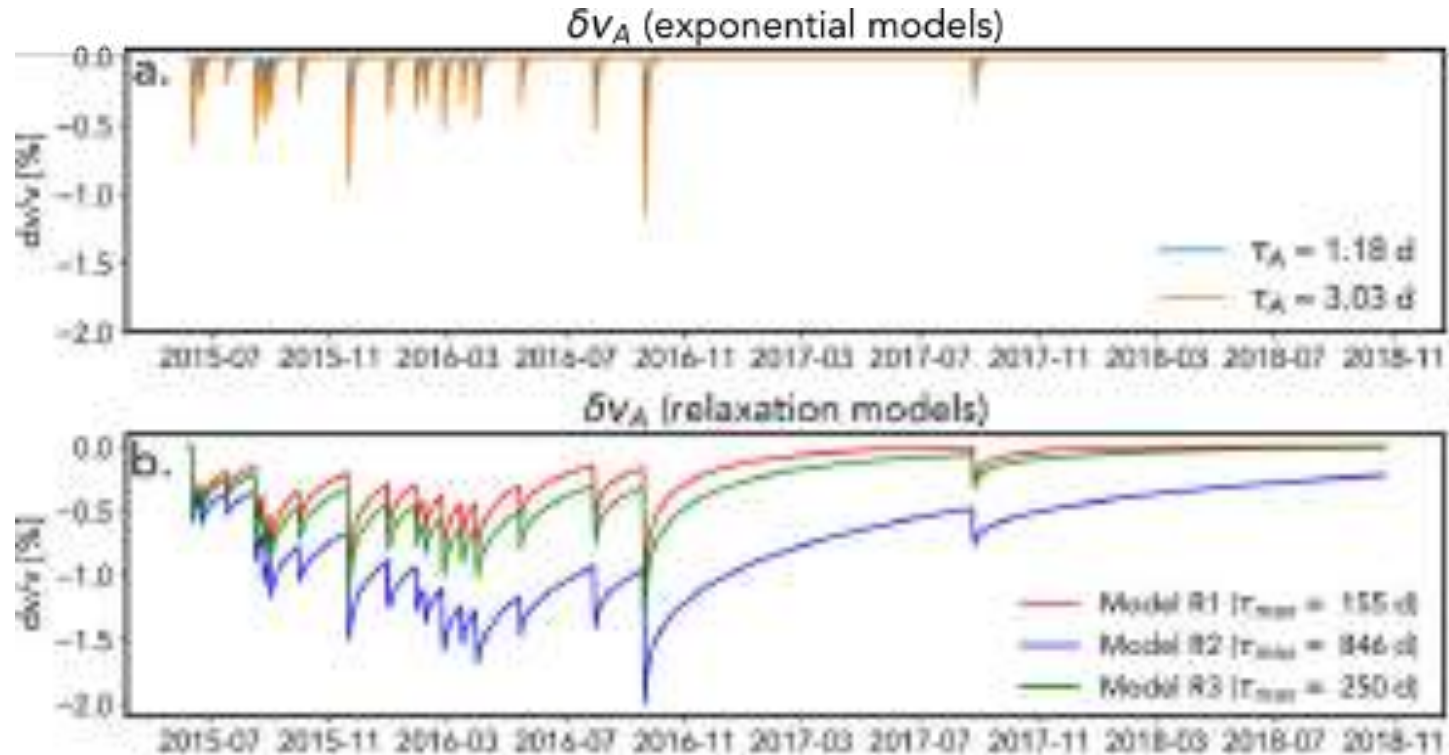
Correcting for damage: relaxation function

We create 3 models in combining different measurements



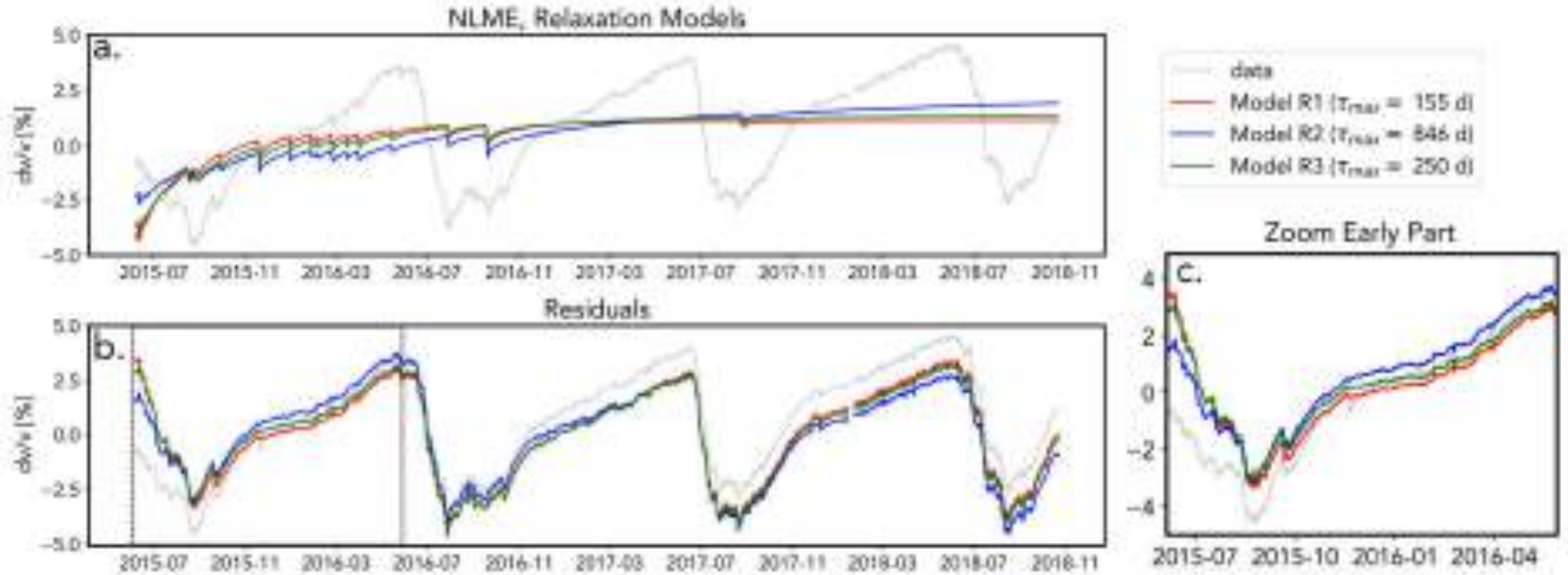
Correcting for Damage: relaxation function

New correction for aftershocks:



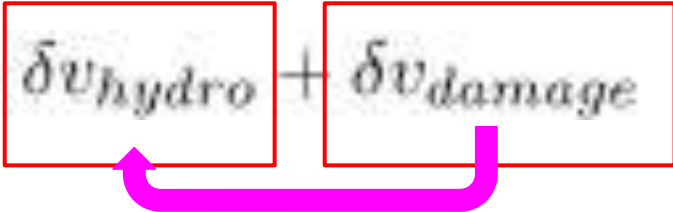
Correcting for damage: relaxation function

Model with constant τ_{\max} :



→ Let's keep these 3 models for the following

Concept

$$\delta v = \delta v_{hydro} + \delta v_{damage}$$


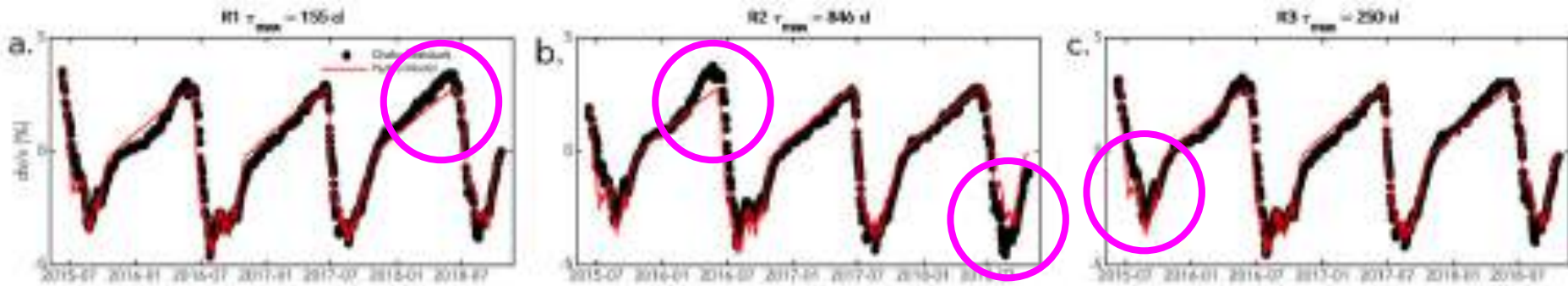
First iteration:

- Estimate the velocity changes caused by Gorkha and its aftershocks.
- Create a hydrological model for the unperturbed behaviour

Second iteration:

- Compute the residuals after damage correction and compare them with the calibrated hydrological model
- Modify the hydrological model with a transient parameter ?

Correcting for Hydrology: Using a constant value for a_{SS}

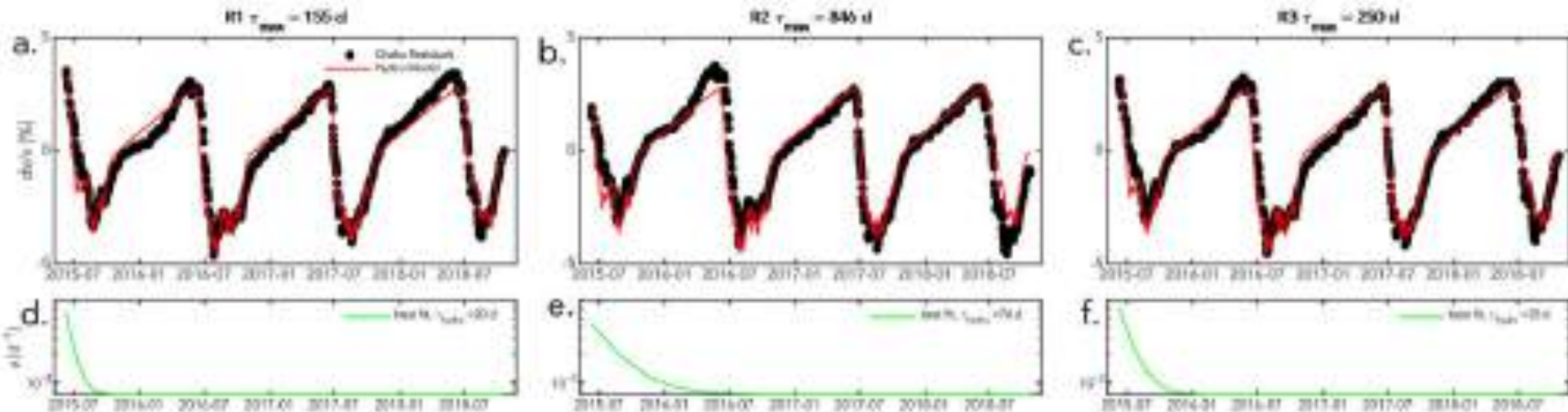


visual agreement between the residuals from the 3 relaxation models and hydrological model

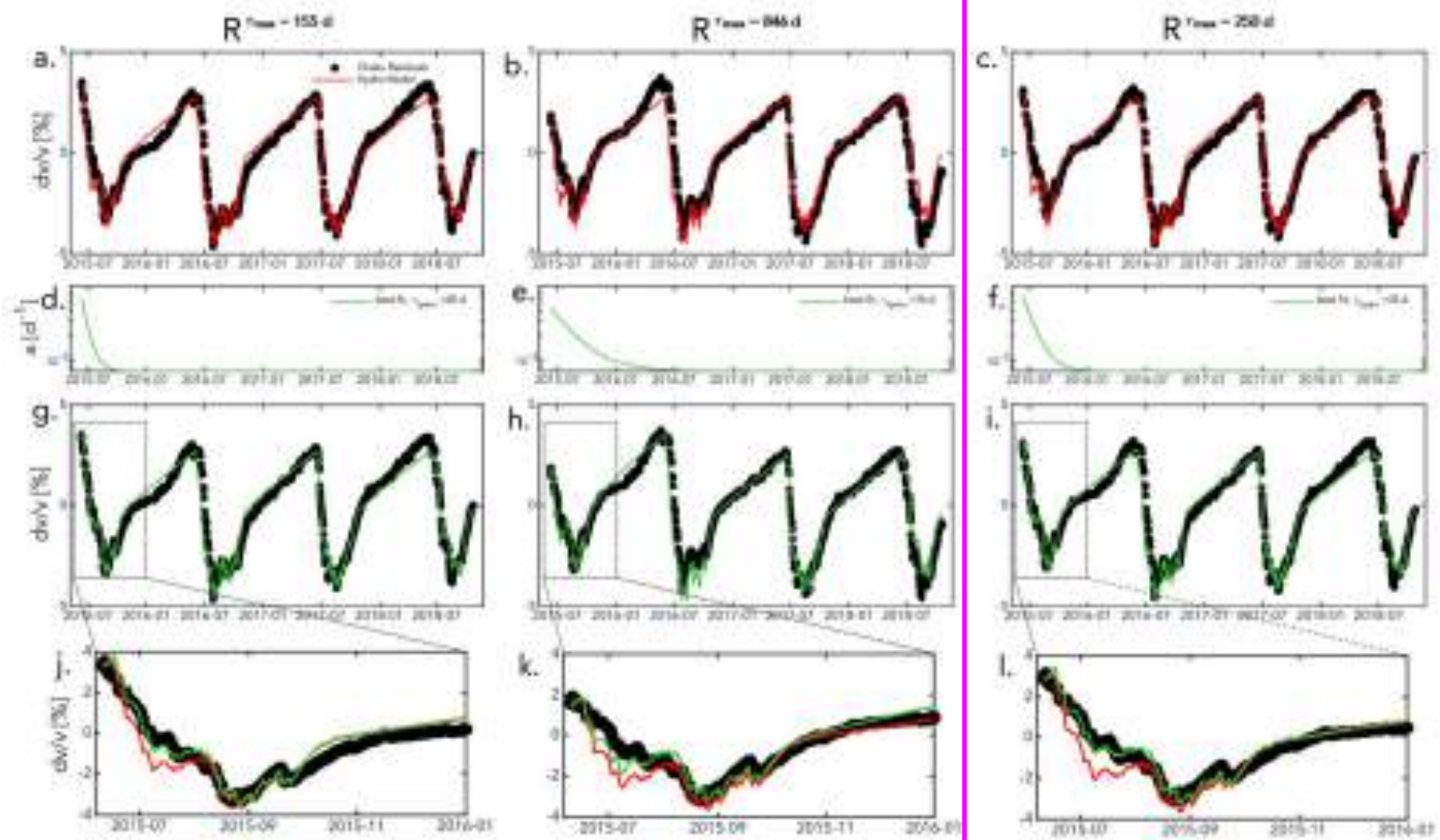
- too much groundwater storage is predicted in 2015
- introduce transient drainage parameter:

$$a(t) = a_{SS} + (Ca_{SS}) \exp \left[\frac{-(t - t_{Gorkha})}{\tau_{hydro}} \right].$$

Correcting for Hydrology: introducing drainage perturbation



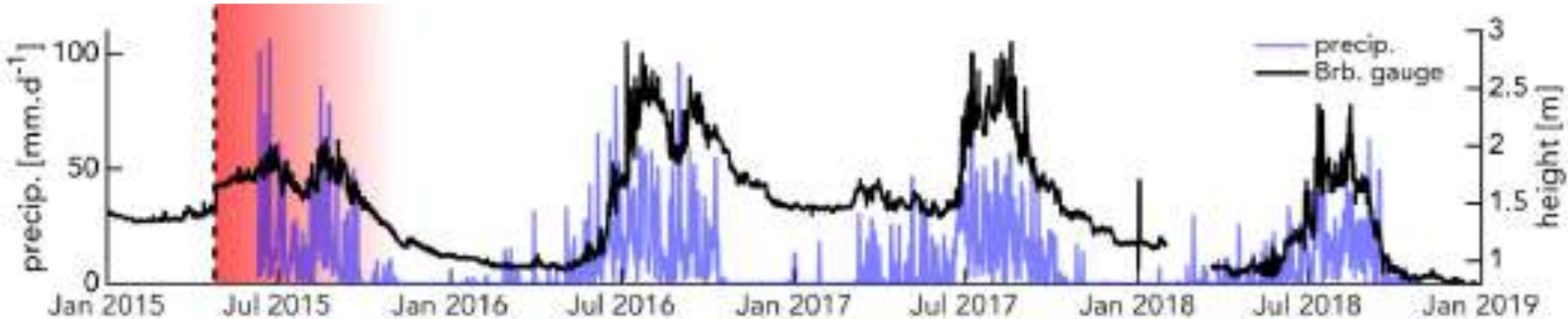
$$a(t) = a_{SS} + (Ca_{SS}) \exp \left[\frac{-(t - t_{Gorkha})}{\tau_{hydro}} \right].$$



Correcting for Hydrology: best model ?

Significance ?

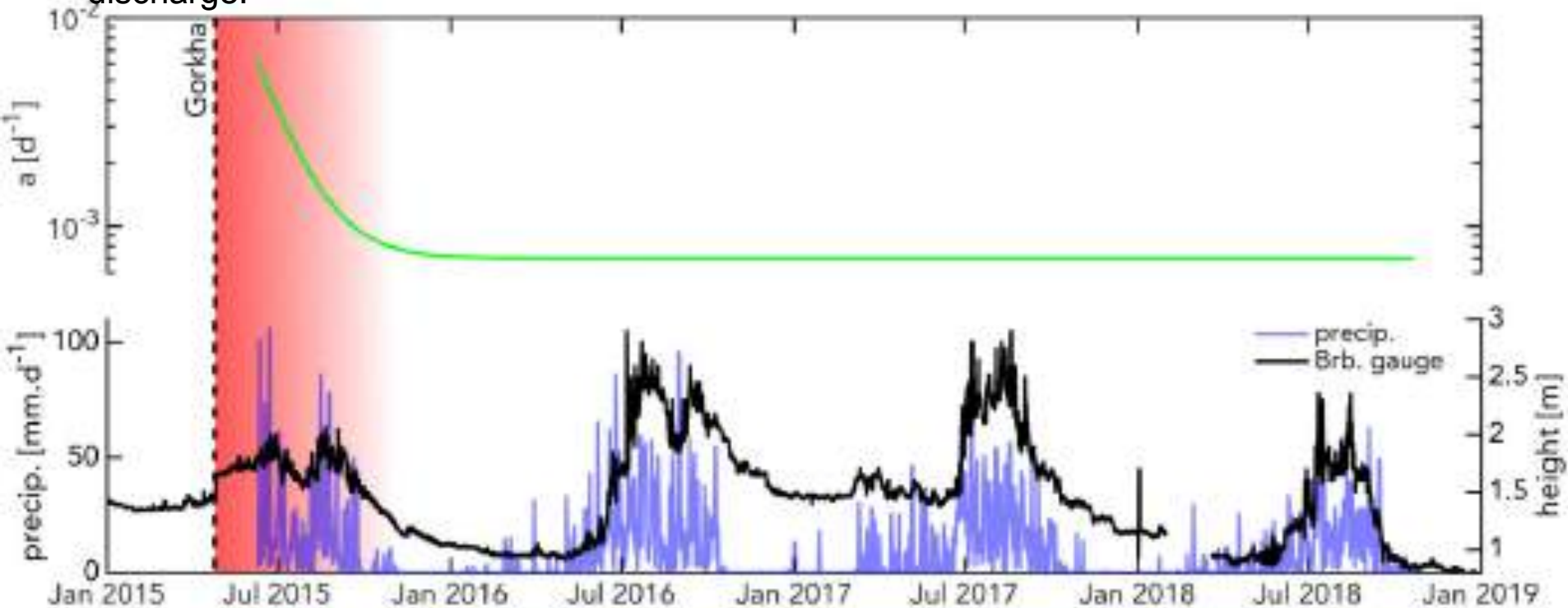
Independant data ? Less variability in river discharge in 2015. Coseismic uptick of discharge.



Correcting for Hydrology: best model ?

Significance ?

Independant data ? Less variability in river discharge in 2015. Coseismic uptick of discharge.



Conclusions

- Huge coseismic and environmental changes
- Most of the NLME effect relaxed in the first year after Gorkha following our analysis.
→ This is in agreement with observed landslide rates (normal in 2016).
- Mainshock and aftershock relaxation can be described with a consistent model and a single relaxation time.
- Hydrological model reveals importance of soil as gatekeeper for GW recharge
- Significant coseismic perturbation of the hydrological system can be monitored with seismic interferometry
- Considering linear superposition of forcing may 'hide' informations